

05-06-2002

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(a) Bernard A. Hausen

(b) Bryan D. Knodel

04/24/02

Additional name(s) of conveying party(ies) attached?

Yes No

2. Name and address of receiving party(ies):

Name: Cardica, Inc.

Street Address: 171 Jefferson Drive

City: Menlo Park CA 94025

Country: USA

jc997 U.S. PTO
10/134081
04/24/02

3. Nature of Conveyance:

Assignment Merger

Security Agreement Change of Name

Other _____

Execution Date: April 24, 2002
~~January 24, 2002~~

Name and address of receiving party(ies):

Name: _____

Street Address: {Enter Street Address}

City: _____ State: _____ Zip: _____

Country: _____

Additional name(s) & address(es) attached?

Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 23, 2002
~~January 24, 2002~~

A. Patent Application No.(s) -

Title: Surgical Tool for Creating an Incision in a Tubular Vessel

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brian A. Schar, Esq.

Internal Address: Cardica, Inc.

Street Address: 171 Jefferson Drive

City Menlo Park State CA Zip 94025

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

Enclosed check no. 4942 in the amount of \$419.00

Charge Deposit Account 502108 for any additional fees required for this conveyance and credit deposit account 502108 any amounts overpaid

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian A. Schar 45,076 *[Signature]* 4/24/2002
Name of Person Signing Signature Date

Total number of pages comprising cover sheet: One

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Bernard A. Hausen	of	Menlo Park, California
Bryan D. Knodel	of	Flagstaff, Arizona

hereby sell, assign and transfer to Cardica, Inc., a Delaware corporation, having a place of business at 171 Jefferson Drive, Menlo Park, CA 94025, its successors and assigns, the entire right, title and interest throughout the world in our invention in

Surgical Tool for Creating an Incision in a Tubular Vessel

for which a United States Patent Application is filed herewith, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 24th day of April, 2002.



BERNARD A. HAUSEN



BRYAN D. KNODEL