

04-24-2002

Form PTO-1595

(Rev. 03/01)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Susan Hardin Butler  
Jing X. Sun

04/11/02

## 2. Name and address of receiving party(ies)

Name: Lexmark International, Inc

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Street Address: 740 West New Circle Road

City: Lexington State: KY Zip: 40550

Execution Date: April 4, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 4, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jacqueling M. Daspit

Internal Address: Intellectual Property Law Dept

Street Address: 740 West New Circle Road

City: Lexington State: KY Zip: 40550

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

12-1213

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jacqueline M. Daspit  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents

3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT

REEL: 012810 FRAME: 0040

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

## ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. Section 3.31)

Attached please find an assignment (document) for recordal.

IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)  
FOR ASSIGNMENT (DOCUMENT) RECORDAL  
(37 C.F.R. Section 3.21 and 37 C.F.R. Section 3.31(a)(4))

1. This assignment is for the following patent application and/or issued patent:

- a. National application: executed on April 4, 2002

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CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

[X] deposited with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

## 37 C.F.R. Section 1.8(a)

## 37 C.F.R. Section 1.10\*

[ ] with sufficient postage as first class mail.

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## TRANSMISSION

[ ] transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_-\_\_\_\_.

Date: \_\_\_\_\_

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(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Assignment (Document) Cover Sheet--page 1 of 3)

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE**

2. A. The total number of applications and/or patents identified in this cover sheet is: 1  
B. The total fee is (37 C.F.R. Section 1.21(h)):

Total Applications/patents 1 x \$40.00 = \$40.00 Total Fee

- C. Please charge Account 12-1213 the sum of \$40.00. A duplicate of this cover sheet is attached.

Please charge Account 12-1213 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTIES CONVEYING INTEREST  
(37 C.F.R. Section 3.31(a)(1))**

3. The parties conveying interest are:

Susan Hardin Butler  
Jing X. Sun

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST  
(37 C.F.R. Section 3.31 (a)(2))**

4. The rights are being conveyed to:

Lexmark International, Inc.

**DESCRIPTION OF INTEREST CONVEYED OR  
TRANSACTION RECORDED (37 C.F.R. Section 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

(Assignment (Document) Cover Sheet--page 2 of 3)

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. Section 3.31 (a)(5))**

6. Please address correspondence to:

Name: Jacqueline M. Daspit  
Address: Lexmark International, Inc  
Intellectual Property Law Dept.  
740 West New Circle Road  
Lexington, KY 40550  
Telephone No.: 859-232-7843

**DATE ASSIGNMENT (DOCUMENT) EXECUTED  
(37 C.F.R. Section 3.31(a)(7))**

7. The attached assignment (document) was executed on April 4, 2002.

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

8. The attached document is in the English language.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

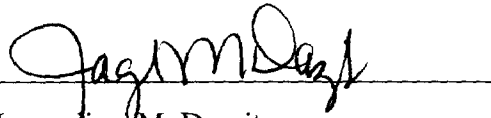
9. Submitted herewith is the original document.

**STATEMENT (37 C.F.R. Section 3.31(a)(9)) AND  
SIGNATURE (37 C.F.R. Section 3.31(a)(10))**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:

11 April 02



Jacqueline M. Daspit  
Registration No. 36,779  
Lexmark International, Inc  
Intellectual Property Law Dept.  
740 West New Circle Road  
Lexington, KY 40550  
859-232-7843

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

11. The total number of pages being submitted, **including documents** are: **Total Pages**

(Assignment (Document) Cover Sheet--page 3 of 3)

**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNORS:**

Susan Hardin Butler  
1236 Wyndham Forest Circle  
Lexington, KY 40514

Jing X. Sun  
655 Ginges Moll Lane  
Lexington, KY 40509

hereby sell, assign and transfer to

**ASSIGNEE:**

Lexmark International, Inc  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

**High Resolution Ink Jet Ink Composition**

which is disclosed in a U.S. patent application executed on even date herewith and, any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention--Multiple Inventors--page 1 of 2)

IN WITNESS WHEREOF, We have hereunto set hand and seal as if the date(s) listed below.

Susan Hardin Butler  
Signature of Susan Hardin Butler

04-04-02  
Date

Jing X. Sun  
Signature of Jing X. Sun

4/21/02  
Date

### NOTARIZATION

Details of Country

United States Of America

and place of signing of assignment

Lexington, KY

Before me on the date(s) indicated above, personally appeared the above named individuals, to me known to be the persons described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

Paula Kiser  
Notary Public

Jan. 22, 2006  
Commission Expires

(Assignment of Invention--Multiple Inventors--page 2 of 2--)

RECORDED: 04/11/2002

PATENT  
REEL: 012810 FRAME: 0045