

04-30-2002

4.12.02

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼



102072699

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

BHASKAR, Ajay Rajeshwar  
COGAN, Kevin Charles  
KAAFARANI, Bilal Moussa  
RAO, V.N. MohanAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 22, 2001

## 2. Name and address of receiving party(ies)

Name: RECOT, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5000 Hopyard Road  
Suite 460

City: Pleasanton State: CA Zip: 94588

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/999,009

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CAHOON, Colin P.

Internal Address: Carstens, Yee &amp; Cahoon, L.L.P.

Street Address: P.O. Box 802334

City: Dallas State: TX Zip: 75380

6. Total number of applications and patents involved: 

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-0392

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Colin P. Cahoon

Name of Person Signing

Signature

April 3, 2002

Date

Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

04/29/2002 T01AZ1

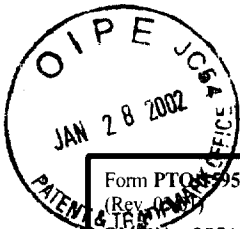
00000081 500392

09999009

01 FC:581

40.00 CH

PATENT  
REEL: 012830 FRAME: 0141



02-04-2002



101971354

D/A

Form PTO-95

(Rev. 09-1999)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

BHASKAR, Ajay Rajeshwar  
COGAN, Kevin Charles  
KAAFARANI, Bilal Moussa  
RAO, V.N. Mohan

1-28-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 22, 2001

## 2. Name and address of receiving party(ies)

Name: RECOT, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5000 Hopyard Road

Suite 460

City: Pleasanton State: CA Zip: 94588

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s): 09/999,009

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CAHOON, Colin P.

Internal Address: Carstens, Yee &amp; Cahoon, L.L.P.

Street Address: P.O. Box 802334

City: Dallas State: TX Zip: 75380

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-0392

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Colin P. Cahoon

Name of Person Signing

Signature

January 2, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

01/31/2002 BYRNE 00000262 09999009

01 FC:581

40.00 OP

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012830 FRAME: 0142

## ASSIGNMENT

**WHEREAS**, we, **Ajay Rajeshwar Bhaskar**, a citizen of India residing at 8260 Mura Drive, Plano, Texas 75025, **Kevin Charles Cogan**, a citizen of the United States residing at 550 Opal Drive, Oak Point, Texas 75068, **Bilal Moussa Kaafarani**, a citizen of the United States residing at 3709 Roundtree Way, Plano, Texas 75025 and, **V.N. Mohan Rao**, a citizen of the United States residing at 3609 Whitehaven Drive, Plano, Texas 75093, are the inventors of the invention **Process for Expanded Pellet Production**, for which we have executed an application for Letters Patent of the United States with attorney reference number CFLAY.00057; and

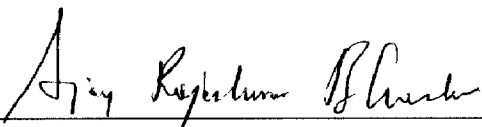
**WHEREAS, RECOT, INC.**, incorporated in the state of Delaware, with a place of business at 5000 Hopyard Road, Suite 460, Pleasanton, California 94588 is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application;

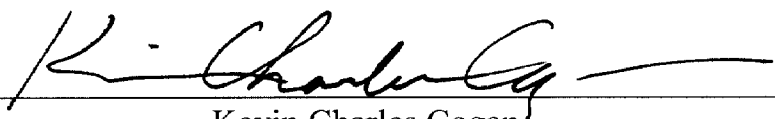
**NOW, THEREFORE**, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we the said **Ajay Rajeshwar Bhaskar, Kevin Charles Cogan, Bilal Moussa Kaafarani and V.N. Mohan Rao**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **RECOT, INC.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof and all rights of priority in any such country or countries based upon the filing of the said application for Letters Patent of the United States which are created by any law, treaty or international convention; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said **RECOT, INC.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

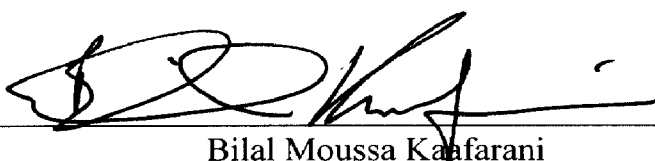
**AND WE HEREBY** covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

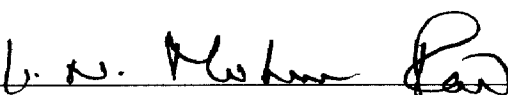
**AND WE HEREBY** further covenant and agree that we will communicate to said **RECOT, INC.**, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **RECOT, INC.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

IN TESTIMONY WHEREOF, we hereunto set our hands and seals the day and year set opposite our respective signatures.

Date: October 19, 2001  L.S.  
Ajay Rajeshwar Bhaskar

Date: October 19, 2001  L.S.  
Kevin Charles Cogan

Date: October 22, 2001  L.S.  
Bilal Moussa Kaafarani

Date: Oct 22 2001  L.S.  
V.N. Mohan Rao

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

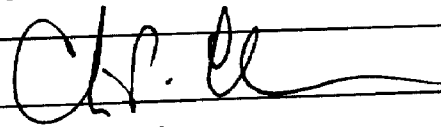
+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/999,009
	<b>Filing Date</b>	October 31, 2001
	<b>First Named Inventor</b>	Bhaskar, et al.
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> CFLAY.00057


ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Corrected Assignment Recordation Form	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Colin P. Cahoon
Signature	
Date	April 3, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 3, 2002

Typed or printed name	Rhonda K. McGee	Date	April 3, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECORDED: 04/12/2002

PATENT  
REEL: 012830 FRAME: 0145