



04-30-2002



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Attorney Docket U 013634-8

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

4-23-02

1. Braj Bhushan LOHRAY
2. Vidya Bhushan LOHRAY
3. Ranga Madhavan GURRAM
4. Ramanujam RAJAGOPLAN
5. Chakrabarti RANJAN

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: DR. REDDY'S RESEARCH FOUNDATION

Address: 7-1-27, AMEERPET, HYDERABAD,
500 016 INDIA

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other ☐ Change of Address

Execution Date(s): 1. January 31, 2002; 2. January 31, 2002;
3. February 26, 2002; 4. February 26, 2002; 5. February 26, 2002

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 09/959,093☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janet I. Cord
Address: Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00 @ \$40.00 each

☒ Check Enclosed☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

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9. Signature:

Janet I. Cord
Name of Person Signing

Signature

16
April 15, 2002
Date

Total number of pages including coversheet, attachments, and documents:

5

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40.00 OF

(Assignment (Document) Cover Sheet—page 2 of 2) 16-6a

PATENT
REEL: 012828 FRAME: 0582

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☒ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

<u>Braj Bhushan LOHRAY</u> (type or print name of inventors)	<u>c/o DR. REDDY'S RESEARCH FOUNDATION</u> <u>7-1-27, AMEERPET, HYDERABAD 500 016 INDIA</u> Address <u>INDIAN</u> Nationality
<u>Vidya Bhushan LOHRAY</u>	<u>c/o DR. REDDY'S RESEARCH FOUNDATION</u> <u>7-1-27 AMEERPET, HYDERABAD 500 016, INDIA</u> Address <u>INDIAN</u> Nationality
<u>Channaveerappa Bajii ASHOK</u>	<u>403 CHARLESTON, 470 SOUTH,</u> <u>1300 EAST SALT LAKE CITY, UTAH</u> Address <u>UNITED STATES</u> <u>AMERICAN</u> Nationality
<u>Shivaramayya KALCHAR</u>	<u>c/o JI, WANG-CHEM, SCHOOL OF</u> <u>PHARMACY, NATIONAL UNIVERSITY OF</u> Address <u>TAIWAN, TAIPEI 100 TAIWAN TAIWAN</u> Nationality
<u>Ranga Madhavan GURRAM</u>	<u>c/o DR. REDDY'S RESEARCH FOUNDATION</u> <u>7-1-27, AMEERPET, HYDERABAD 500 016, INDIA</u> Address <u>INDIAN</u> Nationality
<u>Ramanujam RAJAGOPALAN</u>	<u>c/o DR. REDDY'S RESEARCH FOUNDATION</u> <u>7-1-27, AMEERPET, HYDERABAD 500 016, INDIA</u> Address <u>INDIAN</u> Nationality
<u>Chakrabarti RANJAN</u>	<u>c/o DR. REDDY'S RESEARCH FOUNDATION</u> <u>7-1-27, AMEERPET, HYDERABAD 500 016, INDIA</u> Address <u>INDIAN</u> Nationality

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hereby sells, assigns and transfers to
ASSIGNEE

DR. REDDY'S RESEARCH FOUNDATION 7-1-27, AMEERPET, HYDERABAD

(type or print name of ASSIGNEE)

INDIA

Nationality

Address

500 016 INDIA

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:
SUBSTITUTE BICYCLIC HETEROCYCLES, PROCESS FOR THEIR PREPARATION AND THEIR
USE AS ANTI OBESITY AND HYPOCHOLESTEROLEMIC AGENTS

COMPOSITIONS CONTAINING THEM

Name of inventor(s) Braj Bhushan LOHRAY, Vidya Bhushan LOHRAY,

Channaveerappa Bajji ASHOK, Shivaramayya KALCHAR, Ranga Madhavan GURRAM,
Ramanujam RAJAGOPALAN, Chakrabarti RANJAN

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled
invention.
[] Express mail label no.: _____
Mailed: _____
[] To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an ASSIGNOR
signing below, hereby authorize and request my attorney to insert below the filing
date and application number when they become known.
(d) ☐ U.S. application no. _____
filed on _____
(e) ☒ International application no. PCT/ IB00 / 00501
(f) ☐ U.S. patent no. _____ issued _____
[] A change of address to which correspondence is to be sent regarding patent
maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Braj Bhushan LOHRAY

(type name of Assignor)

(x) B. B. Lohray this (x) 3/1/2002
Signature of ASSIGNOR date of signing

Vidya Bhushan LOHRAY

(type name of Assignor)

(x) Vidya Bhushan this (x) 3/1/2002
Signature of ASSIGNOR date of signing

Channaveerappa Bajji ASHOK

(type name of Assignor)

(x) _____ this (x) _____
Signature of ASSIGNOR date of signing

Shivaramayya KALCHAR

(type name of Assignor)

(x) _____ this (x) _____
Signature of ASSIGNOR date of signing

Ranga Madhavan GURRAM

(type name of Assignor)

(x) R. Madhavan this (x) 26/1/2002
Signature of ASSIGNOR date of signing

Ramanujam RAJAGOPLAN

(type name of Assignor)

(x) R. Rajagopalan this (x) 26/1/2002
Signature of ASSIGNOR date of signing

Chakrabarti RANJAN

(type name of Assignor)

(x) R. Chakrabarti this (x) 26/1/2002
Signature of ASSIGNOR date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention—Multiple Inventors—page 3 of 3) 16-3.2

PATENT

RECORDED: 04/23/2002

REEL: 012828 FRAME: 0585