

04-23-2002

Attorney Docket No. 019496-005830US



102064379

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. Department of Commerce
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Edward Rebar

4-11-02

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

2. Name and address of receiving party(ies)

Name: Sangamo BioSciences, Inc.

Internal Address: Point Richmond Tech Center

Street Address: 501 Canal Blvd., Suite A100

City: Richmond State: CA ZIP: 94804

Additional names and addresses attached? ☐ Yes ☒ NoExecution Date: 3/8/02; 3/8/02; 3/8/02; 3/7/02; 3/5/02; 2/20/02;
and 2/28/02 respectively.

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 10/006,069

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew T. Serafini
 TOWNSEND AND TOWNSEND AND CREW LLP
 Two Embarcadero Center, 8th Floor
 San Francisco, California 94111-3834
 (415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Andrew T. Serafini, Ph.D.

Name of Person Signing
 Atty. Reg. No. 41,303

Signature

March 28, 2002
 Date

Total number of pages including cover sheet, attachments and document 8

Mail documents to be recorded with required cover to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231

04/22/2002 6TOW11 00000062 201430 10006069

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PATENT
 REEL: 012805 FRAME: 0747

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Andrew Jamieson
Qiang Liu
Pei-Qi Liu
Alan Wolffe
Stephen P. Eisenberg
Eric Jarvis

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Edward Rebar of 1609 Roger Court, El Cerrito, CA 94530; Andrew Jamieson of 2528 Sutter Street, San Francisco, CA 94115; Qiang Liu of 55 Williams Lane, Foster City, CA 94404; Pei-Qi Liu of 2266 Bristlecone Drive, Richmond, CA 94803; Alan Wolffe of 155 Alice Lane, Orinda, CA 94563; Stephen P. Eisenberg of 5664 Pennsylvania Place, Boulder, CO 80303; Eric Jarvis of 2265 Dartmouth Avenue, Boulder, CO 80305; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: REGULATION OF ANGIOGENESIS WITH ZINC FINGER
PROTEINS

Filing Date: December 6, 2001

Application No.: 10/006,069; and

WHEREAS, Sangamo BioSciences, Inc., a corporation of the state of Delaware, located at Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, CA, 94804, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

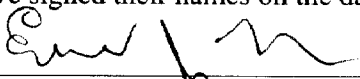
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

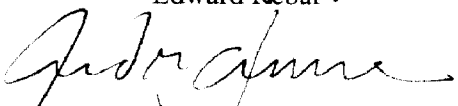
Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

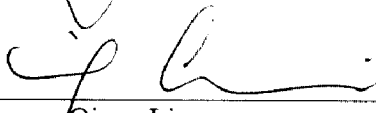
Dated: 3/8/02


Edward Rebar .

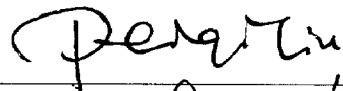
Dated: 3/8/2002


Andrew Jamieson

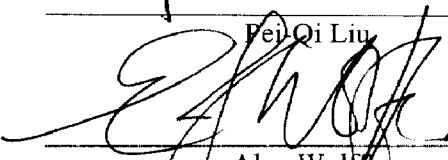
Dated: 3/8/2002


Qiang Liu

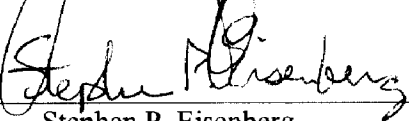
Dated: 3/7/2002


Fei Qi Liu

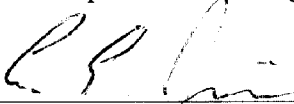
Dated: 03/05/02 .


Alan Wolfe

Dated: 2/20/02


Stephen P. Eisenberg

Dated: 2/28/02


Eric Jarvis

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415) 442-0900 TELEPHONE AND FAX NOS: Fax: (415) 442-1010 W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe	FOR COURT USE ONLY <div style="font-size: 2em; opacity: 0.5;">FILED</div> <div style="font-size: 1.2em;">AUG 30 2001</div> <div style="font-family: cursive; font-size: 1.1em;">Emilendro</div>		
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:			
ESTATE OF (Name): ALAN P. WOLFFE DECEDENT			
<table style="width: 100%;"> <tr> <td style="width: 60%;"> ORDER FOR PROBATE ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator <input checked="" type="checkbox"/> Order Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority </td> <td style="width: 40%; vertical-align: top;"> CASE NUMBER: <div style="font-size: 1.5em;">P01 - 01211</div> </td> </tr> </table>		ORDER FOR PROBATE ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator <input checked="" type="checkbox"/> Order Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority	CASE NUMBER: <div style="font-size: 1.5em;">P01 - 01211</div>
ORDER FOR PROBATE ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator <input checked="" type="checkbox"/> Order Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority	CASE NUMBER: <div style="font-size: 1.5em;">P01 - 01211</div>		
WARNING: THIS APPOINTMENT IS NOT EFFECTIVE UNTIL LETTERS HAVE ISSUED.			

1. Date of hearing: Time: Dept./Room: Judge:

THE COURT FINDS

2. a. All notices required by law have been given.
 b. Decedent died on (date): 05/26/01
 (1) ☒ a resident of the California county named above.
 (2) ☐ a nonresident of California and left an estate in the county named above.
 c. Decedent died
 (1) ☐ intestate
 (2) ☒ testate
 and decedent's will dated: July 24, 1996 and each codicil dated:
 was admitted to probate by Minute Order on (date):

THE COURT ORDERS

3. (Name): Elizabeth J. Wolffe
 is appointed **personal representative**:

- a. ☐ executor of the decedent's will
 b. ☐ administrator with will annexed
 c. ☐ administrator

- d. ☒ special administrator
 D.G. (1) ☒ with general powers
 (2) ☒ with special powers as specified in Attachment 3d(2)
 (3) ☒ without notice of hearing
 (4) ☐ letters will expire on (date):

and letters shall issue on qualification.

4. a. ☒ **Full Authority** is granted to administer the estate under the Independent Administration of Estates Act.
 b. ☐ **Limited authority** is granted to administer the estate under the Independent Administration of Estates Act (there is no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
 5. a. ☒ Bond is not required.
 b. ☐ Bond is fixed at: \$ to be furnished by an authorized surety company or as otherwise provided by law.
 c. ☐ Deposits of: \$ are ordered to be placed in a blocked account at (specify institution and location):
 and receipts shall be filed. No withdrawals shall be made without a court order. Additional orders in Attachment 5c.
 d. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.
 6. ☐ (Name): is appointed probate referee.

Date:

AUG 30 2001

JUDGE OF THE SUPERIOR COURT

7. Number of pages attached: 1

SIGNATURE FOLLOWS LAST ATTACHMENT

ESTATE OF ALAN P. WOLFFE
CONTRA COSTA COUNTY SUPERIOR COURT

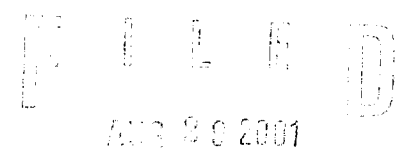
ATTACHMENT ^{3d}~~2~~ TO PETITION FOR LETTERS
OF SPECIAL ADMINISTRATION

The Petitioner respectfully requests that the court grant Letters of Special Administration to the Petitioner for the purpose of endorsing certain patent applications and stock documentation in connection with the decedent's business.

The Petitioner is the decedent's surviving spouse, and is the nominated Executor and sole beneficiary under the decedent's Will. The decedent was a research scientist, employed by Sangamo BioSciences, Inc., in Richmond, California. In his capacity as employee, the decedent developed a number of inventions, which are the intellectual property of his employer. In order to apply for patents for these inventions, the Petitioner, as personal representative of the decedent, must execute certain documentation. The patent applications must be filed immediately to avoid the loss of any such intellectual property by Sangamo BioSciences, Inc.

The Petitioner has also been advised by the Board of Directors of Sangamo BioSciences, Inc. that she, as personal representative of the decedent, must execute as soon as possible certain stock certificates and other documentation, necessary to transfer the stocks to her name as personal representative, in order for her to exercise her rights as shareholder in the company. These actions are required immediately, and are necessary and proper under the circumstances.

The Petitioner, as sole beneficiary, will be filing a Spousal Property Petition to effect the transfer of the decedent's assets to her pursuant to the terms of the decedent's Will. In the interim, her immediate appointment as Special Administrator is necessary in order to orderly administer and to avoid losses by the estate.

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415) 442-0900 W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe	TELEPHONE AND FAX NOS.: Fax: (415) 442-1010 <div style="text-align: center;">  AUG 29 2001 <i>Emilenides</i> </div>
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:	
ESTATE OF (Name): ALAN P. WOLFFE <div style="text-align: right;">DECEDENT</div>	
<div style="display: flex; justify-content: space-between;"> <div> LETTERS <input type="checkbox"/> TESTAMENTARY <input type="checkbox"/> OF ADMINISTRATION WITH WILL ANNEXED </div> <div> <input type="checkbox"/> OF ADMINISTRATION <input checked="" type="checkbox"/> SPECIAL ADMINISTRATION </div> </div>	
CASE NUMBER: <div style="text-align: center; font-size: 1.2em;">P01-01211</div>	

LETTERS

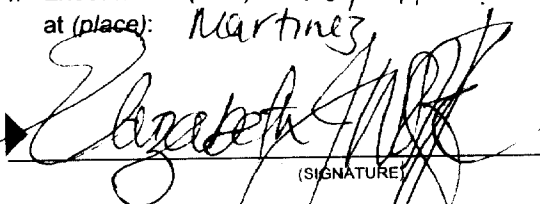
1. ☐ The last will of the decedent named above having been proved, the court appoints (name):
 - a. ☐ executor.
 - b. ☐ administrator with will annexed.
2. ☒ The court appoints (name): Elizabeth J. Wolffe
 - a. ☐ administrator of the decedent's estate.
 - b. ☒ special administrator of decedent's estate
 - (1) ☒ with the special powers specified in the Order for Probate.
 - (2) ☐ with the powers of a general administrator.
 - (3) ☐ letters will expire on (date):
3. ☐ The personal representative is authorized to administer the estate under the Independent Administration of Estates Act ☐ with full authority ☐ with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
4. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.

AFFIRMATION

1. ☐ PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7621(c)).
2. ☒ INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.
3. ☐ INSTITUTIONAL FIDUCIARY (name):

 I solemnly affirm that the institution will perform the duties of personal representative according to law. I make this affirmation for myself as an individual and on behalf of the institution as an officer.
 (Name and title):

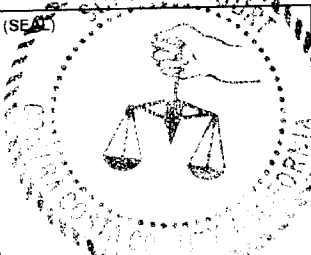
4. Executed on (date): 08/29/01
 at (place): Martinez, California.


 (SIGNATURE)

CERTIFICATION

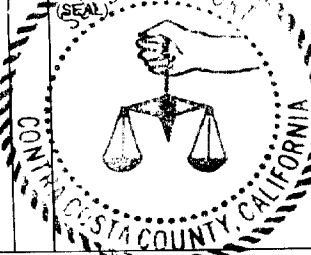
I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside, and are still in full force and effect.

WITNESS, clerk of the court, with seal of the court affixed.



Date: **AUG 29 2001**

Clerk, by *Emilenides*
 (DEPUTY)



Date: **SEP 18 2001**

Clerk, by *A. L. Lerner*
 (DEPUTY)

**ESTATE OF ALAN P. WOLFFE
CONTRA COSTA COUNTY SUPERIOR COURT**

**ATTACHMENT 3d(2) TO ORDER FOR LETTERS
OF SPECIAL ADMINISTRATION**

ELIZABETH J. WOLFFE, is hereby appointed as Special Administrator of the Estate of ALAN P. WOLFFE, with the following special powers:

1. The power to execute patent applications and related documents as personal representative of the decedent;
2. The power to execute stock certificates and related documents as personal representative of the decedent; and
3. The power to take any other actions necessary, as personal representative, to preserve the assets of the estate.