

APPENDIX B

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DOCKET NO. 965_013

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U.S. Department of Commerce

RECORDATION FORM COVER SHEET
FINANCE SECTION PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies) 4-11-02
Sunita Satyapal, James D. Freihaut and Carol M. Hawk
Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):
Name: United Technologies Corporation
Address: Hartford, CT 06101
Additional name(s) attached? ☒ No

3. Nature of Conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date October 23, 2001

4. Application number(s) or patent number(s)
If this document is being filed together with a new application, the execution date of this application is .
A. Patent Application No.(s) B. Patent No.(s)
09/822,559

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:
Name: Owen D. Marjama
Address: WALL MARJAMA & BILINSKI LLP
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

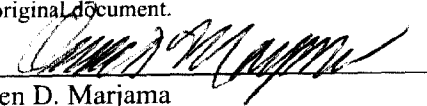
☒ Enclosed
☒ Authorized to charge any deficiency or credit any over payment to Deposit Account No. 50-0289.

8. Charge Deposit account

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Owen D. Marjama
Reg. No. 22,818

April 4, 2002

Date

Total Number of Pages Comprising Cover Sheet 6

OMB NO 0651-0011(EXP. 4/94)

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Mail documents to be recorded with required cover sheet information to:

04/24/2002 GTON11 00000253 09822559

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COMMISSIONER OF PATENTS AND TRADEMARKS
BOX ASSIGNMENTS
WASHINGTON D.C. 20231PATENT
REEL: 012816 FRAME: 0237

ASSIGNMENT

WHEREAS, We, Sunita Satyapal, James D. Freihaut and Carol M. Hawk, residing at 15D Thistle Way, East Windsor, CT 06088; 8 Woodcock Lane, South Windsor, CT 06074; and 345 Buckland Hills, Drive, Manchester, CT 06040, respectively, have invented certain improvements in "DEHUMIDIFICATION PROCESS AND APPARATUS" described in U.S. patent application Serial No. 09/822,559 filed on March 30, 2001 and executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) Sunita Satyapal
Sunita Satyapal

Oct. 8th, 2001

(L.S.) _____
James D. Freihaut

_____, 2001

(L.S.) _____
Carol M. Hawk

_____, 2001

State of Connecticut)
ss: East Hartford
County of Hartford)

On this 8th day of October, 2001 before me personally appeared Sunita Satyapal to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Darlene S. Sutton
Notary Public

S E A L

DARLENE S. SUTTON
NOTARY PUBLIC
My Commission Expires: **MY COMMISSION EXPIRES MAY 31, 2002**

State of Connecticut)
ss: East Hartford
County of Hartford)

On this _____ day of _____, 2001 before me personally appeared James D. Freihaut to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Notary Public

S E A L

My Commission Expires:

State of Connecticut)

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) _____, 2001

Sunita Satyapal

(L.S.) _____, 2001

James D. Freihaut

(L.S.) _____, 2001

Carol M. Hawk

State of Connecticut)

ss: East Hartford

County of Hartford)

On this 8th day of October, 2001 before me personally appeared ~~Sunita Satyapal~~ *Jim Freihaut* to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Gynethia C. Frazier
Notary Public

SEAL

My Commission Expires: 12/31/05

State of Connecticut)

ss: East Hartford

County of Hartford)

On this _____ day of _____, 2001 before me personally appeared ~~James D. Freihaut~~ *Sunita Satyapal* to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Notary Public

SEAL

My Commission Expires:

State of Connecticut)

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) _____, 2001
Sunita Satyapal

(L.S.) _____, 2001
James D. Freihaut

(L.S.) Carol M. Hawk, 2001
Carol M. Hawk

State of Connecticut)
ss: East Hartford
County of Hartford)

On this _____ day of _____, 2001 before me personally appeared Sunita Satyapal to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Notary Public

S E A L

My Commission Expires:

State of Connecticut)
ss: East Hartford
County of Hartford)

On this _____ day of _____, 2001 before me personally appeared James D. Freihaut to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Notary Public

S E A L

My Commission Expires:

State of Connecticut)

State of Connecticut) Mansfield
) ss: East Hartford
County of Tolland)
) Hartford

On this 23 day of October, 2001 before me personally appeared Carol M. Hawk to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his/her(their) free act and deed.

Elaine Morganson
Notary Public

ELAINE MORGANSON
NOTARY PUBLIC

S E A L

My Commission Expires: MY COMMISSION EXPIRES 11-30-02