Attorney Docket No. 018941-001510US

Form PTO-1595	Recordation For	n Cover Sheet	U.S. Department of Commerce
(Rev. 03-01)	PATENTS		บ.S. Patent and Trademark Office
OMB No. 0651-0027 (exp. 5/31/2002)	_	▼ ▼	▼ ▼
Tab settings ⇒ ⇒ ⇒ ▼	er of Patents and Trademarks.	Please record the attached orig	sinal documents or copy thereof
Name of conveying party(ies):	El OTT GROUD GIVE	2. Name and address of r	eceiving party(ies)
Girdhar K. Pandey		 !	the University of California
Additional name(s) of conveying parties attact 3. Nature of conveyance:	ched? ⊠ Yes ☐ No.		Franklin St., 12 <sup>th</sup> Floor
3. Nature of Conveyance.	<b>— N</b>		
Assignment	☐ Merger	City: <u>Oakland</u>	State: <u>CA</u> ZIP: <u>94607</u>
Security Agreement Other:	Change of Name	Additional names and add	iresses attached? ⊠ Yes ⊟ No
Execution Date: <u>5/24/2002; 5/22/2002;</u>			
<ol> <li>Application number(s) or patent num</li> </ol>			
If this document is being filed togeth	ner with a new application, th	ne execution date of the app 	olication is:
A. Patent Application No(s): 10/6	098,306	B. Patent No(s):	
Additional numbers attached? ☐ Yes ☒ No			
5. Name and address of party to who	om correspondence	6. Total number of applic	cations and patents involved 1
concerning document should be m	nailed:		
Name: Carol Fang	us aus obsidits	7. Total fee (37 CFR 3.4	1):\$ <u>40.00</u>
TOWNSEND AND TOWNSE Two Embarcadero Center, 8 <sup>th</sup>	ND AND CREW LLP  ¹ Floor	☐ Enclosed	
San Francisco, California 9411 (415) 576-0200	111-3834		o be charged to deposit account
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Statement and signature.		_	
To the best of my knowledge and document.	belief, the foregoing is true	and correct and any attache	ed copy is a true of copy of the original
	/ _ 1	h	(. / 19 / 20)
Carol Fang	Und	1	<u> </u>
Name of Person Sig Atty, Reg. No. 48,6	331	'Signature'	Date
	Total number of pages including	g cover sheet, attachments and	I document 6

Mail documents to be recorded with required cover to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

Form PTO-1595 Recordation Form Cover Sheet Patents Only Page 2

Additional name(s) of conveying party(les): (Continued from Page 1)

> Rajeev Gupta Kyung-Nam Kim Sheng Luan

- Additional name(s) and address(es) of receiving party(les): (Continued from Page 1)
- Additional application number(s) or patent number(s): (Continued from Page 1)
  - A. Patent Application No.(s)

B. Patent No.(s)

SF 1357572 v1

TTC Attorney Docket No. 018940-001510US

## <u>ASSIGNMENT</u>

1 Cirdher V Rondov	<i></i>	2. Rajeev Gupta
<del>_</del>		<del>_</del>
Corporation, having 94607-5200, and t interest for the Unit	its statewide administrat he successors, assigns an ted States and its territori	GNEE, The Regents of the University of California, a California tive offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA od legal representatives of the ASSIGNEE all of its right, title and all possessions and in all foreign countries in and to, any and all Case No. B <u>01 042 2</u> entitled:
<u>METHODS FOR ENI</u>	<u>łancing a plant stres</u>	<u>s response</u>
or which is found in		
(a)	U.S. provisional applicati	on filed herewith and listing the above-named persons as inventors
(b)	U.S. patent application fi	iled herewith and listing the above-named persons as inventors
(c) <u>XX</u>	U.S. application serial no	. <u>10/098,306</u> , filed on <u>March 15, 2002</u>
(d)	U.S. Patent No.:	, issued
Patent to be obtain part, extension, cor of said Letters Pate ASSIGNOR(S) here!	ed for said invention by t nversion to 35 USC 111(a) nt and all rights under all in	nuntry, including the right to claim priority and, in and to, all Letters he above application or any continuation, division, continuation-in- or substitute thereof, and any reissue, reexamination or extension ternational Conventions for the Protection of Industrial Property;  nment, sale, agreement or encumbrance has been or will be made or signment;
facts and document accessible to ASSI thereto and will p instruments or affic and said Letters P purposes thereof.	ts relating to said invention GNOR and will testify as romptly execute and deliving davits required to apply for latent and said equivalents An attorney of record is au	NEE will, upon its request, be provided promptly with all pertinent and said Letters Patent and legal equivalents as may be known and to the same in any interference, litigation, or proceeding relating ver to ASSIGNEE or its legal representative any and all papers, obtain, maintain, issue or enforce said application, said inventions thereof which may be necessary or desirable to carry out the thorized and requested by the execution of this assignment to insert number of said application when officially known.
AND the <u>ASSIGNO</u> United States and a	<u>R(S)</u> requests the Commiss any reissue or extension the	sioner of Patents and Trademarks to issue said Letters Patent of the ereof to the ASSIGNEE, The Regents of the University of California.
Executed this		Signature of Inventor(s)
2.4 day of	2002	Girdhar K. Pandey
day of	, <u>2002</u>	Rajeev Gupta
day of	<u>, 2002</u>	Kyung-Nam Kim
daγ of	. 2002	
	. <del></del>	Sheng Luan

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5106424995

18-2002 01:30 PLANT MICROBIOLOGY DEPT

TTC Attorney Docket No. <u>018940-001510US</u>

## **ASSIGNMENT**

U.C.B. Case No. <u>B</u>		
For good and valua	able consideration, the rece	eipt of which is hereby acknowledged, ASSIGNOR(S),
1. <u>Girdhar K. Pand</u>	<u>ey</u>	2. Rajeev Gupta
3. <u>Kyung-Nam Kim</u>	1	4. Sheng Luan
Corporation, havir 94607-5200, and interest for the Ut	ng its statewide administ the successors, assigns a nited States and its territo	SIGNEE, The Regents of the University of California, a California rative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA and legal representatives of the ASSIGNEE all of its right, title and prial possessions and in all foreign countries in and to, any and all B Case No. B <u>01 042 2</u> entitled;
METHODS FOR EA	<u>IHANCING A PLANT STRE</u>	SS RESPONSE
or which is found i	in	-
(a)	U.S. provisional applica	ation filed herewith and listing the above-named persons as inventors
(b)	U.S. patent application	filed herewith and listing the above-named persons as inventors
(c) <u>XX</u>	U.S. application serial r	no. <u>10/098,306</u> , filed on <u>March 15, 2002</u>
(d)	U.S. Patent No.:	, issued
ASSIGNOR(5) here		International Conventions for the Protection of Industrial Property; gnment, sale, agreement or encumbrance has been or will be made or ssignment;
facts and documer accessible to ASS thereto and will pinstruments or affi and said Letters burposes thereof.	nts relating to said invention (IGNOR and will testify as promptly execute and deli- idavits required to apply for Patent and said equivalent An attorney of record is a	SNEE will, upon its request, be provided promptly with all pertinent in and said Letters Patent and legal equivalents as may be known and it to the same in any interference, litigation, or proceeding relating liver to ASSIGNEE or its legal representative any and all papers, or, obtain, maintain, issue or enforce said application, said invention its thereof which may be necessary or desirable to carry out the uthorized and requested by the execution of this assignment to insert number of said application when officially known.
		ssioner of Patents and Trademarks to issue said Letters Patent of the rereof to the ASSIGNEE, The Regents of the University of California.
Executed this		Signature of Inventor(s)
day of	2002	Girdhar K. Pandey
<u> 2</u>	2002	Rajee Gupta
day of	, 2002	Kyung-Nam Kim
day of		Sheng Luan

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TTC Attorney Docket No. 018940-001510US

## <u>ASSIGNMENT</u>

U.C.B. Case No. <u>B01</u>	<u>042 2</u>	
For good and valuable	e consideration, the receipt of	which is hereby acknowledged, ASSIGNOR(S),
1. <u>Girdhar K. Pandey</u>		2. <u>Rajeev Gupta</u>
3. <u>Kyung-Nam Kim</u>	<u> </u>	4. Sheng Luan
Corporation, having 94607-5200, and thinterest for the Unit	its statewide administrative	E, The Regents of the University of California, a California offices located 1111 Franklin St., 12th Floor, Oakland, CA gal representatives of the ASSIGNEE all of its right, title and ossessions and in all foreign countries in and to, any and all No. BO1 042.2 entitled:
METHODS FOR ENH	<u>ancing a plant stress re</u>	SPONSE .
or which is found in		
(a)	U.S. provisional application fi	led herewith and listing the above-named persons as inventors
(b)	U.S. patent application filed I	herewith and listing the above-named persons as inventors
(c) <u>XX</u>	U.S. application serial no. 10	<u>/098,306</u> , filed on <u>March 15, 2002</u>
(d)	U.S. Patent No.:	, issued
Patent to be obtained part, extension, come of said Letters Patent ASSIGNOR(S) herebentered into which was and document accessible to ASSIGNOR(S) thereto and will prince the said of the said of the said will prince the said will be said with the said will be said	ed for said invention by the a version to 35 USC 111(a) or a it and all rights under all internal y covenants that no assignment yould conflict with this assignment er covenants that ASSIGNEE is relating to said invention and SNOR and will testify as to the comptly execute and deliver apply for ob-	will, upon its request, be provided promptly with all pertinent I said Letters Patent and legal equivalents as may be known and the same in any interference, litigation, or proceeding relating to ASSIGNEE or its legal representative any and all papers, stain, maintain, issue or enforce said application, said invention
and said Letters Pa purposes thereof. A into this assignment	atent and said equivalents that attorney of record is author the filing date and serial numbers.	ereof which may be necessary or desirable to carry out the ized and requested by the execution of this assignment to insert per of said application when officially known.  Ber of Patents and Trademarks to issue said Letters Patent of the fit to the ASSIGNEE, The Regents of the University of California.
Executed this		Signature of Inventor(s)
day of	, 2002	Girdhar K. Pandey
day of		Rajeev Gupta Hyunflam Kiin
day of		Kyung-Nam Kim Sheng Luan
		CHANGE WEEK.

TTC Attorney Docket No. 018940-001510US

## <u>ASSIGNMENT</u>

1		pt of which is hereby acknowledged, ASSIGNOR(S),  2. Rajeev Gupta
1	andey	<del></del>
	<u>Kim</u>	
Corporation, his 94607-5200, a interest for the	aving its statewide administra	RIGNEE, The Regents of the University of California, a California ative offices located 1111 Franklin St., 12th Floor, Oakland, CA and legal representatives of the ASSIGNEE all of its right, title and rial possessions and in all foreign countries in and to, any and all Case No. B <u>01 042 2</u> entitled:
METHODS FOR	R ENHANCING A PLANT STRES	<u>SS_RESPONSE</u>
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(c) <u>XX</u>	<u>-</u>	no. <u>10/098,306,</u> filed on <u>March 15, 2002</u>
(d)	U.S. Patent No.:	, issued
part, extension of said Letters ASSIGNOR(S)	n, conversion to 35 USC 111(a Patent and all rights under all l	the above application or any continuation, division, continuation-in- a) or substitute thereof, and any reissue, reexamination or extension international Conventions for the Protection of Industrial Property; gnment, sale, agreement or encumbrance has been or will be made or ssignment;
facts and doct accessible to thereto and v instruments of and said Lett	uments relating to said invention ASSIGNOR and will testify as will promptly execute and de r affidavits required to apply for ters Patent and said equivaler and An attorney of record is a	SNEE will, upon its request, be provided promptly with all pertinent on and said Letters Patent and legal equivalents as may be known and is to the same in any interference, litigation, or proceeding relating diver to ASSIGNEE or its legal representative any and all papers, for, obtain, maintain, issue or enforce said application, said invention into thereof which may be necessary or desirable to carry out the authorized and requested by the execution of this assignment to insert I number of said application when officially known.
AND the <u>ASS</u> United States	ilGNOR(S) requests the Commi and any reissue or extension t	issioner of Patents and Trademarks to issue said Letters Patent of the hereof to the ASSIGNEE, The Regents of the University of California.
Executed this		Signature of Inventor(s)
day of	, 2002	Girdhar K. Pandey
day of	, 2002	Rajeev Gupta
day of	, <u>2002</u>	Kyung-Nam Kim
day of	5/22 2002	Sheng Luan

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