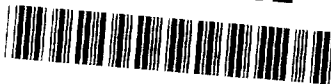


05-01-2002



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To the Honorable Commissioner of Patents and Trademarks: Please attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack O. Chu  
Feng-Yi Huang  
Steven J. Koester  
Devendra K. Sadana

4-23-02

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 4/4/02;3/31/02;4/8/02;4/4/02 respectively

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk State/Prov.: New York

Country: U.S.A. ZIP: 10504

Additional name(s) & address(es) ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10,128,794

If this document is being filed together with a new application, the execution date of the application is: 4/4/02;3/31/02;4/8/02;4/4/02 respectively

Patent Application No. Filing date

B. Patent No.(s)

04/30/2002 6TON11 00000282 500510 10128794

FC:581

40.00 CH

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven Fischman

Registration No. 34,594

Address: SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

City: Garden City State/Prov.: New York

Country: U.S.A. ZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven Fischman

Name of Person Signing

Signature

April 23, 2002

Date

4

Total number of pages including cover sheet, attachments, and

# ASSIGNMENT

Whereas, we

Inventor  
and City

(1) Jack O. Chu  
County of Nassau

City of Manhasset Hills  
and State of New York

(2) Feng-Yi Huang  
County of Los Angeles

City of Hacienda Heights  
and State of California

and

(3) Steven J. Koester  
County of Westchester

City of Ossining  
and State of New York

and

(4) Devendra K. Sadana  
County of Westchester

City of Pleasantville  
and State of New York

have invented certain improvements in

Title

METHOD OF FORMING A SiGe-ON-INSULATOR SUBSTRATE USING SEPARATION BY IMPLANTATION OF OXYGEN

Dates That  
Inventors  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on

(1) April 4, 2002, and (2) March 31, 2002  
(3) April 8, 2002, and (4) April 4, 2002.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City

(1) Signed at

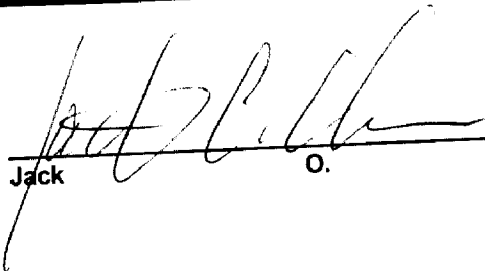
Yorktown Heights, NY

Date

on

4-04-02

Jack



O.

Chu

signature  
inventor

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IBM Docket No.: YOR920010478US1  
SSMP: 14676

City (2) Signed at *Shanghai, China*  
Date on *March 31, 2002*

*Feng-Yi Huang*  
Feng-Yi Huang signature  
Inventor

City (3) Signed at  
Date on

Steven J. Koester signature  
Inventor

City (4) Signed at  
Date on

Devendra K. Sadana signature  
Inventor

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PAGE 2 OF 2

City (2) Signed at

Date on

\_\_\_\_\_  
Feng-Yi Huang signature  
inventor

City (3) Signed at Yorktown Heights, NY

Date on April 8, 2002

\_\_\_\_\_  
Steven J. Koester signature  
inventor

City (4) Signed at Yorktown Heights, NY

Date on April 4, 2002

\_\_\_\_\_  
Devendra K. Sadana signature  
inventor