05-01-2002

Substitute Form PTO-1595 torney Docket No.: 06501-090001 lient's Ref. No.: D1-A0001Y1P-US

RECORD#

PAIL. 102074502

4/23/02

Commissioner for Patents: Please record the attached original document(s) or copy(ies).			
Name of conveying party(ies):	Name and address of receiving party(ies):		
Masatake Kudoh and Hiroaki Yamamoto	Daicel Chemical Industries, Ltd.		
Additional name(s) attached? ☐ Yes ☑ No	1 Teppo-cho, Sakai-shi Osaka 590-8501 JAPAN		
3. Nature of conveyance:	OSARA 090-0001 SAI AIV		
☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:			
Execution Date: December 14, 2001	Additional names/addresses attached? ☐ Yeş ☒ No		
4. Application number(s) or patent number(s):			
If this document is being filed with a new application, the execution	date of the application is:		
A. Patent Application No(s).:	B: Patent No(s).:		
09/978,758			
Additional numbers attached? ☐ Yes 図 No			
5. Name/address of party to whom correspondence concerning document should be mailed:	6. Total number of applications/patents involved: 1		
JANIS K. FRASER, PH.D., J.D.	7. Total fee (37 CFR §3.41): \$40		
Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804	☑ Enclosed □ Authorized to charge Deposit Account.		
	8. Deposit Account No.: 06-1050		
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.		
DO NOT USE THIS SPACE			
9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.			
Janis K. Fraser, Ph.D., J.D. Reg. No. 34,819 Name of Person Signing Signature	Vasse Wil 16, 2002		
Total number of pages including coversheet, attachments and document: 3			

20419944.doc

04/30/2002 GTDN11 00000104 09978758

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents,

Washington, D.C. 20231.

PATENT

REEL: 012834 FRAME: 0778

ASSIGNMENT

For valuable consideration, we, <u>MASATAKE KUDOH</u> of <u>Ibaraki, JAPAN</u>, and <u>HIROAKI YAMAMOTO</u> of <u>Ibaraki, JAPAN</u>, hereby assign to:

Daicel Chemical Industries, Ltd., a Japanese corporation having a place of business at:

1, Teppo-cho, Sakai-shi Osaka 590-8501 JAPAN; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled (R)-2-OCTANOL DEHYDROGENASES, METHODS FOR PRODUCING THE ENZYMES, DNA ENCODING THE ENZYMES, AND METHODS FOR PRODUCING ALCOHOLS USING THE ENZYMES, filed October 16, 2001, and assigned U.S. Serial Number 09/978,758, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

> PATENT REEL: 012834 FRAME: 0779

I	In Witness Whereof, I hereto set my hand and seal at Daraki, Japan	,
	4th day of <u>Pecember</u> , 200]	
_	Masatake Kudoh	L.S.
	MASATAKE KUDOH	
Witness	ed by: <u>Akinobu Matsuyama</u> Akinobu Matsuyama Date: December 14, 200/	
Name:	Akinobu Malsuyama Date: December 14, 2001	
Witness	ed by: Zakacha Jaka	
Name:_	Takashi Toki Date: December 14, 2001	
	Ibayaki In Witness Whereof, I hereto set my hand and seal at Tsukuba , JAPAN	,
this	14th day of December, 2001	
-	7 deronki yamamoto HIROAKI YAMAMOTO	L.S.
Witnesso Name:	ed by: <u>Akinobu Matsuyama</u> Akinobu Matsuyama Date: <u>Pecember 14, 200</u>	
Witnesse	ed by: Jakasti Jake Takasti Toki Date: December 14, 2001	

20349123.doc

RECORDED: 04/23/2002

PATENT REEL: 012834 FRAME: 0780