

04-30-2002

Substitute Form PTO-1595
Attorney Docket No.: 11696-018001
Client's Ref. No.: 0041-55300-US-U-00001.02

R SHEET

102072506

4/11/02

Commissioner for Patents: Please record the attached original document(s) or copy(ies).

1. Name of conveying party(ies):

Roger I. Pennell

Additional name(s) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Ceres, Inc.
3007 Malibu Canyon Road
Malibu, CA 90265

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other:

Execution Date: 03/28/02

Additional names/addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s):

10/046,660 filed 10/29/01

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

ANTON J. BOKAL IV, PH.D.
Fish & Richardson P.C., P.A.
60 South Sixth Street
Suite 3300
Minneapolis, MN 55402

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR §3.41): \$40

- ☒ Enclosed
☐ Authorized to charge Deposit Account.


8. Deposit Account No.: 06-1050

Please apply any additional charges, or any credits, to our
Deposit Account No. 06-1050.

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9. Statement and Signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Anton J. Bokal IV, Ph.D.
Reg. No. 51,243

Name of Person Signing


 Signature

 4-11-2002
 Date

Total number of pages including coversheet, attachments and document: 3

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CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, DC 20231.

 April 11, 2002
 Date of Deposit


 Signature

 Vince Defante
 Typed Name of Person Signing Certificate

PATENT
REEL: 012829 FRAME: 0959

ASSIGNMENT

For valuable consideration, I, ROGER I. PENNELL of 6760 Dume Drive, Malibu, CA 90265, hereby assign to Ceres, Inc., a Delaware corporation having a place of business at:

3007 Malibu Canyon Road
Malibu, CA 90265; and

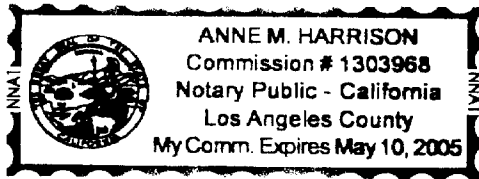
its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled CHIMERIC POLYPEPTIDES, filed October 29, 2001, and assigned U.S. Serial Number 10/046,660; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

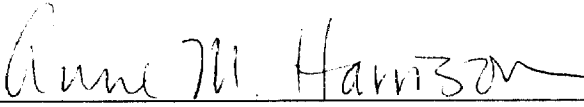
IN WITNESS WHEREOF, I hereto set my hand and seal at Malibu, California
this 28th day of March, 2002.

 L.S.
ROGER I. PENNELL

State of California :
: SS.
County of Los Angeles :

Before me this 28th day of March, 2002, personally appeared ROGER I. PENNELL
known to me to be the person whose name is subscribed to the foregoing Assignment and
acknowledged that he executed the same as his free act and deed for the purposes therein
contained.




Anne M. Harrison
My Commission Expires: May 10, 2005

[Notary's Seal Here]

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