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OMB No. 0651-0027 (exp. 5/31/2002)

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RECORD

102071326
PATENTS ONLYDEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Northern Light Technology, LLCAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1/16/02

2. Name and address of receiving party(ies)

Name: Gregory F. Whitten

Internal Address: _____

Street Address: 8335 Overlake Dr. West

City: Medina State: WA Zip: 98039

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) _____

5924090

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Matthew W. Walch

Internal Address: Latham & Watkins

Street Address: 5800 Sears Tower

233 South Wacker Drive

City: Chicago State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1125

DO NOT USE THIS SPACE

9. Signature.

Matthew W. Walch

Name of Person Signing

Signature

April 12, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
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04/26/2002 LNUELLER 00000320 5924090

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40.00 DP

PATENT
REEL: 012822 FRAME: 0399

PATENT ASSIGNMENT

WHEREAS, pursuant to that certain Acceptance of Collateral in Full Satisfaction of Debt, dated as of January 16, 2002, by and between Northern Light Technology, LLC, a Delaware limited liability company ("Assignor"), and Gregory F. Whitten ("Assignee"), as Lead Investor (pursuant to that certain Loan Participation Agreement, dated May 4, 2001, by and among Gregory F. Whitten, Ruth A. Whitten, Eggert Dagbjartsson, Richard L. Smith and Paul King), the Assignor has agreed to assign and transfer to Assignee all right, title and interest in and to those patents and applications for patents entitled "method and apparatus for searching a database of records" as set forth on Schedule A hereto, including any patent issuing therefrom, improvements thereto, divisional or continuation applications, reissuances or extensions thereof and foreign counterparts thereto, all rights to sue at law or in equity for any past, present or future infringement thereof, and to receive all proceeds and damages therefrom (the "Patents and Patent Applications");

WHEREAS, the Assignor is the sole owner of the entire rights, title and interest in and to the Patents and Patent Applications; and

WHEREAS, the Assignee is desirous of acquiring ownership of and the entire right, title and interest of Assignor in and to the Patents and Patent Applications.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor does hereby sell, assign and transfer unto Assignee, its entire right, title and interest in the Patents and Patent Applications, including, without limitation, any improvements thereto, divisional or continuation applications, reissuances, or extensions thereof and foreign counterparts thereto, all rights to sue at law or in equity for any past, present or future infringement thereof, and to receive all proceeds and damages therefrom, to be held and enjoyed by Assignee, its successors and assigns, as fully as the same would have been held and enjoyed by Assignor had this assignment and sale not been made.

[Signature Page Follows]

IN WITNESS WHEREOF, the Assignor has caused this Patent Assignment to be executed and delivered.

NORTHERN LIGHT TECHNOLOGY, LLC

By: Dean H. Dussias
Name: DEAN H. Dussias
Title: DIRECTOR

Dated effective as of January 16, 2002

SUBSCRIBED and SWORN to before me
this 16th day of January 2002.
175

Noreen Walsh
Notary Public
My Commission Expires: 01/02/03

NOREEN WALSH
Notary Public, State Of New York
No. 01WA6053006
Qualified in Queens County
Commission Expires January 2, 2003

Schedule A

Patents

Title	Case Number Status	Application	Patent No. Issue Date	Attorney(s)	Next	Due Date(s)
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS Australia Client Ref No: 729/3	NLT-001 ISSUED	72717/98 29-Apr-1998	736428 08-Nov-2001	JAC DG		
	Assignee: NRTHN Remarks BASED ON PCT/US98/08785 F 4-29-98 WHICH CLAIMS PRIORITY TO 08/846,850 F 5-1-97			GE		
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS Canada Client Ref No: 729/3	NLT-001 Pending	2,288,745 29-Apr-1998		JAC DG	REQUEST EXAM - 1 yr.	29-Apr-200
	Assignee: NRTHN Remarks BASED ON PCT/US98/08785 F: 4-29-98 WHICH CLAIMS PRIORITY TO 08/846,850 F 5-1-97 2001 RENEWAL FEE PAID BY TH&T PER CLIENT INSTRUCTION			GE		
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS European Patent Office Client Ref No: 729/3	NLT-001 Published	98920069.6 29-Apr-1998		JAC DG		
	Assignee: NRTHN Remarks BASED ON PCT/US98/08785 F 4-29-98 WHICH CLAIMS PRIORITY TO 08/846,850 F 5-1-97 2001 RENEWAL FEE PAID BY TH&T PER CLIENT INSTRUCTION			GE		
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS Japan Client Ref No: 729/3	NLT-001 Pending	10-547408 29-Apr-1998		JAC DG	Deferred Exam	29-Apr-200 29-Apr-200
	Assignee: NRTHN Remarks BASED ON PCT/US98/08785 F 4-29-98 WHICH CLAIMS PRIORITY TO 08/846,850 F 5-1-97			GE		

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Title		Case Number	Application	Patent No. Issue Date	Attorney(s)	Next	Due Date(s)
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS		NLT-001 NATIONALIZED	US98/08785 29-Apr-1998		JAC DG GE		13-Jan-2003
Patent Cooperation Treaty Client Ref No: 729/3		Assignee: NRTHN Remarks BASED ON 08/846,850 F 5-1-97 DESIGNATING: AU, CA, EP, JP EP SERIAL NO. 98920069.6				Tax 3.5	
METHOD AND APPARATUS FOR SEARCHING A DATABASE OF RECORDS		NLT-001 ISSUED	08/846,850 01-May-1997	5,924,090 13-Jul-1999	JAC DG GE		
United States of America Client Ref No: 729/3		Assignee: NRTHN Remarks ASS. NORTHERN LIGHT TECHNOLOGY LLC 5-1-97 R 8565 F 0098 CERTIFICATE OF MERGER F: 6-6-01 TO NORTHERN LIGHT TECHNOLOGY, INC.					