

4/30/02

05-09-2002

FORM PTO-
708-1006U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks, 102084027, documents or copy thereof.

1. Name of conveying party(ies):

Michael F. Grant, Robert Spagnoletti and Stephen Day

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 26, 2001 and October 29, 2001

2. Name and address of receiving party(ies):

Name: Nortel Networks Limited

Internal Address: _____

Street Address: 2351 Boulevard Alfred-Nobel, St. Laurent, Quebec
H4S 2A9 CanadaAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

To Be Assigned

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William M. Lee, Jr.Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & OhlsonStreet Address: P.O. Box 2786City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

William M. Lee, Jr.

Name of Person Signing

Signature

April 19, 2002

Date

Total number of pages including cover sheet, attachments and document 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
 Box Assignments
 Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

05/09/2002 LNUELLER 00000000 10000002

01 FC:581

40.00 00

PATENT
 REEL: 012859 FRAME: 0639

FORM PTO-	RE [REDACTED]	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE						
To the Honorable Commissioner of Patents and Trademarks or copy thereof.								
1. Name of conveying party(ies): <u>Michael F. Grant, Robert Spagnoletti</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>October 26, 2001, October 26, 2001</u>		2. Name of receiving party(ies): Name: <u>Nortel Networks Limited</u> Internal Address: _____ Street Address: <u>2351 Boulevard Alfred-Nobel, St. Laurent, Quebec</u> <u>H4S 2A9 Canada</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No						
10/02/01 10/008082 10/02/01								
4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: <u>Same as above</u> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> A. Patent Application No.(s) <u>To Be Assigned</u> </td> <td style="width: 50%; vertical-align: top;"> B. Patent No.(s) </td> </tr> </table> Additional numbers attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			A. Patent Application No.(s) <u>To Be Assigned</u>	B. Patent No.(s)				
A. Patent Application No.(s) <u>To Be Assigned</u>	B. Patent No.(s)							
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>William M. Lee, Jr.</u> Internal Address: <u>Lee, Mann, Smith, McWilliams, Sweeney & Ohlson</u> Street Address: <u>P.O. Box 2786</u> City: <u>Chicago</u> State: <u>Illinois</u> Zip: <u>60690-2786</u>	6. Total number of applications and patents involved: <u>one</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>12-0913</u> <small>(Attach duplicate copy of this form if paying by deposit account.)</small>							
DO NOT USE THIS SPACE								
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <table style="width: 100%;"> <tr> <td style="width: 30%;"><u>William M. Lee, Jr.</u></td> <td style="width: 40%; text-align: center;"><u>[Signature]</u></td> <td style="width: 30%; text-align: right;"><u>November 2, 2001</u></td> </tr> <tr> <td>Name of Person Signing</td> <td style="text-align: center;">Signature</td> <td style="text-align: right;">Date</td> </tr> </table> Total number of pages including cover sheet, attachments and document <u>7</u>			<u>William M. Lee, Jr.</u>	<u>[Signature]</u>	<u>November 2, 2001</u>	Name of Person Signing	Signature	Date
<u>William M. Lee, Jr.</u>	<u>[Signature]</u>	<u>November 2, 2001</u>						
Name of Person Signing	Signature	Date						
Do not detach this portion								
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231								
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.								

ASSIGNMENT

NORTEL NETWORKS LIMITED

For value received, I (we) the undersigned, whose full post office addresses is (are) adjacent my (our) name(s), hereby sell, assign and transfer to

the address of whose registered office is: **NORTEL NETWORKS LIMITED**
 2351 Boulevard Alfred-Nobel
 St Laurent, Quebec
 Canada H4S 2A9

(hereinafter called "Nortel"), its successors, assigns and legal representatives, the entire right, title and interest for all countries, including any and all priority rights, in and to certain inventions relating to

OPTICAL ARRAY AMPLIFIER


identified in Nortel's records as 14639ID and set forth in an application for letters patent of the United States of America Serial Number _____ filed on _____,

and all rights and privileges under any and all letters patent in all countries that may be granted for said inventions, to the intent that any such patent or other intellectual property protection shall be issued to Nortel alone or to its successors, assigns or legal representatives.

I (We) undertake without charge to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors, assigns and legal representatives to procure and maintain patent or other intellectual property protection for said inventions in any and all countries and to vest title thereto in Nortel, its successors, assigns or legal representatives.

I (We) agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent or the like which may be required in any country for any purpose and more particularly in proof of the irrevocable right of Nortel, its successors, assigns and legal representatives to apply for and maintain patent or other intellectual property protection for said inventions in any and all countries and to claim the benefit of any right of priority.


This Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds £60,000.



 Witness Signature

TIMOTHY LEWIS

 Witness Name (print)

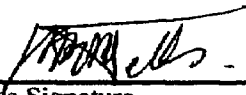


 Michael F Grant
 36 Grosvenor Close
 Bishops Stortford
 Hertfordshire
 CM23 4JP
 UNITED KINGDOM


Date: 26/06/01

 Assignor

☐ Additional Assignors on attached Page 2


Witness Signature

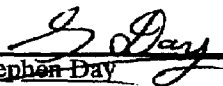
J.A.J. FELS
Witness Name (print)


Robert Spagnoletti
20 Calton Avenue
Hertford
SG14 2ER
UNITED KINGDOM

Date: Oct 26/2001
Assignor


Witness Signature

SIMONE CAPOCCHI


~~Stephen Day~~
13 Rosemount
Harlow
Essex
CM19 4HS
UNITED KINGDOM

Date: 29/10/01
Assignor

Witness Signature

Witness Name (print)

Date:
Assignor

Witness Signature

Witness Name (print)

Date:
Assignor