

06-12-2002

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JC13 Rec'd PTO 22 MAR 2002Form PTO-1595  
(Rev. 03/01)

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COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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1209-025 PCT

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Ottokar SCHUTZ, Werner MAURER,  
Urs SCHURMANN and Ralph  
WICHMANNAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 18, 2002

## 2. Name and address of receiving party(ies)

Name: Lighting Innovation Center AGInternal Address: Muhlentalstrasse 28CH-8200 Schaffhausen

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

March 18, 2002

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

10088963

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kenneth F. Florek, Esq.

Internal Address: \_\_\_\_\_

03/29/2002 HEDYEN 00000113 10088963

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40.00 00

Street Address: HEDMAN & COSTIGAN, P.C.1185 Avenue of the AmericasCity: New York State: NY Zip: 10036-26466. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

08-1540

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Kenneth F. Florek, Esq. Registration No. 33,173

Name of Person Signing

Signature

March 22, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, Ottokar Schütz, Kopenicker Weg 1, D-78056 Villingen-Schwenningen; Werner Maurer, Randenstrasse 178, CH-8200 Schaffhausen; Urs Schürmann, Webergasse 19, CH-8200 Schaffhausen; Ralph Wichmann, Kamerstrasse 11, CH-8200 Schaffhausen, sell and assign to Lighting Innovation Center AG, having a place of business at, ~~Mühlentalstr. 28~~ ~~Mühlentalstr. 28~~, CH-8200 Schaffhausen, CH, its successors and assigns the entire right, title and interest in and to the improvements in \_\_\_\_\_ invented by us, as described in the application for United States National Phase of PCT Application No. PCT/EP00/09263, filed September 21, 2000, as filed herewith, and all applications for patent and patents therefor in any and all countries, including all thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Lighting Innovation Center AG, or its successors or assigns and agree that on request and without further consideration, but at the expense of Lighting Innovation Center AG, we will communicate to Lighting Innovation Center AG or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Lighting Innovation Center AG, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

18.3.

, 2002

  
 Ottokar Schütz

State of \_\_\_\_\_)

) ss.:

County of \_\_\_\_\_)

This \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally came the above-named Ottokar Schütz, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

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18. 3., 2002

W. Maurer  
Werner Maurer

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.:

This \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally came the above-named Werner Maurer, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
NOTARY PUBLIC

18. 03., 2002

U. Schürmann  
Urs Schürmann

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.:

This \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally came the above-named Urs Schürmann, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
NOTARY PUBLIC

18. 3., 2002

R. Wichmann  
Ralph Wichmann

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ ) ss.:

This \_\_\_\_ day of \_\_\_\_\_, 2002, before me personally came the above-named Ralph Wichmann, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

\_\_\_\_\_  
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