. ORM PTO-1595 (Modified) (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 04-23-2002

Docket No.: 20857 (C38435/128977)

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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102063267,

| To the Honorable Commissioner of Patents and Trademarks:   | Please record the attached original documents or copy thereof.                          |
|--|---|
| Name of conveying party(ies):  | Name and address of receiving party(ies):   |
| ROCHE VITAMINS AG 4 - 10 - 02  | Name: ROCHE VITAMINS INC. Address: 45 Waterview Blvd.                                   |
| Additional names(s) of conveying party(ies)  |   |
| 3. Nature of conveyance:   |   |
| ☑ Assignment ☐ Merger  | RECORD  |
| ☐ Security Agreement ☐ Change of Name  | City: Parsippany State/Prov.: NJ  |
| ☐ Other  | Country: <u>U.S.A.</u> ZIP: <u>07054-1219</u>   |
| Execution Date: March 13, 2002   | Additional name(s) & address(es) ☐ Yes ☒ No   |
| 4. Application number(s) or patent numbers(s):   |   |
| If this document is being filed together with a new application, the execution date of the application is:   |   |
| Patent Application No. Filing date   | B. Patent No.(s)  |
| 10/078,789 February 19, 2002   |   |
|  |   |
|  |   |
| Additional numbers   | ☐ Yes ☒ No  |
| 5. Name and address of party to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved: 1                                 |
| Name: Stephen M. Haracz  | 7. Total fee (37 CFR 3.41):\$ 40.00   |
| Registration No. 33,397  | Enclosed - Any excess or insufficiency should be credited or debited to deposit account |
| Address: BRYAN CAVE LLP  | ☐ Authorized to be charged to deposit account   |
| 245 Park Avenue<br>04/22/2002 TBIAZ1 00000185 10078789   | 8. Deposit account number:  |
| 40,00 0P   |   |
| City: New York State/Prov.: NY   | 02-4467   |
| Country: <u>U.S.A.</u> ZIP: <u>10167-0034</u>  | (Attach duplicate copy of this page if paying by deposit account)                       |
| DO NOT USE THIS SPACE  |   |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy |   |
| 9. Statement and signal and helief the foregoing inform  | nation to the state of  |
| To the best of my knowledge and belief, the foregoing inform of the original document.   | March 27, 2002  |
| To the best of my knowledge and belief, the foregoing inform of the original document.  Stephen J. Brown  Name of Person Signing                       | March 27, 2002  Signature  Date   |

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments

PATENT

REEL: 012805 FRAME: 0683

U.S.A. Case 20857

# <u>Assignment</u>

We,

Roche Vitamins AG (Assignor), a Swiss Company of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland)

hereby assign to

#### ROCHE VITAMINS INC. (Assignee)

a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at 43 Waterview Blvd., Parsipanny, New Jersey, U.S.A.,

all of our right, title and interest within the United States of America and its territorial possessions in and to the invention described and claimed in a patent application entitled

#### PROCESS FOR THE MANUFACTURE OF A VITAMIN E INTERMEDIATE

which is about to be filed in the U.S. Patent Office in the name(s) of the inventor(s)

### Werner Bonrath, Detlef Eisenkraetzer, Valerie Enjolras, Reinhard Karge, Thomas Netscher, Michael Schneider

and in respect of which a declaration and Power of Attorney was executed on:

## February 22 and March 6, 2002

and in and to said patent application and Letters Patent that may issue thereon.

Said U.S. Patent Application is based upon the following foreign application(s):

# in Europe on February 21, 2001 under No. 01104141.5

and was assigned to Roche Vitamins AG, Basle, Switzerland, by an assignment dated February 22, 2002 by Werner Bonrath, Valerie Enjolras, Reinhard Karge, Thomas Netscher and Michael Schneider and by an assignment dated March 6, 2002 by Detlef Eisenkraetzer.

Basle, Switzerland, this 13th day of March 2002.

RECORDED: 04/10/2002

Roche Vitamins AG

Fridalin Klausner

Denise Strebel Authorized signatory

> PATENT REEL: 012805 FRAME: 0684