

04-23-2002

Form 1595  
1-31-92 (modified)

RI



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U.S. Department of Commerce  
Patent and Trademark Office102064410  
**PATENTS ONLY**To the Honorable Assistant Commissioner for Patents: Please record the attached  
original documents or copy thereof

1. Name of conveying party(ies):  
Chris D. Smith  
Pablo E. Garcia  
Thomas P. Low  
Eric J. Shrader  
Add'l names of conveying parties attached?  
☐ Yes ☒ No

4-11-02

2. Name/address of receiving party(ies):  
SRI International  
  
333 Ravenswood Avenue  
Menlo Park, California 94025

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐  
Other  
☐ Change of Name ☐ Reassignment

Add'l names of receiving parties  
attached? ☐ Yes ☒ No

4. Date of execution: 01/08/02, 02/15/02,  
02/15/02, 03/05/02 respectively.

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor  
was: \_\_\_\_\_

A. Patent Application No.(s)  
09/940,264

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom  
correspondence concerning document  
should be mailed:

Perkins Coie LLP  
P.O. Box 2168  
Menlo Park, CA 94026  
Customer No. 4539

7. Total No. of applications and  
patents involved:  
one (1)

8. Total fee (37 CFR §3.41): \$40.00  
☒ Charge Deposit Account No. 50-0665

9. Total number of pages, including cover  
sheet, attachments and document: 9

04/22/2002 6TOW11 00000104 500665 09940264

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**DO NOT USE THIS SPACE**

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached  
copy is a true copy of the original document.*

Larry W. Thrower  
Name of Person Signing

*Larry Thrower*  
Signature

3-29-02  
Date

**Assignment**

THIS ASSIGNMENT, by Chris D. Smith, Pablo E. Garcia, Thomas P. Low, and Eric J. Shrader, (hereinafter referred to as the Assignors), residing at Mountain View, CA; Redwood City, CA; Belmont, CA; and Belmont, CA; respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled METHOD AND APPARATUS FOR ELECTROSTATIC DISPENSING OF MICRODROPLETS, bearing Serial No. 09/940,264 and filed on August 27, 2001;

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, CA 94025, (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

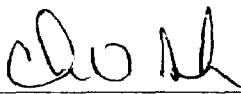
NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date: 01/28/02

  
Chris D. Smith

Date: 01/15/02

  
Pablo E. Garcia

Date: 01/15/02

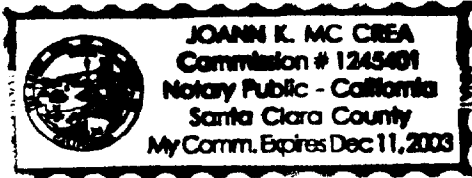
  
Thomas P. Low

Date: 3-5-02

  
Eric J. Shrader

STATE OF CALIFORNIACOUNTY OF SAN MATEO

On JAN. 28, 2002 before me, JOANN K. MCCREA, personally appeared **Chris D. Smith**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



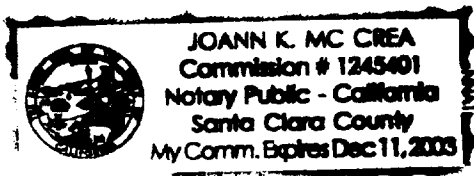
(Seal)

WITNESS my hand and official seal.

Joann K. McCreA  
Signature

STATE OF CALIFORNIACOUNTY OF SAN MATEO

On FEB. 15, 2002 before me, JOANN K. MCCREA, personally appeared **Pablo E. Garcia**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



(Seal)

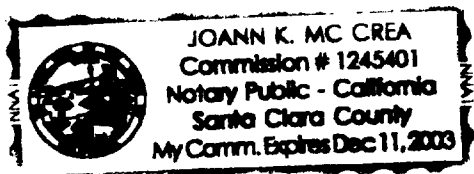
WITNESS my hand and official seal.

Joann K. McCreA  
Signature

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STATE OF CALIFORNIACOUNTY OF SAN MATEO

On FEB. 15, 2002 before me, JOANN K. MC CREA, personally appeared **Thomas P. Low**, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Joann K. Mc Crea  
Signature

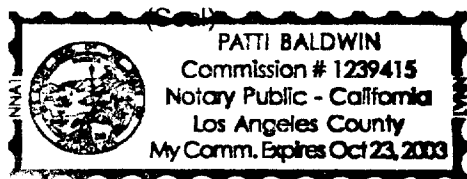
(Seal)

STATE OF CaliforniaCOUNTY OF Santa Clara

On March 5, 2002 before me, Patti Baldwin, personally appeared **Eric J. Shrader**, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Eric J. Shrader  
Signature



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Note May be notarized in lieu of witnessing

Patti Baldwin  
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