05-08-2002

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THANCE SIGHA	DECODDA:			EDADTMENT OF COMMEDCE
Form PTO-1595 (Rev. 03/01)	RECORDA'			EPARTMENT OF COMMERCE S. Patent and Trademark Office
OMB No. 0651-0027 (exp. 5/31/2002)	P, _		102 <u>0</u> 82523 _	_
Tab settings ⇔ ⇔ ▼	(2-1		N V	V V
	r of Patents and Trac	demarks:	Please record the attached original	
Name of conveying party(ies):			2. Name and address of re	
Western Digital Corporation	on U. 21 -	Λ 2		ITAL VENTURES, INC.
l	7 26	UX	Internal Address:	
Additional name(s) of conveying party(ies	s) attached? 🖳 Yes	⊘ No		· · · · · · · · · · · · · · · · · · ·
3. Nature of conveyance:				
☐ Assignment	☐ Merger			9 = 1
Security Agreement	☐ Change of N	Name	Street Address: 20511	LAKE FOREST DRIVE
Other_ Proof of Western I Ownership Interes	Digital Ventures,	Inc.'s		
Ownership Interes	t In Employee		O' LAKE FOREST	o. t. C∆ =92630
04/0	06/2001		City: Ly are 1 Orteon	_State: CAZip: 92630
Execution Date:			Additional name(s) & address	s(es) attached? 🖳 Yes 🕻 No
4. Application number(s) or pater	nt number(s):			
If this document is being filed t	ogether with a ne	ew appli	cation, the execution date of	the application is:
A. Patent Application No.(s)			B. Patent No.(s)	
09/675,850 filed on (09/28/2000			
	Additional nu	ımbers att	l ached? ⊑ Yes ⊠ No	
5. Name and address of party to concerning document should b	•	dence	6. Total number of applicati	ions and patents involved:
Name: WON TAE C. KIM, RE			7. Total fee (37 CFR 3.41).	\$40.00
Internal Address:			☐ Enclosed	
WESTERN DIGITAL TECHN			Authorized to be ch	arged to deposit account
			-7/8	
INTELLECTUAL PROPERTY	Y DEPT C2		9 Deposit account number	
Street Address: 20511 LAKE F	OREST DRIVE		8. Deposit account numbe	Γ.
Street Address: 20011 LAILE 1			23-	1209
City: LAKE FOREST State: CA	Zip: 9263	0	(Attach duplicate copy of this p	page if paying by deposit account)
	DO N	OT USE	THIS SPACE	
9. Statement and signature.				
To the best of my knowledge a is a true copy of the original do		egoing i	information is true and correc	ct and any attached copy
WON TAE C. KIM, REG. 40,4		ω .	China/_	4/19/02
Name of Person Signi			Signature	Date
<u> </u>	•	ıding cove	er sheet, attachments, and docume	nts: 10
14-	l decuments to be see	a sala al sustata	required cover sheet information to:	

05/07/2002 GTON11 00000239 231209 09675850 40.00 CH 01 FC:581

Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew D. Hospodor

Group No. 2186

Serial No.:

09/675,850

Examiner:

Unknown

Filed:

09/28/2000

For:

DISTRIBUTED RESOURCE RESERVATION SYSTEM FOR ESTABLISHING A PATH THROUGH A MULTI-DIMENSIONAL COMPUTER NETWORK TO SUPPORT ISOCHRONOUS DATA

Hon. Assistant Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

Sir:

PROOF OF WESTERN DIGITAL VENTURES, INC.'S OWNERSHIP INTEREST IN EMPLOYEE INVENTION

The following statements and attached copies of originally executed documents are submitted as proof of Western Digital Ventures, Inc.'s ownership interest in employee invention.

Andrew D. Hospodor (the "employee") is one of the named inventors of the above referenced patent application. Andrew D. Hospodor was hired by Western Digital Corporation (the "employer") on June 1, 1999, and his employment with Western Digital Corporation terminated on February 8, 2001.

1

Please find enclosed a copy of:

- an "Employee Invention and Confidentiality Agreement" signed by
 Andy Hospodor on June 1, 1999, which includes terms and conditions
 for assigning employee inventions to Western Digital Corporation; and
- b) an assignment of this patent application from Western Digital Corporation to Western Digital Ventures, Inc. on April 6, 2001.

Respectfully submitted,

W. Chim le

By:

W. Chris Kim, Esq.

Registration No. 40,457

EMPLOYEE INVENTION AND CONFIDENTIALITY AGREEMENT

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On this	day of	2 してに	, year	999	FINOY	Hospoor		ent.
into this EMP	OYEE INVENTION A	ND CONFIDENTIAL IT	Y AGREEMENT (this "Agreem	nent's with WESTE	BN DIGITAL C	ORPORATION a D	elaware composition (TMIDCT)	

In consideration of my employment or continued employment by WDC, and for other good and valuable consideration, the receipt of which is hereby acknowledged, I agree wit WDC as follows

- 1. Inventions: Ownership and Disclosure. I acknowledge that WDC's rights under California Labor Code Sec. 2860 include any intellectual property right that arises from any inventive act (including an act of conception and of reduction to practice) I perform by virtue of my employment, and that such intellectual property right shall initially vest in WDC. If during my employment I acquire any intellectual property right to any invention (other than an invention subject to the exclusion referred to in paragraph 2 below), I shall assign to WDC all such intellectual property rights. The inventions WDC shall own under this paragraph include, but are not limited to, every invention I conceive or reduce to practice during my employment (whether or not during regular working hours) that may be embodied in, or used in the making or operation of WDC's current or future products. I shall promptly and fully disclose every invention WDC shall own under this paragraph to my supervisor or such other official as WDC may designate for such purpose.
- Exclusion. I retain my rights under California Labor Code Sec. 2870, and nothing
 in this Agreement requires me to assign to WDC my rights to any invention which
 is: a) unrelated to WDC's business; b) unrelated to WDC's actual or demonstrably
 anticipated research or development; c) developed on my own time; and d) developed without using WDC's equipment, supplies, facilities, or trade secrets.
- Previously Conceived Inventions. I do not claim any intellectual property right in any previously conceived invention except as may be set forth on an exhibit hereto which an authorized WDC representative acknowledges on the face thereof to be a part of this Agreement.
- 4. Works of Authorship. I acknowledge that every work of authorship I prepare within the scope of my employment shall constitute a work for hire, and WDC shall be the author and own the copyright. As to every work of authorship I prepare for or at the request of WDC, on a basis other than work for hire, I shall assign to WDC all of my interest in every such work, the copyright therein, every registration thereon, and all renewal or termination rights therein.
- 5. Further Assistance. I shall execute every lawful document that WDC requests me to execute (whether or not during my employment) in connection with the protection of WDC's intellectual property rights. Such lawful documents include, but are not limited to, declarations and assignments including declarations of inventorship for filing and prosecuting patent applications on inventions WDC shall own under paragraph 1 hereof, assignments to show title to such inventions and patent applications in WDC or WDC's designee, and assignments to show title to works of authorship and applications for copyright registration WDC shall own under paragraph 4 hereof. I shall give such further assistance, including but not limited to information and testimony pursuant to WDC's request (whether or not during my employment but if thereafter for reasonable compensation for my time) in connection with its defense, assertion, or protection or WDC's intellectual property rights. WDC shall reimburse me for reasonable out-of-pocket expenses I necessarily incur while giving such further assistance.
- Confidentiality. "Confidential Information" includes, but is not limited to, (a) copyrighted materials, (b) patented materials, (c) lists of WDC's past, present or prospective customers, suppliers or employees, (d) costs of materials, manufacturing techniques, component parts or other systems used in WDC's business, (e) compensation paid to employees and other terms of employment, or (f) any other information of, about, or concerning the business of WDC, or its manner of operation, or other data of any kind, nature, or description which is maintained as a trade secret or on a confidential basis. You will not, at any time, whether during or subsequent to the term of your employment with WDC in any fashion, form or manner, unless specifically consented to in writing by WDC, either directly or indirectly, use or divulge, disclose, or communicate to any person, firm, or entity or corporation, in any manner whatsoever, any Confidential Information of any kind, nature or description concerning any matters affecting or relating to the business of WDC. The Confidential Information is important material and represents confidential trade secrets, proprietary information and confidential business information of WDC and affects the successful conduct of WDC's business and its goodwill. Any breach of any term of this paragraph is a material breach of this Agree-
- 7. Previously incurred obligations. I hereby certify that 1 have no previously incurred obligation that conflicts with any of my obligations to WDC. For example, 1 am not obliged to any previous employer to assign any invention that WDC shall own under paragraph 1 hereof. I understand that WDC wants to comply with every obligion.

- gation I have previously incurred to keep in trust and preserve in confidence any trade secret owned by any third party including but not limited to a previous employer, and I shall not disclose to WDC nor use for WDC's benefit any such trade secret in violation of any such previously incurred obligation.
- At-Will Employment. I understand that my employment with WDC is at-will. Noth
 ing in this Agreement shall diminish or restrict either my right to resign from em
 ployment, or WDC's right to discharge me at any time, with or without good caus
 and with or without written notice.
- 9. Return of Documents. All equipment, notebooks, documents, memoranda, reportifiles, samples, books, correspondence, lists, programs, documentation, and/or other related materials produced as a result of my employment by WDC, copyrights and patents relating from any work done by me during the term of my employment, other written or graphic records, and like, affecting or relating to the business of WDC, which I prepare, use, construct, observe, possess, or control, shall be and remain WDC's sole property. In the event of termination of employment with WDC, I agree to deliver promptly to WDC all of the foregoing which are then or have been in my possession or under my control, to purge all such information from any electronic media in my possession, and not retain any copies.
- 10. Non-Solicitation. During my employment and for a period of one (1) year followin termination of my employment, I shall not (whether by myself or in active concer with anyone else) solicit the employment of any person from WDC, whether such person is an employee, a consultant, or an independent contractor.
- 11. Entire Agreement. This Agreement constitutes the entire agreement between the parties hereto pertaining to the subject matter hereof and supersedes all prior and contemporaneous agreements and understandings of the parties.
- 12. <u>Severability</u>. If any provision herein shall be held to be invalid or unenforceable, such provision shall, to the extent of such invalidity or unenforceability, be severed, but without affecting the remainder of such provision or any other provision contained herein, all of which shall continue in full force and effect.
- Governing Law. This Agreement shall be governed by the laws of the State of California.
- 14. Enforcement. I agree that any breach by me of paragraphs 1, 4, 5, 6, 9, or 10 could not adequately be compensated by money damages in an action by law, and thus WDC shall be entitled to immediate injunctive relief, before any appropriate court of law, to enforce the terms thereof. No remedy conferred by any of the specific provisions of this Agreement is intended to be the exclusive of any other remedy at law, and the election of one or more remedies by WDC will not constitute a waiver of its right to pursue other available remedies.
- 15. Continuing Obligations. My obligations under this Agreement will continue in effect beyond my employment with WDC, and the obligations will be binding on my assigns, heirs, executors, administrators, and other legal representatives.
- 16. No Waiver. A waiver of any condition or term in this Agreement will not be construed to have any effect on the remaining terms or conditions, nor will it be construed as a waiver of any future condition or term.
- Amendments. This Agreement may be amended, modified, or superseded only by a written document executed by both parties hereto.

IN WITNESS WHEREOF, the parties have executed this Agreement on the day and the year first written above. //

WESTERN DISTRAL CORPORATION

Chairman & Chief Executive Office

Employee:

yee: MOY

revised 1/2/97

REEL: 012856 FRAME: 0302

California Labor Code Section 2860 (1996)

Sec. 2860. Ownership of things acquired by virtue of employment

Everything which an employee acquires by virtue of his employment, except the compensation which is due to him from his employer, belongs to the employer, whether acquired lawfully or unlawfully, or during or after the expiration of the term of his employment.

California Labor Code Section 2870 (1996)

Sec. 2870. Employment agreements; assignments of rights

- (a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities or trade secret information except for those inventions that either:
 - (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
 - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable.

Assignment

Western Digital Ventures, Inc. ("Assignee") desires to acquire the entire right, title, and interest in and to the United States Patent Applications and Patents identified in the attached report titled WDV ISSUED AND PENDING PATENTS, dated April 6, 2001 ("Applications").

For good and valuable consideration, the receipt of which is hereby acknowledged, Western Digital Corporation ("Assignor") hereby acknowledges that to the extent Assignor owns the rights, titles, and interests in and to the Applications as of 9:00 a.m. on this date April 6, 2001, Assignor has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assignees, the rights, titles, and interests throughout the world in, to and under the Applications and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereof and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for the Applications in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patents for the Applications to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

Western Digital Corporation

Michael A. Cornelius

Vice President, Law & Administration

Y:NPD\GEC\4-6-2001 WDC to Subs Assignments\WD Ventures Assignment.doc

WDV ISSUED AND PENDING PATENTS

K35:	Patent # Serial #:	Filing Date	Issue Date	Title	SBU	Assignee	No.
A0536	09262952	3/4/1999					
A0537	09270041	3/16/1999					
A0534	09282500	3/31/1999					
A0528	09283931	4/1/1999					
A0525	09286501	4/5/1999					
A0 535	09/300,179	4/27/1999					
A0 521	09309486	5/10/1999					
A05 33	09328878	6/9/1999					
A0542	09397152	9/15/1999					
A0560	09410361	9/30/1999					
A0576	09477107	12/31/1999					
40500							
A058 0	09493754	1/28/2000					
A0581	09507121	2/17/2000					

Friday April 06,2001

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K35:	Patent # Serial #:	Filing Date Is:	sue Date Title	SBU Assig	nee No.
A0561	09515275	2/29/2000			
A0587	09539513	3/30/2000			
70301	09339919	\$130/2000			
A0586	09539514	3/30/2000			
A0569	09542954	3/31/2000			
A06 03	09557040	4/21/2000			
A0543	09585804	5/31/2000			
A 0632	09608102	6/30/2000			
A0631	09608103	6/30/2000			
A0617	09618767	7/18/2000			
A0635	09630256	7/31/2000			
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Friday A	April 06,2001	CONFIDEN	TIAL INFORMATION	Page 2 o	f4

K35:	Patent # Serial #:	Filing Date	Issue Date	Title	SBU	Assignee	No.
A0648	09628582	7/31/2000					
A0647	09628893	7/31/2000					
A0638	09630069	7/31/2000					
A0552	09652860	8/31/2000					
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A0654	09660002	9/11/2000					
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Friday April 06,2001

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K35:	Patent #	Serial #:	Filing Date	Issue Date	Title	SBU	Assignee	No.
A0871		09/848,797	5/4/2001					
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A 0641	6,081,447	09262813	3/5/1999	6/27/2000				

Friday April 06,2001

RECORDED: 04/26/2002

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