

FORM PTO-1595

RECORDATION FORM (

04-23-2002

MENT OF COMMERCE  
Trademark Office

Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

PATENTS (

Tab settings → → → OFFICE OF PUBLIC RECORDS



102063883

To the Honorable Commissioner of Patents and Trademarks: Pl.  
thereof.

2002 APR 10 PM 2:36

1. Name of conveying party(ies):

Gregory G. Cappiello  
Mikhail N. Sokolskiy  
Robert H. Dueck

FINANCE SECTION

4-10-02

Additional name(s) of conveying party(ies)  
attached? Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ OtherExecution Date: October 2, 2001; October 5,  
2001; and October 20, 2001, respectively.

2. Name and address of receiving party(ies):

Lightchip, Inc.

Street Address:

27 Northwestern Drive  
Salem, New Hampshire 03079Additional name(s) & address(es)  
attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the  
application is:

A. Patent Application No.(s)

09/724,803 filed November 28, 2000

Additional numbers attached? Yes ☐ No ☒5. Name and address of party to whom  
correspondence concerning document should  
be mailed:William F. Esser, Esq.  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-27996. Total number of applications and patents  
involved: One (1)

7. Total fee (37 C.F.R. 3.41):.....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit  
account8. Deposit account number: (for deficiency  
only)

10-0447

(Attach duplicate copy of this page if  
paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and  
any attached copy is a true copy of the original document.William F. Esser  
Name of Person Signing

Signature (Reg.No. 38,053)

March 26, 2002  
Date

Total number of pages including cover sheet, attachments, and document: 6

04/22/2002 TDI21 00000163 09724803

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Dallas2 882305 v 1, 34013.00028

PATENT  
REEL: 012805 FRAME: 0141

## **ASSIGNMENT**

WHEREAS, the undersigned, to wit:

- (1) Gregory G. Cappiello;
- (2) Mikhail N. Sokolskiy;                      and
- (3) Robert H. Dueck

(hereinafter collectively ASSIGNOR), has/have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

### **"DIFFRACTION GRATING FOR WAVELENGTH DIVISION MULTIPLEXING/DEMULTIPLEXING DEVICES"**

- (X only one) (a) \_\_\_ which was executed concurrently herewith and is being filed herewith in the United States Patent and Trademark Office;
- (b) \_\_\_ which was executed on \_\_\_\_, 20\_\_, and is being filed herewith in the United States Patent and Trademark Office;
- (c) x was filed on November 28, 2000 as United States Serial No. 09/724,803, Attorney Docket No. 34013-28.

WHEREAS, Lightchip, Inc., a corporation organized and existing under the laws of Delaware, having its principal place of business at 27 Northwestern Drive, Salem, New Hampshire 03079 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, and set over unto said ASSIGNEE, its successors, assigns, and legal representatives, all right, title, and interest in and to said invention throughout the world, and any and all patents of the United States and foreign countries that may be obtained therefor, and in and to said application, including any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, and extensions thereof, and any and all patents of the United States and foreign countries that may issue therefrom, such right, title, and interest including the right to file applications and obtain patents, utility models, industrial models, and designs for said invention in the name of said ASSIGNEE, its successors, assigns, and legal representatives, throughout the world, including all rights of priority,

all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries;

ASSIGNOR also does hereby covenant that it has the full right to convey the entire right, title, and interest herein assigned, and that it has not and will not execute any agreement in conflict herewith;

ASSIGNOR also does hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application, including any continuations, continuations-in-part, divisions, reissues, re-examinations, substitutions, and extensions thereof, to said ASSIGNEE, its successors, assigns, and legal representatives as the ASSIGNEE of the entire right, title, and interest herein assigned;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to perfect the entire right, title, and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives, and generally do everything possible to vest the entire right, title, and interest herein assigned in said ASSIGNEE, its successors, assigns, and legal representatives;

ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, execute and deliver any and all papers, make all rightful oaths, and do all lawful acts that may be necessary or desirable to make application for and obtain any and all continuations, continuations-in-part, divisionals, reissues, re-examinations, substitutions, or extensions of said application, or any application on said invention, or any patent issuing therefor or therefrom;

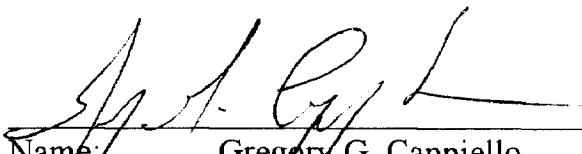
ASSIGNOR also does hereby agree to, at any time, upon request, without further or additional consideration but at the expense of said ASSIGNEE, its successors, assigns, or legal representatives, communicate to said ASSIGNEE, its successors, assigns, and legal representatives, any known facts relating to said invention or said application or any patent issuing therefor or therefrom, and testify as to the same in any interference or other litigation proceeding when requested to do so, and aid said ASSIGNEE, its successors, assigns, and legal representatives, in obtaining and enforcing proper protection for said invention in all countries;

ASSIGNOR also does hereby grant the firm of Jenkins & Gilchrist, P.C. of 1445 Ross Avenue, Suite 3200, Dallas, Texas, 75202, or its agents, the power to insert on this assignment any further information, including but not limited to application number(s) and filing date(s), which may

be necessary or desirable in order to comply with any rule or request of the United States Patent and Trademark office for the purposes of recording this document.

Executed on the date(s) below indicated:

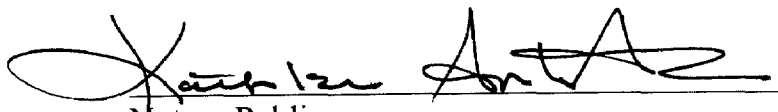
(1) IN WITNESS WHEREOF, I have hereunto set my hand and seal this 2<sup>nd</sup> day of October, 2001.

  
Name: Gregory G. Cappiello  
Address: 31 Glance Road  
Windham, New Hampshire 03087

COMMONWEALTH/STATE OF NH

COUNTY OF Rockingham


On this 2<sup>nd</sup> day of October, 2001, before me, a Notary Public in and for the Commonwealth/State of NH, did personally appear the above-named Gregory G. Cappiello, to me known and known to me to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed.

  
Notary Public

My Commission Expires: 10/27/2004

KATHLEEN E. ANTISTA  
Notary Public - New Hampshire  
My Commission Expires October 27, 2004

(2) IN WITNESS WHEREOF, I have hereunto set my hand and seal this 05 day of October 2001.

 05.10.2001  
Name: Mikhail N. Sokolskiy  
Address: 19 Michurinskaya St., Apt. 26  
St. Petersburg, Russia 197046

On this 05 day of October, 2001, before us did personally appear the above-named Mikhail N. Sokolskiy, to us known and known to us to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed.

Berezina Alla S. Petersburg, Parashutnaya st 22/1-327  
Name Address

Tseitlina Valeria, S. Petersburg, Korablistraiteley 37/1-643  
Name Address

(3) IN WITNESS WHEREOF, I have hereunto set my hand and seal this 20<sup>th</sup> day of Oct, 2001.

Robert H. Dueck

Name: Robert H. Dueck  
Address: 13766 Carlsbad Drive  
Santa Ana, CA 92705

STATE OF CA

COUNTY OF ORANGE

On this 20 day of OCTOBER, 2001, before me, a Notary Public in and for the Commonwealth/State of CA, did personally appear the above-named Robert H. Dueck, to me known and known to me to be the person who signed and sealed the foregoing instrument and acknowledged the same to be his or her own free act and deed.



Dawn Tang  
Notary Public

My Commission Expires: 05/05

# Jenkins & Gilchrist

A PROFESSIONAL CORPORATION

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SAN ANTONIO, TEXAS  
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WASHINGTON, D.C.  
(202) 326-1500

BOX ASSIGNMENT  
Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

on March 26, 2002

Signature Carolyn Bova

Re: Applicant(s): Gregory G. Cappiello, Robert H. Dueck, and Mikhail N. Sokolskiy  
Serial No. 09/724,803  
Filing Date: November 28, 2000  
For: DIFFRACTION GRATING FOR WAVELENGTH DIVISION  
MULTIPLEXING/DEMULTIPLEXING DEVICES  
Docket No.: 34013-28PT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

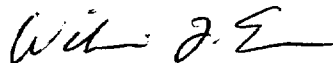
1. Form PTO-1595 Assignment Cover Form
2. Original Assignment
3. Check in the amount of \$40 for assignment recordal fee
4. Postcard acknowledgment.

Please address all communications related to this to:

William F. Esser, Esq.  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,



William F. Esser  
Registration No. 38,053