

04-22-2002

Docket No.: 05542.019001

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (e-p. 5/31/2002)  
P08/REV03



HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102062769

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Gary E. FOUT  
Jerry T. CONNAUGHTON

4-10-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: August 8, 2001

## 2. Name and address of receiving party(ies):

Name: M-I L.L.C.

Internal Address:

Street Address: 5950 North Course Drive

City: Houston

State: TX

ZIP: 77072

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/051,438

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan P. Osha

Internal Address: Rosenthal &amp; Osha L.L.P.

04/19/2002 GTOW11 00000117 10051438

01 FC:581

40.00 0P

Street Address: One Houston Center, Suite 2800

1221 McKinney

City: Houston

State: TX

ZIP: 77010

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

50-0591

(Attach duplicate copy of this page if paying by deposit account)

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan P. Osha, Reg., No. 33,986

Name of Person Signing

Signature

3/20/02

5

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 012800 FRAME: 0949**

## Assignment – Worldwide

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned individual(s) (referred to herein as the "INVENTOR(S)") hereby assign, transfer, and set over to:

**M-I L.L.C.**

having the following address:

**5950 North Course Drive, Houston, Texas 77072**

(referred to herein as "COMPANY"), its successors, and assigns, the entire right, title, and interest, worldwide, in and to the invention known by the following title:

### **METHOD AND APPARATUS FOR SEPARATING HYDROCARBONS FROM MATERIAL**

for which the undersigned has/have executed an application for patent in the United States of America on this same day, together with said patent application, all divisions, continuations, continuations-in-part, reissues, and extensions thereof, and all Letters Patent (domestic and foreign) which may be granted therefor. Such interest represents the entire ownership of said applications and Letters Patent when granted and is to be owned by COMPANY, its successors, and assigns, or their legal representatives, for the full and entire term for which such Letters Patent may be granted or extended, as fully and entirely as the same would have been enjoyed by the INVENTOR(S) if this assignment had not been made.

In addition, the undersigned INVENTOR(S) each hereby agrees:

1. To sign and execute any further documents which may be necessary or desirable, lawful, and proper in connection with the prosecution of all applications for patent(s) on the INVENTION in the United States, including without limitation said application and all divisions, continuations, continuations-in-part, amendments thereof, and all interference proceedings associated therewith, or otherwise necessary or desirable to secure the title thereto to COMPANY;
2. To execute all papers and documents and to perform all lawful acts which may be necessary in connection with claims to priority or otherwise under the International Convention for the Protection of Industrial Property or similar treaties or agreements;
3. To perform all lawful affirmative acts which may be necessary to obtain the grant of a valid and enforceable patent to COMPANY;

The undersigned INVENTOR(S) each hereby authorizes and requests the Commissioner of Patents and Trademarks in the United States to issue any and all Letters Patent resulting from said application, including without limitation any division, continuation, continuation-in-part, or reissue thereof to COMPANY.

The undersigned INVENTOR(S) each hereby grants to the firm of ROSENTHAL & OSHA L.L.P. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for the recordation of this document.

**ROSENTHAL & OSHA L.L.P.**

IN WITNESS WHEREOF, this Assignment has been executed by each of the undersigned individuals on the date appearing by such individual's signature:

8-8-01

Date

Signature: *Gary E. Fout*

Print or Type Name: Gary E. Fout

Signature: \_\_\_\_\_

Print or Type Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Print or Type Name: \_\_\_\_\_

Date

Date

This Assignment must be signed before a Notary Public for one of the States in the United States:

State of Texas  
County of Harris

Before me personally appeared: Gary E. Fout

1<sup>st</sup> Inventor

2<sup>nd</sup> Inventor

3<sup>rd</sup> Inventor

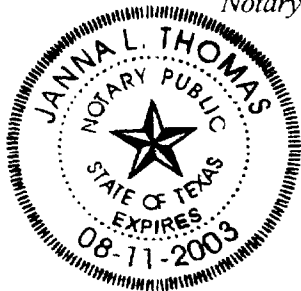
4<sup>th</sup> Inventor

each of whom acknowledged the foregoing instrument to be a free act and deed and also represented that he/she is authorized to execute this Assignment.

Dated: August 8, 2001

Janna L. Thomas  
Notary Public

My commission expires: August 11, 2003



Where this Assignment is not filed concurrently with the patent application, the following identifying information may be added after execution:

U.S. Application Serial No.: 10/051,438  
Filing Date: October 25, 2001

ROSENTHAL & OSHA L.L.P.

# INVENTION ASSIGNMENT

THIS AGREEMENT, to be effective as of the 8<sup>th</sup> day of August, 2001, is by and between Jerry T. Connaughton. ("CONNAUGHTON"), an individual residing at 235 Main Street, Fresno, Texas 77545, and M-I L.L.C. ("M-I"), a Delaware limited liability company having a place of business at 5950 North Course Drive, Houston, Texas 77072.

WHEREAS, CONNAUGHTON is a coinventor of the invention(s) described in a patent application entitled "METHOD AND APPARATUS FOR SEPARATING HYDRO-CARBONS FROM MATERIAL" (the "Invention"); and

WHEREAS, M-I desires to obtain, and CONNAUGHTON is willing to assign to M-I, CONNAUGHTON's entire right, title, and interest in and to said invention(s);

NOW, THEREFORE, for One Hundred Dollars (\$100.00) and other good and valuable consideration, the sufficiency and receipt of which are hereby acknowledged, the parties hereby agree as follows:

CONNAUGHTON does hereby sell, assign, transfer, and set over unto M-I his entire right, title, and interest in, to, and under (i) the Invention and all United States and foreign patent applications for the Invention, and (ii) all patents that may issue from any of such applications.

CONNAUGHTON also represents and warrants to M-I that (1) he has not made any prior assignment or license of his rights (or any part thereof) in the Invention to any third party and (2) no third party owned or controlled, directly or indirectly, by CONNAUGHTON has any claim or other right to the Invention.

In addition, CONNAUGHTON hereby agrees:

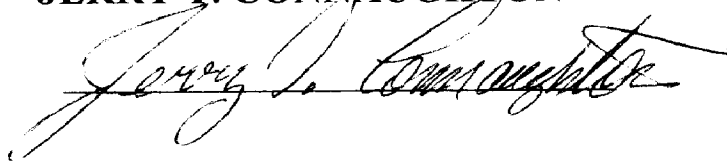
1. To sign and execute any further documents which may be necessary or desirable, lawful, and proper in connection with the prosecution of all applications for patent(s) on the Invention, including without limitation said application and all divisions, continuations, continuations-in-part, amendments thereof, and all interference proceedings associated therewith, or otherwise necessary or desirable to secure the title thereto to M-I; and
2. To perform all lawful affirmative acts which may be necessary to obtain the grant of valid and enforceable patents on the Invention to M-I.

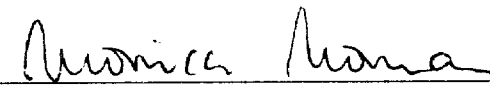
CONNAUGHTON hereby authorizes and requests the Commissioner of Patents and Trademarks in the United States to issue any and all Letters Patent resulting from said application, including without limitation any division, continuation, continuation-in-part, or reissue thereof, to M-I.

IN WITNESS WHEREOF, each of the parties has caused its duly authorized representative to execute this Assignment on the date indicated.

**JERRY T. CONNAUGHTON**

**M-I L.L.C.**



By:  *ex H*

Title: UP TECHNOLOGY

Date: 8 Aug 01

Date: 10/24/01

NOTARY PUBLIC

State of Texas  
County of Harris

Before me personally appeared: Jerry T. Connaughton

1<sup>st</sup> Inventor

2<sup>nd</sup> Inventor

3<sup>rd</sup> Inventor

4<sup>th</sup> Inventor

each of whom acknowledged the foregoing instrument to be a free act and deed and also represented that he/she is authorized to execute this Assignment.

Date:

August 8, 2001

Janna L. Thomas  
Notary Public

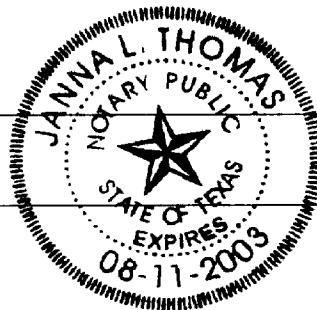
My commission expires:

August 11, 2003

WITNESSES

Date

Signature of  
Witness:  
Print or Type  
Name:



Date

Signature of  
Witness:  
Print or Type  
Name: