

04-26-2002

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

REC



102068552

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert A. Falk

4-15-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 14, 2002

2. Name and address of receiving party(ies)

Name: OptoMetrix, Inc.

Internal Address: _____

Street Address: 4134 Lind Avenue SW

City: Renton State: WA Zip: 98055

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

ATTORNEY DOCKET NO.: OPMX-1-1007

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/077,151

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael S. Smith

Internal Address: Black Lowe & Graham, PLLC

Street Address: 816 Second Avenue

City: Seattle State: WA Zip: 98104-1502

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael S. Smith, Reg. 39,563

Name of Person Signing

Signature

April 3, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 4

04/25/2002 LUNELLER 00000132 10077151

01 FC:581

40.00 00

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 12817 FRAME: 0875

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert A. Falk Attorney Docket No. OPMX-1-1007
Serial No.: 10/077,151 Group Art Unit: --
Filing Date: February 15, 2002 Examiner: --
Title: FOCUS AID FOR CONFOCAL MICROSCOPE

ASSIGNMENT

WHEREAS, I, Robert A. Falk, residing at 15509 SE 184th St., Renton, WA 98058 am the inventor named in the above-identified United States patent application;

AND, WHEREAS, OptoMetrix, Inc., a small entity and a Washington corporation, having a principal address at 4134 Lind Avenue SW, Renton, WA 98055 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in and to the patent application and related inventions;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound, I hereby assign and transfer to ASSIGNEE the entire right, title, and interest in and to the patent application and related inventions, including all improvements, variations, derivations and inventive subject matter directly or indirectly related to the patent application and inventions, and all provisional or nonprovisional patent applications or issued patents that have been or may be granted thereon, including without limitation all reissues, divisions, continuations, continuations-in-part, and extensions of the patent applications or patents, or any other form of protection for the inventions related to the patent application, in the United States and foreign countries; all rights of action arising from the inventions and all applications and patents on the inventions; all claims for damages by reason of past and future infringement of the inventions and all applications and patents on the inventions, and the right to sue and collect damages for such infringement; all of the foregoing assigned rights to be held and enjoyed by the ASSIGNEE for its own use and



25315

PATENT TRADEMARK OFFICE

- 1 -

OPMX-1-1007 ASSN

BLACK LOWE & GRAHAM^{PLLC}

816 Second Avenue
Seattle, Washington 98104
206.381.3300 • **PATENT**

REEL: 12817 FRAME: 0876

benefit and for its successors and assigns as the same would have been held by me had this assignment not been made.

I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to: make and prosecute any and all applications on the inventions, maintain and enforce any and all patents on the inventions, and confirm in ASSIGNEE legal title to the inventions and all applications and patents on the inventions in the United States and foreign countries, all without charge to ASSIGNEE but at no expense to me. In the event that ASSIGNEE is unable for any reason, after reasonable effort, to secure my signature on any document needed in connection with the actions specified in this paragraph, or to otherwise perfect in ASSIGNEE the entire right, title, and interest in and to the patent application and related inventions, I hereby irrevocably designate and appoint the ASSIGNEE and its duly authorized officers and agents as my agent and attorney-in-fact, to act for and in my behalf to execute, verify and file any such documents and to do all other lawfully permitted acts to further the purposes of this assignment with the same legal force and effect as if executed by me. I hereby waive and quitclaim to the ASSIGNEE any and all claims, of any nature whatsoever, which I now or may hereafter have for infringement of any proprietary rights assigned to the ASSIGNEE.

If any provision of this assignment is held by any court to be unenforceable, such provision shall be interpreted to accomplish the objectives of the original provision to the fullest extent allowed by law, and the remainder of this assignment shall remain in full force and effect.



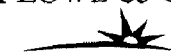
25315

PATENT TRADEMARK OFFICE

- 2 -

OPMX-1-1007 ASSN

BLACK LOWE & GRAHAM ^{PLLC}



816 Second Avenue
Seattle, Washington 98104
206.381.3300 • F: 206.361.3301

PATENT
REEL: 12817 FRAME: 0877

EXECUTED at Seattle (city), WA (state), on Feb. 14, 2002.

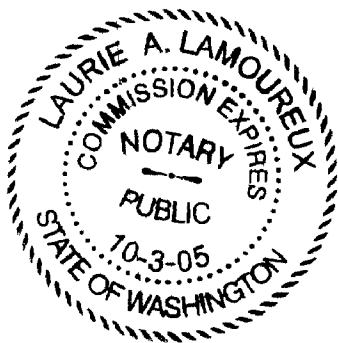
Robert A. Falk
Robert A. Falk

STATE OF WASHINGTON)
) ss.
COUNTY OF KING)

I certify that I know or have satisfactory evidence that Robert A. Falk is the person who appeared before me, and acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: Feb. 14, 2002

(Seal or stamp)



Laurie A. Lamoureux
Signature
Printed Name: Laurie A. Lamoureux
Notary Public
My appointment expires 10-3-2005




25315

PATENT TRADEMARK OFFICE

- 3 -

OPMX-1-1007 ASSN

BLACK LOWE & GRAHAM^{PLLC}


816 Second Avenue
Seattle, Washington 98104
206.381.3300 • FAX 206.441.3371
PATENT

RECORDED: 04/15/2002

REEL: 12817 FRAME: 0878