

04-26-2002



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U.S. DEPARTMENT OF COMMERCE

FORM COVER SHEET
TS ONLYPatent and Trademark Office
Docket No. 368292000200

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Philip J. BARR, Helen GIBSON and Philip PEMBERTON

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

4-15-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: March 11, 2002

2. Name and address of receiving party(ies):

Name: Arriva Pharmaceuticals, Inc.
Internal Address: 2020 Challenger Drive
Street Address: 2020 Challenger Drive
City: Alameda, State: California ZIP: 94501

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/025,514 filed December 18, 2001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Catherine M. Polizzi
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018

6. Total number of applications and patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 368292000200

8. Deposit account number: 03-1952

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Catherine M. Polizzi
Registration No: (40,130)

Signature

Date

Catherine M. Polizzi 4/3/02

Total number of pages comprising cover sheet, attachments and document: two (2)

04/26/2002 LMUELLER 00000037 031952 10025514

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40.00 CH

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

pa-674302

PATENT
REEL: 012819 FRAME: 0108

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Philip J. BARR, Helen GIBSON, and Philip PEMBERTON (hereinafter referred to as the assignors), residing at 5602 Denton Place, Oakland, California 94619; 87 Donna Way, Oakland, California 94605; and 661 Rockdale Drive, San Francisco, California 94127, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in MULTIFUNCTIONAL PROTEASE INHIBITORS AND THEIR USE IN TREATMENT OF DISEASE, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/025,514 and filed on December 18, 2001; and

WHEREAS, Arriva Pharmaceuticals, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 2020 Challenger Drive, Alameda, California 94501 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

March 11, 2002

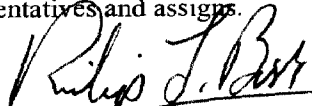
Date

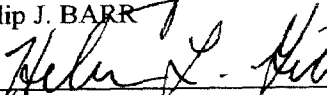
March 11, 2002


Date

March 11, 2002

Date


Philip J. BARR


Helen GIBSON



Philip PEMBERTON

State of California

County of Alameda

On March 11, 2002, before me, Tracy Greiner, Notary Public, personally appeared Philip J. Barr, Helen Gibson and Philip Pemberton, personally known to me to be the persons whose names are subscribed to the within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons, or the entity upon behalf of which the persons acted, executed the instrument.

WITNESS my hand and official seal.


Tracy Greiner



Description of Attached Document

Title of Type of Document: ASSIGNMENT JOINT

Document Date: March 11, 2002 Number of Pages: 1

Signers Other Than Named Above: None

Capacities Claimed by Signers

Signer's Name:	Philip J. Barr	Individual
	Helen Gibson	Individual
	Philip Pemberton	Individual