

04-24-2002



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**CORDATION COVER SHEET  
TENTS ONLY-**

*RE*

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) Steven J. Visco
- b) May-Ying Chu

*4.1.02*

2. Name and address of receiving party(ies):

- a) Name: PolyPlus Battery Company
- Address: 2431 Fifth Street, Suite B  
Berkeley, California 94710

3. Nature of conveyance

- |   |  |
|---|--|
| <input type="checkbox"/> Assignment                                   | <input type="checkbox"/> Merger            |
| <input type="checkbox"/> Security Agreement                           | <input type="checkbox"/> Change of Name    |
| <input checked="" type="checkbox"/> Other: <u>Corrective Document</u> | <input type="checkbox"/> License Agreement |

Original Assignment Document Recorded at: Reel/Frame 9435/0594

Execution Date: September 1, 1998

4. Application Number(s) or Patent Number(s): 09/145,401

The title of the (new) application is: MIXED IONIC ELECTRONIC CONDUCTOR COATINGS FOR REDOX ELECTRODES

5. Please send all correspondence concerning this (these) documents to:

**Beyer Weaver & Thomas, LLP**  
**P.O. Box 778**  
**Berkeley, CA 94704-0778**  
**Ph: (510) 843-6200**  
**Fax: (510) 843-6203**  
**(Customer Number: 022434)**


6. Total number of applications and patents involved: 1

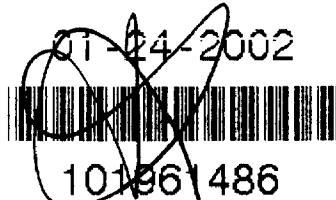
7. Total fee (37 CFR 3.41): \$0

- ☐ Enclosed
- ☐ Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. PLUSP019)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: April 1, 2002

  
\_\_\_\_\_  
Brian D. Griedel  
Registration No. 48,425



COVER SHEET

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1. Name of conveying party(ies)

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b) May-Ying Chu

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Berkeley, California 94710

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| <input type="checkbox"/>            | Security Agreement                | <input type="checkbox"/> | Change of Name    |
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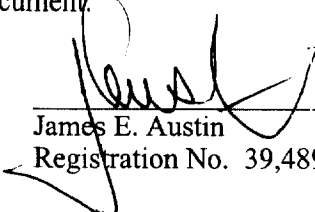
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☒ Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. PLUSP019)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 29, 2001

  
James E. Austin  
Registration No. 39,489

# ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set for in the patent application entitled:

## MIXED IONIC ELECTRONIC CONDUCTOR COATINGS FOR REDOX ELECTRODES

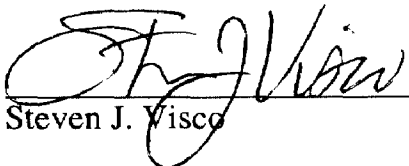
(Atty. Docket No.: PLUSP019) for which I (we) have executed an application for a United States Letters Patent.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

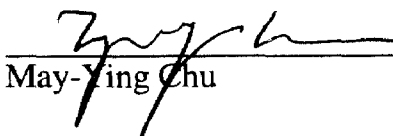
myc 10/24/01  
53V 10/24/01

- 1) Sell(s), assign(s) and transfer(s) to PolyPlus Battery Company, Inc., a California Corporation, having a place of business at 2431 Fifth Street, Suite B, Berkeley, California, 94710, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1) Signature:   
Typed Name: Steven J. Visco

Date: 9/1/98

2) Signature:   
Typed Name: May-Ying Chu

Date: 9/1/98

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: May-Ying Chu

Attorney Docket No.: PLUSP019

Application No.: 09/145,401

Examiner: Wills, M.

Filed: September 1, 1998

Group: 1745

Title: MIXED IONIC ELECTRONIC  
CONDUCTOR COATINGS FOR REDOX  
ELECTRODES

Reel No. 9435 Frame No. 0594

OFFICE OF PUBLIC RECORDS  
2002 APR 11 PM 12:19  
EXAMINATION SECTION

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail to: Commissioner for  
Patents, Washington, DC 20231 on April 1, 2002.

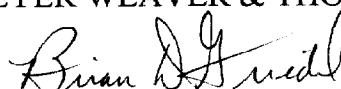
Signed: \_\_\_\_\_

Tara Hayden

COMMUNICATIONCommissioner of Patents  
Assignment Division  
Crystal Gateway 4  
3rd Floor  
1213 Jefferson Davis Highway  
Arlington, VA 22202

Sir:

Enclosed is the original Assignment document that has been recorded by the Assignment Division. On the Notice of Recordation, please replace "PolyPlus Battery Company, Inc." with --PolyPlus Battery Company--. Please correct the Notice and return it with the original Assignment document.

Respectfully submitted,  
BEYER WEAVER & THOMAS, LLPBrian D. Griedel  
Reg. No. 48,425P.O. Box 778  
Berekeley, CA 94704-0778