

05-06-2002

REC



Attorney Docket U 013866-7

IT

4/29/02

102078208

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. JONG HO KOH
2. YOUNG SUK LEE

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: NEL BIOTECH CO., LTD.

Address: 808-15, DUKSAN-RI,
SAMJUK-MYONG,
ANSUNG-CITY,
KYONGGI-DO, KOREA

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____ ☐ Change of Address

Execution Date(s):
1. FEBRUARY 1, 2002
2. FEBRUARY 4, 2002

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☐ Patent Application
No.(s): 10/072,141☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cynthia R. Miller
Address: Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00 @ \$40.00 each

- ☒ Check Enclosed
- ☒ Authorized to be charged in whole or in part to:

8. Deposit account number: 12-0425

DO NOT USE THIS SPACE

9. Signature:

Cynthia R. Miller
Name of Person Signing

Signature

April 19, 2002
Date

Total number of pages including coversheet, attachments, and documents:

6

05/03/2002 GTON11 00000219 10072141

01 FC:581

40.00 DP

(Assignment (Document) Cover Sheet—page 2 of 2) 16-6a

PATENT
REEL: 012847 FRAME: 0574

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Inventor(s) or person(s) or entity(ies)
who own the invention

KOH, Jong Ho

(type or print name(s) of ASSIGNOR(S))

Address

Dong-A Apartment 104-1803, 644,

Janyang-2Dong, Gwangjin-Gu, Seoul, Korea

Nationality

Republic of Korea

LEE, Young Suk

(type or print name(s) of ASSIGNOR(S))

Address

LG Village 108-1302, Mangpo-Dong, Paldal-Gu,

Suwon-City, Kyonggi-Do, Korea

Nationality

Republic of Korea

(type or print name(s) of ASSIGNOR(S))

Address

Nationality

(Assignment of Invention---page 4 of 1) 16-3

(type or print name(s) of ASSIGNOR(S))

Address _____

Nationality _____

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____ **Reel** _____

hereby sells, assigns and transfers to

ASSIGNEE:

NEL BIOTECH CO., LTD.

(type or print name(s) of ASSIGNEE(S))

Address 808-15, Duksan-Ri, Samjuk-Myon, Ansung-City,
Kyonggi-Do, Korea

Nationality Republic of Korea

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

PROCESS FOR PREPARING STABILIZED LIQUID SILICATE CARBONATE
ANTISEPTIC AGENTS

Name of inventor(s) _____

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and which is found in (37 C.F.R. 3.21)

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention.
 ☐ Express mail label no.: _____
 Mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.
(d) ☐ U.S. application no. _____ filed on _____
(e) ☐ International application no. PCT / _____ / _____ filed on _____
(f) ☐ U.S. patent no. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

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Date: February 1, 2002

KOH, Jong Ho
(type name of Inventor)

Koh Jong Ho
Signature of ASSIGNOR(S)

Date: February 4, 2002

LEE, Young Suk
(type name of Inventor)

Lee Young Suk
Signature of ASSIGNOR(S)

Date: _____

(type name of Inventor)

Signature of ASSIGNOR(S)

Date: _____

(type name of Inventor)

Signature of ASSIGNOR(S)

(if ASSIGNOR is a legal entity, complete the following information)

*(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)*

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

[] Notarization or Legalization Page Added.

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