

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027U.S. Department of Commerce
Patent and Trademark Office
PATENT

05-01-2002



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Navy Case # 83393

J-993 U.S. PTO

10/116844
04/05/02

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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Conveyance Type☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other**U.S. Government**

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☐ Departmental File☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Name (line 1) Craig A. Matzdorf

Execution Date
Month Day Year
03 25 2002

Name (line 2)

Second Party

Name (line 1) James L. Green, III

Execution Date
Month Day Year
03 25 2002

Name (line 2)

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) The United States of America as represented by the

Name (line 2) Secretary of the Navy, Chief of Naval Research,

Address (line 1) Office of Counsel (ATTN: Code 00CCIP)

Address (line 2) Ballston Tower One, 800 Quincy Street

Address (line 3) Arlington

Virginia/USA

22217-5600

City

State/Country

Zip Code

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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date
Month Day Year

03 25 2002

Name (line 1) Michael J. Kane

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

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Address (line 3)

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Name (line 2)

Address (line 1)

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10,116,844		

Correspondent Name and Address

Area Code and Telephone Number (301) 342- 9559

Name OFFICE OF COUNSEL (PATENTS) RON BILLI

Address (line 1) BLDG. 435, SUITE A, UNIT 7

Address (line 2) NAVAL AIR WARFARE CENTER AIRCRAFT DIVISION

Address (line 3) 47076 LILJENCRAITZ ROAD

Address (line 4) PATUXENT RIVER, MD 20670

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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1

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Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

03 25 2002

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number
has not been assigned.

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Number of Properties

Enter the total number of properties involved.

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1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

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Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

500938

Authorization to charge additional fees:

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No

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Ron Billi, Reg. No. 34,085

Name of Person Signing

Ron Billi

Signature

4-4-02

Date

ASSIGNMENT OF INVENTION

WHEREAS, we Craig A. Matzdorf, James L. Green, III and Michael J. Kane of 44576 Spinnaker Way, California, MD 20619, 11550 Senora Lane, Lusby, MD 20657 and 20830 Harlequin Lane, Callaway, MD 20620 while employed by the Government of the United States of America, hereinafter referred to as the Government, have made an invention entitled "Pretreatment For Aluminum and Aluminum Alloys" identified as Navy Case No. 83393 and described in application for Letters Patent of the United States of America executed by us on MARCH 25 2002; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096 to the entire right, title, and interest therein, including foreign rights; and

WHEREAS, the Government is desirous of obtaining the entire right, title and interest in and to the invention disclosed in said application within the United States of America, its territories and possessions and other rights and benefits herein granted; and

WHEREAS, as to foreign rights, the Government desires an option to obtain such rights;

NOW, THEREFORE, in consideration of the premises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the United States of America as represented by the Secretary of the Navy the entire right, title, and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title, and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent as may issue therefrom and any reissue or extensions thereof, said invention, application and Letters Patent to be held by the United States of America as represented by the Secretary of the Navy to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We do hereby also grant unto the Government, the option to take the entire right, title, and interest in and to the invention and all patent applications, patents and other forms of protection thereon in countries foreign to the United States of America within eight months of the filing date of any application for United States Letters Patent covering the invention; such option to be exercised by a written notification to us within such eight months identifying the specific foreign countries in which the Government will file or cause to be filed an application for patent or other form of protection on the invention; and that the rights in the foreign countries not exercised under the option are left to us subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on the invention in any foreign country, including the power to issue sub-licenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

We hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

March 25, 2002

(date)

Craig A. Matzdorf

Seal

Craig A. Matzdorf

James L. Green, III

Seal

James L. Green, III

Michael J. Kane

Seal

Michael J. Kane

March 25, 2002

(date)