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To the Honorable Com lease record the _102078016 attached original documents or 1. Name of conveying party(ies): Name and address of receiving **Horst Hoeffkes** party (ies): **Detlef Hollenberg** Name: Henkel Kommanditgesellschaft 4-23-02 Reinhard Mueller auf Aktien (Henkel KGaA) David Rose Internal Address: Additional names(s) or conveying party(ies) attached? Yes [X]No 3. Nature of Conveyance: Street Address: TFP/Patentabteilung [] Merger Assignment 1 Security [] Change of Name City: Duesseldorf State GER Zip D-40191 Agreement Other- Correct Citizenship of David [X] Rose Recorded on November 17, 1998 Additional name(s) & addresses attached? [] Yes [X] No at Reel No. 9854; Frame No. 0629 Execution Date: March 26, 1998 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No (s). A. Patent application No. (s) Additional numbers attached? [] Yes [X] No 6. Total number of applications and 5. Name and Address of party to whom correspondence concerning document should be patents involved: [1] mailed: 7. Total fee (37 CFR 3.41).....\$ 40.00 Name: Henkel Corporation [] Enclosed Internal Address: Patent Law Department Authorized to be charged to deposit account Attention: Kimberly R. Hild Street Address: 2500 Renaissance Blvd. - Ste. 200 8. Deposit account number: 01-1250 (Order No. 02-0122) City Gulph Mills State Pa ZIP 19406 (Attached duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Kimberly R. Hild (R.N. 39,224) Name of Person Signing Total Number of pages including cover sheet, attachments and document: [OMB No. 0651-0100 (EXP. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks 05/06/2002 LIEIFLLER 00000005 011250 **Box Assignments** Washington, D.C. 20231 FC:581 40.60 CH CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: BOX ASSJENMENTS, Assistant Commissioner for Patents, Washington, DC 20231, on the date below. Cany Celebran Signature of certifier april 15, 2002

Typed or printed name of certifier

PATENT

REEL: 012846 FRAME: 0539

ADDENDUM TO ASSIGNMENT OF APPLICATION FOR PATENT

I, David Rose, a resident of Am Eichelkamp 223, 40723 Hilden, Germany and citizen of Great Britain state and declare the following:

A) On March 26, 1998, I executed an Assignment of Application for Patent with my co-inventors (attached), to sell, assign, transfer and set over unto Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA) its successors and assigns, the entire right, title and interest in and to an invention in Oxidation Colorants, said invention having been filed as PCT international application number PCT/EP96/04043 on September 14, 1996 designating the United States of America and various other countries, said assignment including the transfer of my entire right, title and interest in and to said application and any and all Letters Patent that may issue thereon in the United States and any other country or countries together with any division, divisions, extension, extensions, reissue, or reissues thereof (hereinafter referred to as "Assignment"); and

B) Said Assignment, which was recorded in the United States Patent and Trademark Office on November 17, 1998 at Reel No. 9854 and Frame No. 0629 incorrectly stated my citizenship as Germany, whereas my true country of citizenship is Great Britain.

By my signature below, I hereby acknowledge that said Assignment, executed by me on March 26, 1998, remains valid and in full effect, and request that said Assignment recordation at the United States Patent and Trademark Office on November 17, 1998 at Reel No. 9854 and Frame No. 0629 be corrected to change my citizenship from "Germany" to "Great Britain."

David Rose

Date

PATENT REEL: 012846 FRAME: 0540

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, we, Horst Hoeffkes, Detlef Hollenberg, Reinhard Mueller and David Rose citizens of Germany and residents of Carlo-Schmid-Str. 113, 40595 Duesseldorf, Germany; Fliederweg 31, 40699 Erkrath, Germany; Gottfried-Hausmann-Weg 13, 41812 Erkelenz, Germany and Am Eichelkamp 223, 40723 Hilden, Germany; respectively, have invented certain new and useful improvement in OXIDATION COLORANTS for which we have made application for United States Letters Patent, said application having been filed as PCT international application number PCT/EP96/04043 on September 14, 1996 designating the United States of America and various other countries; and

WHEREAS, Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA) a corporation duly organized and existing under the laws of Germany and having a place of business at TFP/Patentabteilung, D-40191 Duesseldorf, Germany is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said Horst Hoeffkes, Detlef Hollenberg, Reinhard Mueller and David Rose for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by the said Henkel KGaA and for other good and valuable considerations, the receipt of all of which is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said Henkel KGaA its successors and assigns, the

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entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereon in the United States and any other country or countries together with any division, divisions, extension or extensions, reissue or reissues thereof;

AND, we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may issue upon said invention to said <u>Henkel KGaA</u> as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

IN WITNESS WHEREOF, we have hereunto set our hand as of the following $\mbox{date}(\mbox{\it S})$.

Horst Hoeffkes

Detlef Hollenberg

Doinhard Mueller

David Re

David Rose

Date March 20, 1998

Date March 26, 1998

Date March 26, 1998

Date Monch 26, 1998