

05-01-2002

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



102074656

R SHEET U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office
Atty Dkt No.:01 P 11007 US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Helmut Tews, Alexander Michaelis, Uwe Schroeder, Martin Popp,
Kristin Schupke and Daniel Koehler

4-12-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: March 18, 2002

2. Name and address of receiving party(ies)

Name: Infinion Technologies North America Corp.

Internal Address:

Street Address: 1730 North First StreetCity: San Jose State: CA ZIP: 95112Additional name(s) & address(es) attached? ☐ Yes ☒ No10/12/02
10/12/02
04/12/02

4. Application number(s) or patent number(s):

10,122 996

If this document is being filed together with a new application, the execution date of the application is: March 18, 2002

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa KellerInternal Address: Infinion Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

12-1095

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true Copy of the original document.

Andrew T. Zidel, Patent Agent

Name of Person Signing

Reg. No. 45,256

SignatureApril 12, 2002

Date

Total number of pages including cover sheet, attachments, and document: 13

382909_1.DOC

05/01/2002 LMUELLER 00000006 121095 10122996

01 FC:581

40.00 CH

PATENT
REEL: 012835 FRAME: 0757

ASSIGNMENT

For good and valuable consideration, I, Kristin Schupke, residing at Herrmann-Tögel Weg. 3, 01108, Dresden, Germany, a citizen of Germany;

hereby sell, assign, and transfer to **Infineon Technologies NORTH AMERICA CORP.** a corporation organized and existing under the laws of Germany, and located at **1730 North First Street, San Jose, CA 95112;**

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 15.03.2002

Kristin Schupke
KRISTIN SCHUPKE

Witness 1: Anett Moll
(Anett Moll)

Witness 2: Marion Nichterwitz
(Marion
Nichterwitz)

Assignment K. Schupke

ASSIGNMENT

For good and valuable consideration, I, Daniel Koehler, residing at Tetschener Str. 32, 01277, Dresden, Germany, a citizen of Germany;

hereby sell, assign, and transfer to **Infineon Technologies NORTH AMERICA CORP.** a corporation organized and existing under the laws of Germany, and located at **1730 North First Street, San Jose, CA 95112;**

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

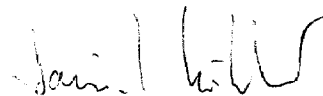
**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 07.03.2002



DANIEL KOEHLER

Witness 1: Uwe Rudolph
(Uwe RUDOLPH)

Witness 2: O. Genz
(OLIVER GENZ)

ASSIGNMENT

For good and valuable consideration, I, Helmut Tews; residing at Carl-Orf-Weg 1, 82008 Unterhaching, a citizen of Germany;

hereby sell, assign, and transfer to **Infineon Technologies NORTH AMERICA CORP.** a corporation organized and existing under the laws of Germany, and located at **1730 North First Street, San Jose, CA 95112;**

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 3-18-2002

Helmut Tews
HELMUT TEWS

Witness 1: 3-18-2002 Klaus Schaefer
(type name)

Witness 2: 3-18-2002 Jirge Witz
(type name)

Assignment H. Tews

ASSIGNMENT

For good and valuable consideration, I, Uwe Schroeder, residing at Goethestrasse 22, 01109 Dresden, Germany, a citizen of Germany;

hereby sell, assign, and transfer to **Infineon Technologies NORTH AMERICA CORP.** a corporation organized and existing under the laws of Germany, and located at **1730 North First Street, San Jose, CA 95112;**

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

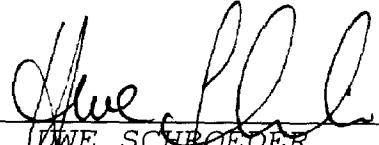
**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 3/1/02


UWE SCHROEDER

Witness 1: T. W. HECHT
(type name)

Witness 2: Dirk Manger (Dirk Manger)
(type name)

ASSIGNMENT

For good and valuable consideration, I, Martin Popp, residing at Selliner Str. 17, D, 01109, Dresden, Germany, a citizen of Germany;

hereby sell, assign, and transfer to **Infineon Technologies NORTH AMERICA CORP.** a corporation organized and existing under the laws of Germany, and located at **1730 North First Street, San Jose, CA 95112;**

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 3/11/02

Martin Popp
MARTIN POPP

Witness 1: Bernard Goebel
(type name)
(Bernard Goebel)

Witness 2: Peter Moll
(type name)
Peter Moll

ASSIGNMENT

For good and valuable consideration, I, Alexander Michaelis, residing at Rubekamp 19a, 38685 Wolfshagen, Germany, a citizen of Germany;

hereby sell, assign, and transfer to *Infineon Technologies NORTH AMERICA CORP.* a corporation organized and existing under the laws of Germany, and located at *1730 North First Street, San Jose, CA 95112*;

hereinafter "Assignee", its successors, assigns and legal representatives, the entire right, title and interest in and for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewithin, and is entitled:

**ETCH PROCESS FOR RECESSING POLYSILICON
IN TRENCH STRUCTURES**

and in and to said application and all divisional, continuing substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

Agree that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, their successors, assigns, and legal representatives to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 16.3.02

Dr. A. Michaelis
ALEXANDER MICHAELIS

Witness 1: Nicole Michaelis
(type name)

Nicole Michaelis

Witness 2: A. Michaelis
(type name)