

04-23-2002

Form PTO-1595



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Patent and Trademark Office

HUBR-1196 (10109542)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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Hans SCHUHBAUER
Stefan WINKLER
Ansgar GRUBER

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Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

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- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: August 8, 2001; August 20, 2001; and
September 3, 2001

2. Name and address of receiving party(ies):

Name: SKW Trostberg Aktiengesellschaft

Internal Address: _____

Street Address: Dr.-Albert-Frank-Straße 3283308 Trostberg, GermanyAdditional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s): 10/030,491

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James R. Crawford
Internal Address: FULBRIGHT & JAWORSKI L.L.P.Street Address: 666 Fifth AvenueCity: New York State: NY Zip: 101036. Total number of applications and patents involved: 17. Total fee (37 C.F.R. § 3.41) \$40.00☒ Enclosed☐ Authorization to be charged to deposit account if check is not attached8. Deposit Account Number: 50-0624

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Crawford

Name of Person Signing

Signature

April 9, 2002

Date

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PATENT
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531 Rec'd PCT

25 OCT 2001

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Hans SCHUHBAUER
Stefan WINKLER
Ansgar GRUBER

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September 3, 2001

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Name: SWK Trostberg Aktiengesellschaft

Internal Address: _____

Street Address: Dr.-Albert-Frank-Straße 3283308 Trostberg, GermanyAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/845,021 *

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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Name: James R. Crawford
Internal Address: FULBRIGHT & JAWORSKI L.L.P.

Street Address: 666 Fifth AvenueCity: New York State: NY Zip: 101036. Total number of applications and patents involved: 11

7. Total fee (37 C.F.R. § 3.41) \$40.00

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James R. Crawford
Name of Person Signing

Signature

October 25, 2001
Date

Total number of pages including cover sheet, attachment, and document: 2

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ASSIGNMENT

WHEREAS the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

(Title of Application) METHOD FOR THE PRODUCTION OF SOLVENT-FREE
ALPHA-LIPOIC ACID

for which an application for United States Letters Patent was executed concurrently herewith unless otherwise indicated.

WHEREAS SKW Trostberg Aktiengesellschaft
(Name and address of Assignee) Dr.-Albert-Frank-Straße 32
83308 Trostberg
DE

a German corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, full and exclusive right to the said invention in the United States and all other countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, and all other countries.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

X 08.08.2001

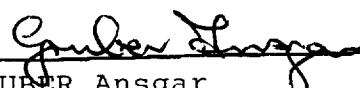
X 20.08.2001

X 03.09.2001

Signature(s)

X 
SCHUHBAUER Hans

X 
WINKLER Stefan

X 
GRUBER Ansgar

FULBRIGHT & JAWORSKI L.L.P., 666 Fifth Avenue, New York, N. Y. 10103, USA
001-212-3183000