

Attorney Docket No. 018941-001510US

Form PTO-1595

(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)Recordation Form Cover Sheet
PATENTS ONLYU.S. Department of Commerce
U.S. Patent and Trademark Office

Tab settings ⇒ ⇒ ⇒ ▼

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Girdhar K. Pandey

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Internal Address: _____

Street Address: 1111 Franklin St., 12th FloorCity: Oakland State: CA ZIP: 94607Additional names and addresses attached? ☒ Yes ☐ NoExecution Date: 5/24/2002; 5/22/2002; 5/21/2002; 5/22/2002

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 10/098,306

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol Fang
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): _____ \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Carol FangName of Person Signing
Atty. Reg. No. 48,631
Signature6/19/2002
DateTotal number of pages including cover sheet, attachments and document 6

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

700014161

PATENT
REEL: 012816 FRAME: 0079

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Patents Only
Page 2

1. Additional name(s) of conveying party(les):
(Continued from Page 1)

Rajeev Gupta
Kyung-Nam Kim
Sheng Luan

2. Additional name(s) and address(es) of receiving party(les):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

SF 1357572 v1

TTC Attorney Docket No. 018940-001510US**ASSIGNMENT**U.C.B. Case No. B01 042 2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Girdhar K. Pandey 2. Rajeev Gupta
3. Kyung-Nam Kim 4. Sheng Luan

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin St., 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCB Case No. B01 042 2 entitled:

METHODS FOR ENHANCING A PLANT STRESS RESPONSE

or which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above-named persons as inventors
(b) _____ U.S. patent application filed herewith and listing the above-named persons as inventors
(c) XX _____ U.S. application serial no. 10/098,306, filed on March 15, 2002
(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

24 day of May, 2002

____ day of _____, 2002

____ day of _____, 2002

____ day of _____, 2002

Signature of Inventor(s)

Girdhar K. Pandey
Girdhar K. Pandey_____
Rajeev Gupta_____
Kyung-Nam Kim_____
Sheng Luan

TTC Attorney Docket No. 018940-001510US

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U.C.B. Case No. B01 042 2

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Executed this

Signature of Inventor(s)

_____ day of _____, 2002

Girdhar K. Pandey

22 day of May, 2002


Rajeev Gupta

_____ day of _____, 2002

Kyung-Nam Kim

_____ day of _____, 2002

Sheng Luan

TTC Attorney Docket No. 018940-001510US

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Executed this

day of , 2002

day of , 2002

21 day of May, 2002

day of , 2002

Signature of Inventor(s)

Girdhar K. Pandey

Rajeev Gupta

Kyung-Nam Kim

Sheng Luan

TTC Attorney Docket No. 018940-001510US**ASSIGNMENT**U.C.B. Case No. B01 042 2

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Signature of Inventor(s)

_____ day of _____, 2002_____
Girdhar K. Pandey_____ day of _____, 2002_____
Rajeev Gupta_____ day of _____, 2002_____
Kyung-Nam Kim_____ day of 5/02, 2002_____
Sheng Luan