

04-29-2002

U.S. Department of Commerce
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102071349
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102071349 U.S. PTO
10/126587
04/29/02

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- ☒ New *04/22/02*
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☐ Correction of PTO Error
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

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- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached

Name (line 1) Shigeru YAMAGUCHI

Execution Date
Month Day Year
04/05/2002

Name (line 2)

Second Party

Name (line 1) Tsuyoshi HIRATA

Execution Date
Month Day Year
04/05/2002

Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) NIPPON SHOKUBAI CO., LTD.

Name (line 2)

Address (line 1) 1-1, Koraibashi 4-chome, Chuo-ku, Osaka-shi

Address (line 2)

Address (line 3) Osaka Japan 541-0043
City State/Country Zip Code

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PATENT
REEL: 012824 FRAME: 0669

Correspondent Name and Address

Area Code and Telephone Number (202) 659-9076

Name Roylance, Abrams, Berdo & Goodman, L.L.P.

Address (line 1) 1300 19th Street, N.W.

Address (line 2) Suite 600

Address (line 3) Washington, D.C. 20036

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments.

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2

Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
April 5, 2002

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:
Deposit Account

Enclosed

☒

Deposit Account

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18-2220

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Garrett V. Davis

Name of Person Signing

Signature

Date

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CONTINUATION
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U.S. Department of Commerce
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10/12/02
10/12/02
04/22/02

Conveying Party(ies)

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Enter additional Conveying Parties

Execution Date
Month Day Year

Name (line 1) Shorbu SHIOJI

04/05/2002

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

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State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

11019115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNMENT

WHEREAS, We, (1) Shigeru YAMAGUCHI, (2) Tsuyoshi HIRATA, and (3) Shorbu SHIOJI, all citizens of JAPAN, residing respectively at (1) Yao-shi, OSAKA JAPAN, (2) Kobe-shi, HYOGO JAPAN, and (3) Himeji-shi, HYOGO JAPAN, (hereinafter ASSIGNORS), have made a certain invention entitled

ACRYLIC ACID (SALT) POLYMER, ITS PRODUCTION PROCESS AND USES

for which we are making application for Letters Patent of the United States, which application has been executed concurrently herewith; and

WHEREAS, Nippon Shokubai Co., Ltd., a corporation duly organized under the laws of JAPAN, located and doing business at 1-1, Koraihashi 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0043 JAPAN (hereinafter ASSIGNEE), is desirous of acquiring the entire right, title and interest in and to the aforementioned invention and the aforementioned application and any and all Letters Patent to be obtained on said invention and/or application;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

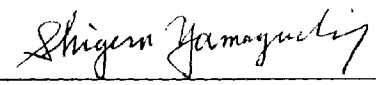
BE IT KNOWN that for good and valuable consideration paid to us by said ASSIGNEE the receipt and sufficiency of which is hereby acknowledged, we, the ASSIGNORS and, by these presents do hereby sell, assign, set over and transfer unto the said ASSIGNEE, its successors, legal representatives or assigns, the entire right, title and interest in and to the aforesaid invention in and for the United States and all countries foreign thereto; and in, to and under the aforesaid United States application and any corresponding foreign applications and any divisional, continuing, substitute or reissue applications or supplementary disclosures which may be filed on said invention in any country; and our right to file said foreign applications and claim priority under the provisions of the International Convention; and any Letters Patent of the United States or any foreign country issued or granted on said invention and/or said applications;

AND WE HEREBY authorize and request the Patent Office or other issuing authority to issue any and all patents on said invention and/or said application to said ASSIGNEE as sole assignee; and we further hereby authorize said ASSIGNEE to file and prosecute any of said foreign applications in its own name;

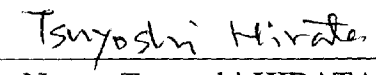
AND WE HEREBY covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any assignment or other instrument in conflict herewith;

AND WE HEREBY further covenant and agree to communicate to said ASSIGNEE, or its legal representatives, successors or assigns, any facts relating to said invention, including evidence for interference purposes or other proceedings, whenever requested, and to testify in any interference or in any other legal proceeding, when requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective; and to perform the aforesaid communicating, executing and delivering, without any payment except expenses and to perform the aforesaid testifying for reasonable compensation; and generally to do everything possible to aid the said ASSIGNEE, its successors, legal representatives or assigns to obtain and enforce proper patent protection on and for said invention in all countries, and likewise we make these provisions binding upon our heirs, legal representatives and/or administrators.


IN WITNESS WHEREOF, we have hereunder set our hand and seal.

April 5, 2002 

Date Name: Shigeru YAMAGUCHI

April 5, 2002 

Date Name: Tsuyoshi HIRATA

April 5, 2002 

Date Name: Shorbu SHIOJI