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RECORDATION

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DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wen Tan LAI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

6-13-02

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 1, 2001

2. Name and address of receiving party(ies)

Name: ANDERSON R.O. TECHNOLOGY
CO., LTD.

Internal Address: _____

Street Address: 2F, No. 535, Chung Shan
North Road

Wen Ya Village, Ta Ya Hsiang,
Taichung Hsien, Taiwan 428

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 29/084,849

B. Patent No.(s) Des. 408,895

Filed: March 11, 1998

Issued: April 27, 1999

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles E. Baxley, Esq.

Internal Address: _____

Street Address: 59 John Street

Fifth Floor

City: New York State: NY Zip: 10038

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ _____

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

02-1435

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9. Signature.

CHARLES E. BAXLEY, ESQ.

Name of Person Signing

Charles E. Baxley

Signature

JUNE 13, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ATTORNEY DOCKET: 12798 B

PATENT
REEL: 012852 FRAME: 0181

COVER SHEET
BY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket: 12798 B

Tab settings ⇄ ⇄ ⇄ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Wen Tan LAI

MB
2/19/2

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ANDERSON R.O. TECHNOLOGY CO., LTD.

Internal Address: 2F, No. 535, Chung Shan

North Road, Wen Ya Village, Ta Ya Hsiang,

Taichung Hsien, Taiwan 428

Street Address: 2F, No. 535, Chung Shan North

Road, Wen Ya Village, Ta Ya Hsiang,

Taichung Hsien, Taiwan 428

City: Taichung Hsien State: TW ZIP: 428

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 01, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s) Des. 408,859

Issued: April 27, 1999

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CHARLES E. BAXLEY, ESQ.

Internal Address: 59 John Street - 5F.

New York, N.Y. 10038

Street Address: 59 John Street - 5F.

New York, N.Y. 10038

City: New York State: NY ZIP: 10038

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed

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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

CHARLES E. BAXLEY, ESQ.

Name of Person Signing

Charles E. Baxley
Signature

January 24, 2002

Date

Total number of pages comprising cover sheet

1

REEL: 012852 FRAME: 0182

ASSIGNMENT

Docket: 12798 B

(1-5) Insert Name(s) of Inventor(s).

(1) Wen Tan LAI

(2) _____

(3) _____

(4) _____

(5) _____

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(6) Insert name of Assignee

(6) ANDERSON R. O. TECHNOLOGY CO., LTD.

(7) Insert address of Assignee

(7) 2F, No. 535, Chung Shan North Road, Wen Ya Village,
Ta Ya Hsiang, Taichung Hsien, Taiwan 428
(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(8) FAUCET US Des. 408, 895

Issued: April 27, 1999

☒ Foreign Application or Case No.

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of Application

(9) on November 01, 2001

(10) Alternative identification for filed applications

(10) U.S. application Serial Number: 29/084,849
filed March 11, 1998

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of H. B. D. H. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date Nov. 01, 2001 Name of Inventor Wen Tan Lai

(2) Date _____ Name of Inventor _____

(3) Date _____ Name of Inventor _____

(4) Date _____ Name of Inventor _____

(5) Date _____ Name of Inventor _____

Date _____ Witness _____

PATENT

REEL: 012852 FRAME: 0183

of Assignment Document contained typographical mistakes.


The following are the typographical mistakes to be corrected:

- The Serial Number is 29/084,849, not "29079840" and the filing date is 04/27/1999, not "11/19/1997";
- The Patent Number is 408,895, not "D408859".

If any additional fee is deemed necessary please charge Deposit Account 02-1435.

Courtesy, cooperation and skill of the Assignment Division are acknowledged.

Respectfully,

BY: 

CHARLES E. BAXLEY
Attorney of Record
USPTO Reg. 20,149
59 John Street - 5th Floor
New York, New York 10038
Tel: (212) 791-7200
Fax: (212) 791-7276

CEB:lcr/12798 B

Enclosure: Original Notice of Recordation of Assignment Document
Original Recordation Form (date stamped)
Copy of Assignment
Original Recordation Form (Patents Only)