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SD-6718/S-95,544

March 28, 2002

FORM PTO-1595

RECOR

Rev. 6-93) OMB No 0651-0011 (EXP. 4/94)



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

102067161

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

Darryl Y. Sasaki,  
Tina A. Waggoner, and  
Julie A. Last

4-12-02

SANDIA CORPORATION

P. O. Box 5800 - MS-0161

Albuquerque, New Mexico 87185-0161

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ Other: Assignment & Confirmatory License

Execution Date(s): 10/24/01 and 10/25/01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/041,846

Filed: 10/23/01

Issued:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one (1)

Name: Sandia National Laboratories

Internal Address: Patent &amp; Licensing, 11500

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

Mail Stop 0161

☒ Authorized to be charged to deposit account

Street Address: P. O. Box 5800

8. Deposit Account number: 19-0131

City: Albuquerque State: NM ZIP: 87185-0161

(Attach duplicate copy of this page if paying by account)

04/24/2002 LNUELLER 00000095 190131 10041846

DO NOT USE THIS SPACE

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Russell D. Elliott  
Chief IP Counsel

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012816 FRAME: 0656

## ASSIGNMENT

WHEREAS, **Darryl Y. Sasaki, Tina A. Waggoner, and Julie A. Last**, of Albuquerque, New Mexico, have made an invention relating to **SELF-ASSEMBLED LIPID BILAYER MATERIALS**, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-95544, duly executed by **said inventors**

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

Signed at Albuquerque, New Mexico.

Darryl Y. Sasaki  
Darryl Y. Sasaki

Date: 10/25/01

Tina A. Waggoner  
Tina A. Waggoner

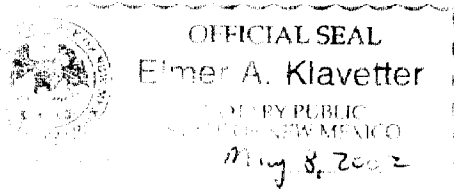
Date: 10/24/01

Julie A. Last  
Julie A. Last

Date: 10/25/01

STATE OF NEW MEXICO           )  
                                                  ) ss:  
COUNTY OF BERNALILLO       )

On the the dates listed above, personally appeared before me, Elmer A. Klavetter, Notary Public, Darryl Y. Sasaki, Tina A. Waggoner, and Julie A. Last, to me known to be the individuals described in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



Elmer A. Klavetter  
Notary Public

Approved and consented to this 1<sup>st</sup> day of APRIL, 2002

SANDIA CORPORATION

Russell D. Eliot  
Elizabeth D. Krauss, Senior Attorney  
Deputy to the General Counsel