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DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hiromitsu TANAKA and Bruce M. NOVAK

4-29-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3 Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 01/18/02 and 08/15/01

2. Name and address of receiving party(ies):

Name: 1.) KABUSHIKI KAISHA TOYOTA CHUO
KENKYUSHO; and
2.) UNIVERSITY OF MASSACHUSETTS
Address: 1.) 41-1, Aza Yokomichi, Oaza Nagakute,
Nagakute-cho, Aichi-gun, Aichi 480-1192
JAPAN; and
2.) 18 Tremont St., Ste. 800, Boston, MA
02108, U.S.A.

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/875,036

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:



22850

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Derek Mason, Ph.D.

Name of Person Signing

Signature

4/26/02

Date

Registration Number: 35,270

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PATENT
REEL: 012853 FRAME: 0654

Assignment Of Application

Page 1 of 2

WHEREAS, I (WE) Hiromitsu TANAKA and Bruce M. NOVAK,

the above inventors are at the following addresses:

INSERT NAMES
AND RESIDENCE
ADDRESSES OF
THE INVENTORS:

c/o KABUSHIKI KAISHA TOYOTA CHUO KENKYUSHO, 41-1, Aza Yokomichi,

Oaza Nagakute, Nagakute-cho, Aichi-gun, Aichi 480-1192, JAPAN; and

3014 Cambridge Rd., Raleigh, North Carolina 27608, U.S.A.

_____, respectively,

INSERT TITLE
OF INVENTION:

have invented certain new and useful improvements in: A METHOD FOR PRODUCING A
POLYMER BY COORDINATION POLYMERIZATION

INSERT DATE IN-
VENTORS SIGNED
DECLARATION:

for which an application for Letters Patent was executed on January 18, 2002

(Application No. 09/875,036, filed June 7, 2001), and

INSERT NAME
AND ADDRESS OF
COMPANY OR
OTHER ASSIGNEE:

WHEREAS, 1.) KABUSHIKI KAISHA TOYOTA CHUO KENKYUSHO and 2.) UNIVERSITY OF

MASSACHUSETTS

(hereinafter referred to as "ASSIGNEE") having a place of business at: 1.) 41-1, Aza Yokomichi, Oaza Nagakute, Nagakute-cho, Aichi-gun, Aichi 480-1192, JAPAN; and 2.) 18 Tremont St., Ste. 800, Boston, MA 02108, U.S.A.

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: January 18, 2002

Hiromitsu Tanaka
(Signature of Inventor) **Hiromitsu TANAKA**

Date: _____

(Signature of Inventor) **Bruce M. NOVAK**

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

ATTORNEYS AT LAW

FOURTH FLOOR

1755 JEFFERSON DAVIS HIGHWAY

ARLINGTON, VIRGINIA 22202

10/01

Assignment Of Application

Page 1 of 2

WHEREAS, I (WE) Hiromitsu TANAKA and Bruce M. NOVAK,

the above inventors are at the following addresses:

c/o KABUSHIKI KAISHA TOYOTA CHUO KENKYUSHO, 41-1, Aza Yokomichi,

Oaza Nagakute, Nagakute-cho, Aichi-gun, Aichi 480-1192, JAPAN; and

3014 Cambridge Rd., Raleigh, North Carolina 27608, U.S.A.

INSERT NAMES
AND RESIDENCE
ADDRESSES OF
THE INVENTORS:

_____, respectively,

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OF INVENTION:

have invented certain new and useful improvements in: A METHOD FOR PRODUCING A
POLYMER BY COORDINATION POLYMERIZATION

INSERT DATE IN-
VENTORS SIGNED
DECLARATION:

for which an application for Letters Patent was executed on August 15, 2001

(Application No. 09/875,036, filed June 7, 2001), and

INSERT NAME
AND ADDRESS OF
COMPANY OR
OTHER ASSIGNEE:

WHEREAS, 1.) KABUSHIKI KAISHA TOYOTA CHUO KENKYUSHO and 2.) UNIVERSITY OF
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I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

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Date: _____	(Signature of Inventor) _____
Date: 8-15-01	(Signature of Inventor) Hironitsu TANAKA
	(Signature of Inventor) Bruce M. NOVAK
Date: _____	(Signature of Inventor) _____
Date: _____	(Signature of Inventor) _____
Date: _____	(Signature of Inventor) _____
Date: _____	(Signature of Inventor) _____
Date: _____	(Signature of Inventor) _____
Date: _____	(Signature of Inventor) _____

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
 ATTORNEYS AT LAW
 FOURTH FLOOR
 1755 JEFFERSON DAVIS HIGHWAY
 ARLINGTON, VIRGINIA 22202