

04-26-2002



Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings

102068507

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): National Products, Incorporated

4-12-02

Additional name(s) of conveying party(ies) attached? [ ] Yes [x] No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

Execution Date: 12/10/2001

2. Name and address of receiving party(ies) Name: NP Liquidating Company, Inc.

Internal Address: a Kentucky Corporation

Street Address: 912 Baxter Avenue

City: Louisville State: KY Zip: 40204

Additional name(s) & address(es) attached? [ ] Yes [x] No

OFFICE OF PATENT RECORDS FINANCE SECTION 2002 APR 12 PM 2:30

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s) 5,066,080

Additional numbers attached? [ ] Yes [x] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles G. Lamb

Internal Address: Middleton Reutlinger

Street Address: 2500 Brown & Williamson Tower

City: Louisville State: KY Zip: 40202

6. Total number of applications and patents involved: [ ]

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

LMUELLER 00000030 5066000

40.00 OP

Jeffrey A. Haerberlin, Reg. No. 40,630 Name of Person Signing

Signature

4/4/02 Date

Total number of pages including cover sheet, attachments, and documents: [3]

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

PATENT REEL: 012813 FRAME: 0544

04/25/2002 01 FC:581

189482


**SECOND ARTICLES OF AMENDMENT TO  
ARTICLES OF INCORPORATION OF  
NATIONAL PRODUCTS, INCORPORATED**

0189482.09  
John W. Brown III  
Secretary of State  
Received and Filed  
12/13/2001 01:18 PM  
Fee Receipt: \$40.00  
Perrine - PAOA

The undersigned, being President and Secretary of National Products, Incorporated, do hereby certify that its principal office located at 912 Baxter Avenue, Louisville, Kentucky 40204, do hereby certify that a meeting of Directors was held on the proposal to amend the Articles of Amendment to Articles of Incorporation filed with the Secretary of State of Kentucky on November 12, 1984, as contained in the following resolution, such resolution having been approved at a meeting of the Board of Directors of the corporation, at which a quorum was present, on December 5, 2001 and having been subsequently approved by a meeting of the shareholders, at which a quorum was present, on December 5, 2001. The following resolution was adopted by the Board of Directors and approved and adopted by the shareholders:

RESOLVED that the name of the corporation shall be changed from National Products, Incorporated to NP Liquidating Company, Inc.

IN WITNESS WHEREOF, Bruce D. Woodward, President, and Irvin D. Foley, Secretary of National Products, Incorporated, acting for and on behalf of said corporation, hereunto subscribe their names this 10<sup>th</sup> day of December, 2001.

  
Bruce D. Woodward, President

  
Irvin D. Foley, Secretary

STATE OF KENTUCKY

COUNTY OF JEFFERSON


Before me, a Notary Public in and for said state and county, personally appeared Bruce D. Woodward, President of National Products, Incorporated, and Irvin D. Foley, its secretary, who acknowledged that they being thereunto duly authorized by the Board of Directors of said corporation, did sign the foregoing instrument and that the same is their free act personally and as officers of the said corporation.

In testimony whereof, the foregoing was signed, subscribed and acknowledged before me this 10<sup>th</sup> day of December, 2001.

My commission expires: 7-28-02

  
Notary Public, State at Large, Kentucky

This instrument was prepared by:

  
Irvin D. Foley  
FOLEY BRYANT & HOLLOWAY  
718 W. Main St., Suite 201  
Louisville, Kentucky 40202  
(502) 569-7550

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	07/393,686 (PATENT 5,066,080)
Filing Date	08/16/1989 (ISSUE: 11/19/91)
First Named Inventor	WOODWARD
Examiner Name	
Group Art Unit	
Attorney Docket No.	ZD574   91001

TOTAL AMOUNT OF PAYMENT (\$ ) 40.00

### METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ ) 0</b>

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	-3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent	<input type="text"/>	= <input type="text"/>	= <input type="text"/>

#### Large Entity Small Entity

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ ) 0</b>

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ ) 40.00**

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	JEFFREY A. HAEBERLIN	Registration No. (Attorney/Agent)	40,630	Telephone	(502) 584-1135
Signature		Date	4/4/02		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

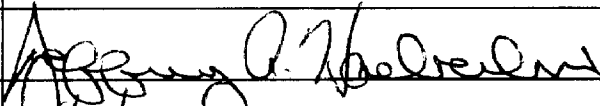
Approved for use through 10/31/2002. OMB 0651-0031


U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	<b>Application Number</b>	07/393,686 (PATENT 5,066,080)
	<b>Filing Date</b>	08/16/1989 (ISSUE: 11/19/91)
	<b>First Named Inventor</b>	WOODWARD
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> ZD574/91001

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	ARTICLES OF AMENDMENT
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	RETURN POSTCARD
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	JEFFREY A. HAEBERLIN
Signature	
Date	4/4/02

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">4/4/02</span>		
Typed or printed name	LYNN MINTON	
Signature		Date 4/4/02

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