

04-25-2002



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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Thirumalpathy Balakrishnan  
Ajay Gaonkar  
Eddy Ng

4-15-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Riverstone Networks, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5200 Great America Parkway

City: Santa Clara State: California

Zip: 95054

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/094,393

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark A. Wilson

Wilson & Ham

Internal Address: \_\_\_\_\_

Street Address: PMB: 348

2530 Berryessa Road

City: San Jose State: California Zip: 95132

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). . . . . \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Mark A. Wilson

Name of Person Signing

Mark A. Wilson

Signature

4/4/02

Date

Total number of pages including cover sheet, attachments, and documents: ☐

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

04/25/2002 GT0N11 00000045 10094393

01 AC:581

40.00 DP

PATENT  
REEL: 012812 FRAME: 0960

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

Riverstone Networks, Inc.

a corporation organized under the laws of the state of Delaware as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR PROVIDING FAILURE PROTECTION IN A NETWORK NODE

described in an application for Letters Patent of the United States, identified as Attorney Docket No. RSTN-012, at Wilson & Ham, PMB: 348, 2530 Berryessa Road, San Jose, California 95132 and filed on 03/07/2002, as Application Serial No. 10/094,393, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize Mark A. Wilson, attorney for Assignee, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have hereunto signed our names on the day and year set forth below.

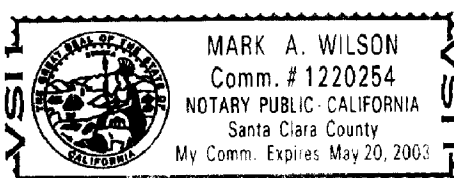
B. Th  
Inventor's Signature

03/06/02  
DATE


Thirumalpathy Balakrishnan  
Inventor's Printed Name

STATE OF CALIFORNIA )  
 ) SS  
COUNTY OF SANTA CLARA )

On this 6th day of March, 2002, before me personally came the above named Thirumalpathy Balakrishnan who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Mark A. Wilson  
NOTARY PUBLIC  
My Commission Expires: MAY 20, 2003

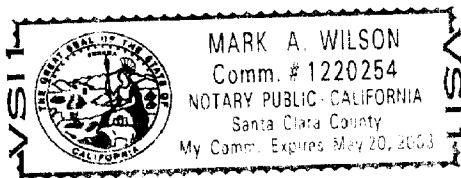
  
Inventor's Signature

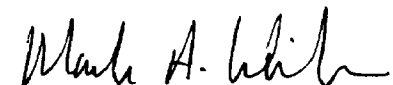
3/6/2002  
DATE

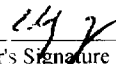
Ajay Gaonkar  
Inventor's Printed Name

STATE OF CALIFORNIA                     )  
  ) SS  
COUNTY OF SANTA CLARA             )

On this 6th day of March, 2002, before me personally came the above named Ajay Gaonkar who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



  
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My Commission Expires: MAY 20, 2003

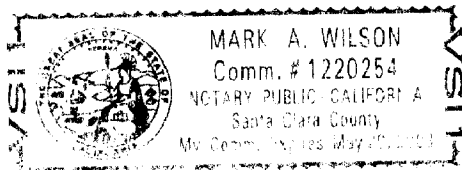
  
Inventor's Signature

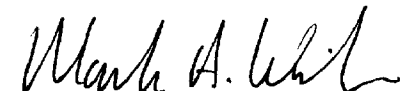
3-6-2002  
DATE

Eddy Ng  
Inventor's Printed Name

STATE OF CALIFORNIA                     )  
  ) SS  
COUNTY OF SANTA CLARA             )

On this 6th day of March, 2002, before me personally came the above named Eddy Ng who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



  
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My Commission Expires: MAY 20, 2003