

04-25-2002

UNUS #Y2-R030-EDG

Docket #J6655(C)



RECORDATION FORM CC

102067155

U.S. DEPARTMENT OF COMMERCE - PATENT

To the Honorable Commissioner of Patents: Please record the attached original documents or copy thereof.

## 1. NAME OF CONVEYING PARTY(IES):

Quynh T. PHAM  
Tak Yu LAM  
Norman Kramer RICHARDSON  
Prem CHANDAR  
Alexander LIPS

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES)  
ATTACHED? YES [☒>] NO [☐x]

## 2. NAME &amp; ADDRESS OF RECEIVING PARTY(IES):

4-10-02

NAME: Unilever Home & Personal Care USA, Division of  
Conopco, Inc.  
STREET ADDRESS: 33 Benedict Place  
CITY: Greenwich STATE: Connecticut ZIP: 06830

## 3. NATURE OF CONVEYANCE:

[☒x] ASSIGNMENT [☒>] SECURITY AGREEMENT [☒>] MERGER [☒>] CHANGE OF NAME [☒>] OTHER  
EXECUTION DATE: 9/19/01, 9/28/01, 9/24/01, 9/18/01, 10/19/01

## 4. APPLICATION NUMBER(S) OR PATENT NUMBER(S): 10/044,856 FILED November 1, 2001

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS: &lt;&gt;

## A. PATENT APPLICATION NO.(S) 10/044,856

ADDITIONAL NUMBERS ATTACHED? [☒>] YES [☒x] NO

## B. PATENT NO.(S) &lt;&gt;

ADDITIONAL NUMBERS ATTACHED? [☒>] YES [☒x] NO

## 5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED: UNILEVER PATENT DEPARTMENT, 45 RIVER ROAD, EDGEWATER, NJ 07020

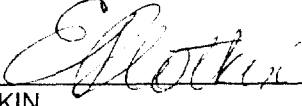
## 6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED: 1

7. TOTAL FEE (37 CFR 3.41): \$40.00 [☒x] AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT  
[☒x] ANY DEFICIENCY OR OVERPAYMENT SHOULD BE CHARGED OR CREDITED TO DEPOSIT ACCOUNT 12-1155.

## 8. DEPOSIT ACCOUNT NUMBER: 12-1155

DO NOT USE THIS SPACE

## 9. STATEMENT AND SIGNATURE: TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

  
ELLEN PLOTKIN  
ATTORNEY FOR THE APPLICANT(S)  
REGISTRATION NO. 36,636

DATE

3/28/02

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET, ATTACHMENTS AND DOCUMENT: 9

OMB NO. 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:  
COMMISSIONER OF PATENTS AND TRADEMARKS, BOX ASSIGNMENTS, WASHINGTON D.C. 20231

PUBLIC BURDEN REPORTING FOR THIS SAMPLE COVER SHEET IS ESTIMATED TO AVERAGE ABOVE 30 MINUTES PER DOCUMENTS TO BE RECORDED, INCLUDING TIME FOR REVIEWING THE DOCUMENT AND GATHERING THE DATA NEEDED, AND COMPLETING AND REVIEWING THE SAMPLE COVER SHEET. SEND COMMENTS REGARDING THIS BURDEN ESTIMATE TO THE U.S. PATENT AND TRADEMARK OFFICE, OFFICE OF INFORMATION SYSTEMS, P2-1000C, WASHINGTON, D.C. 20231 AND TO THE OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (0651-0011), WASHINGTON, D.C. 20231

OFFICE OF INFORMATION SYSTEMS  
P2-1000C  
UNILEVER 00000101 12155 10044856  
04/24/2002 01 FC:581  
40.00 CH

PATENT  
REEL: 012816 FRAME: 0486

ASSIGNMENT

WHEREAS, **Quynh T. Pham**, a citizen of the United States, residing in 23 Sussex Road, Murray Hill, New Jersey 07974; **Tak Yu Lam**, a citizen of the United States, residing in 1655 74<sup>th</sup> Street, Brooklyn, New York 11204; **Norman Kramer Richardson**, a citizen of the United States, residing in 311 Beach Street, Rockaway, New Jersey 07866; **Prem Chandar**, a citizen of Malaysia, residing in 24 Smith Street, Closter, New Jersey 07624; and **Alexander Lips**, a citizen of Germany, residing in 217 Lighthouse Terrace, Edgewater, New Jersey 07020 respectively, invented certain improvements in

**NON STICKY COSMETIC MOISTURIZER FOR SKIN AND HAIR**

(bearing Attorney's Docket Number J6655(C)) which is found:

- (a) ☐ In U.S. patent application executed on \_\_\_\_\_
- (b) ☒ To comply with 37 CFR 3.21 for recordation of this assignment, I/WE an ASSIGNOR(S) signing below, hereby authorize and request my/our attorney, as named in the Declaration and Power of Attorney to insert below the filing date and application number when it becomes known.

U.S. application Serial No. 10/044,856 filed on November 1, 2001.

- (c) ☐ International Application No. PCT \_\_\_\_\_ / \_\_\_\_\_.

AND WHEREAS, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, a corporation of New York having a principal place of business at 33 Benedict Place, Greenwich, Connecticut 06830, desires to acquire the entire right, title and interest in the said application and invention, and improvements and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign

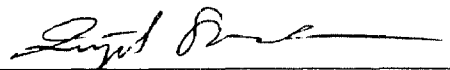
countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 19 day of September, 2001.

STATE OF NEW JERSEY     )  
COUNTY OF BERGEN        )

 (Seal)  
QUYNH T. PHAM

DIXIE E. CRUZ-ALEMAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/14/2002

DIXIE E. CRUZ-ALEMAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/14/2002

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 18<sup>th</sup> day  
of September, 2001

STATE OF NEW JERSEY )  
COUNTY OF BERGEN )

Prem Chandar (Seal)  
PREM CHANDAR

On this 18<sup>th</sup> day of September, 2001 before me came PREM CHANDAR  
to me known to be the individual described in and who executed the foregoing  
instrument, and acknowledged that he/she executed the same.

Dixie E. Cruz-Aleman  
Notary Public

DIXIE E. CRUZ-ALEMAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/14/2002

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day  
of \_\_\_\_\_, 2001

STATE OF NEW JERSEY )  
COUNTY OF BERGEN )

\_\_\_\_\_(Seal)  
ALEXANDER LIPS

On this \_\_\_\_ day of \_\_\_\_\_, 2001 before me came ALEXANDER LIPS  
to me known to be the individual described in and who executed the foregoing  
instrument, and acknowledged that he/she executed the same.

\_\_\_\_\_  
Notary Public

**ASSIGNMENT**

WHEREAS, **Quynh T. Pham**, a citizen of the United States, residing in 23 Sussex Road, Murray Hill, New Jersey 07974; **Tak Yu Lam**, a citizen of the United States, residing in 1655 74<sup>th</sup> Street, Brooklyn, New York 11204; **Norman Kramer Richardson**, a citizen of the United States, residing in 311 Beach Street, Rockaway, New Jersey 07866; **Prem Chandar**, a citizen of Malaysia, residing in 24 Smith Street, Closter, New Jersey 07624; and **Alexander Lips**, a citizen of Germany, residing in 217 Lighthouse Terrace, Edgewater, New Jersey 07020 respectively, invented certain improvements in

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(bearing Attorney's Docket Number J6655(C)) which is found:

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- (c) ☐ International Application No. PCT \_\_\_\_\_/\_\_\_\_\_.

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NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, I/We, the undersigned, hereby sell, assign and transfer to the aforesaid, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, the entire right, title and interest in and to the said application and invention therein disclosed for the United States and all foreign

countries, and all rights of priority under the International Convention resulting from the filing of said application, and in and to any and all divisional, continuation, continuation-in-part, reissue, or other applications describing said invention or improvements thereon, and in and to any and all Letters Patent of the United States which may issue from said applications or any of them, and in and to any and all applications for patents describing said invention to countries foreign to the United States and in and to any and all patents which may be granted for said invention in said foreign countries, the same to be held by and for the sole and exclusive use and benefit of the said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, in the prosecution of any and all said applications and in the prosecution of any and all interferences or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, may be necessary in this or any foreign country fully to secure to said, **UNILEVER HOME & PERSONAL CARE USA, DIVISION OF CONOPCO, INC.**, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 2001.

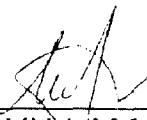
STATE OF NEW JERSEY	)	_____ (Seal)
COUNTY OF BERGEN	)	QUYNH T. PHAM

On this \_\_\_\_ day of \_\_\_\_\_, 2001 before me came QUYNH T. PHAM to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

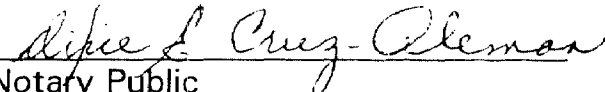
\_\_\_\_\_  
Notary Public

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 28<sup>th</sup> day of September, 2001

STATE OF NEW JERSEY )  
COUNTY OF BERGEN )

 (Seal)  
TAK YU LAM

On this \_\_\_\_ day of 9/28, 2001 before me came TAK YU LAM to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

  
Notary Public  
DIXIE E. CRUZ-ALEMAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/14/2002

IN WITNESS WHEREOF, I have hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, 2001

STATE OF NEW JERSEY )  
COUNTY OF BERGEN )

\_\_\_\_\_  
(Seal)  
NORMAN KRAMER RICHARDSON

On this \_\_\_\_ day of \_\_\_\_\_, 2001 before me came NORMAN KRAMER RICHARDSON to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he/she executed the same.

\_\_\_\_\_  
Notary Public



\_\_\_\_\_(Seal)  
PREM CHANDAR

Notary Public

Alexander Lips (Seal)  
ALEXANDER LIPS

Alize E. Cruz-Alleman  
Notary Public

DIXIE E. CRUZ-ALEMAN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 4/14/2002