#### 05-09-2002



IN THE

102083502

**DEMARK OFFICE** 

10/136130 10/136130 05/01/02

In re patent application of

Kenneth G. Miller, et al.

) Attorney Docket No.: F-456

Serial No.:

) Date: May 1, 2002

Filed: Concurrently herewith

rently herewith

Title:

METHOD FOR TAGGING MAIL

#### **RECORDATION OF ASSIGNMENT - NEW PATENT APPLICATION**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) in the records of the U.S. Patent and Trademark Office.

1. Name of conveying party:

Kenneth G. Miller Thomas J. Foth Richard W. Heiden Brian M. Romansky Kwan Cheung Wong 2. Name of receiving party:

Pitney Bowes Inc. 1 Elmcroft Road Stamford, CT 06926-0700

3. Nature of Conveyance: Assignment

Execution Date: As to Kenneth G. Miller and Kwan Cheung Wong: April 30, 2002; as to Thomas J. Foth and Richard W. Heiden: April 29, 2002; as to Brian M. Romansky: April 25, 2002.

4. Property Conveyed:

This document is being filed together with a new patent application. The execution date of the application is April 25, 2002.

application is April 25, 2002.

Name and address of party to whom correspondence concerning this document should be mailed:

Ronald Reichman
Pitney Bowes Inc.
35 Waterview Drive
P.O. Box 3000

Shelton, CT 06484-8000

6. Total Number of Applications:

7. Total Recordal Fee: \$40.00

8. Charge the \$40.00 Fee to

Deposit Account No. 16-1885.

9. Statement and Signature

05/08/2002 DBYRNE

00000118 161885 10136130

01 FC:581

40.00 CH

(I0001211.1 )

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald Reichman

May 1, 2002

Total number of pages including this cover sheet: six

{10001211.1 }

PATENT REEL: 012863 FRAME: 0016

## **ASSIGNMENT**

WHEREAS, we, THOMAS J. FOTH, RICHARD W. HEIDEN, KENNETH G. MILLER BRIAN M. ROMANSKY, and KWAN CHEUNG WONG, have invented certain new and useful improvements in a METHOD FOR TAGGING MAIL identified as File Number F-456 in the Intellectual Property and Technology Law Department files of the hereinafter-mentioned assignee, said THOMAS J. FOTH has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said RICHARD W. HEIDEN has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KENNETH G. MILLER has executed an application for United States Patent based thereon on the BRIAN M. ROMANSKY has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KWAN CHEUNG WONG has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KWAN CHEUNG WONG has executed an application for United States Patent based thereon on the day of APRIL

AND WHEREAS, we acknowledge that at the time of the invention, we were under an obligation to convey the entire rights in the invention to the hereinafter-mentioned assignee;

AND WHEREAS, Pitney Bowes Inc., a corporation organized and existing under the laws of the State of Delaware and having its place of business at 1 Elmcroft Road. Stamford, Connecticut, U.S.A., is desirous of acquiring certain rights thereunder.

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said invention, said application and any and all patents (including extensions thereof, and all the rights under the International Convention for the Protection of Industrial Property including the right to claim for any foreign patent application the priority date of the corresponding U.S. patent application) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional, continuing, renewal, substitute, reissue or other patent application based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by said corporation, its successors and assigns, to the full ends of the respective terms of which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States application or any of them, or upon said invention or any part thereof, to said corporation;

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AND we hereby agree for ourselves and for our heirs, executors and administrators to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, renewal, substitute, reissue, or other applications for patents of any country, that may be deemed necessary by said corporation fully to secure to said corporation, its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND we do hereby covenant for ourselves and our legal representatives and agree with said corporation, its successors and assigns, that we have granted no right or license to make, use or sell said invention to anyone except said corporation, that, prior to the execution of this deed, our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals:

THOMAS J. FOTH	<u>4-79-2062</u>
THOMAS J. FOTA	Date
Sichard W. Herch	4-29-2002
RICHARD W. HEIDEN	Date
KENNETH G. MILLER	<u> </u>
BRIAN M. ROMANSKY	4/25/2002
BRIAN M. ROMANSKY	Date /
KWAN CHEUNG WONG	4/30/2002
KWAN CHEUNG WONG	Date

### **ACKNOWLEDGMENTS**

County of Fairfield  On this AFRIL , 2002, personally appeared before me the above- named THOMAS J. FOTH to me known and known by me to be the person described in  and who executed the foregoing instrument, and subscribed the same in my presence, and  acknowledged the same to be his free act and deed in and for the purposes set forth in said  instrument.  **County of Fairfield**  On this AGRIC AMPLIA  On this AGRIC AMPLIA  On this AGRIC AMPLIA  On the person described in  and who executed the foregoing instrument, and subscribed the same in my presence, and  acknowledged the same to be his free act and deed in and for the purposes set forth in said  instrument.  State of Connecticut )  On this AGRIC AMPLIA  NOTARY PUBLIC  ESTHER A. LAPIN  NOTARY PUBLIC  On this AGRIC AMPLIA  ON TARY PUBLIC  ON TARY PUBLIC  ON TARY PUBLIC  ON TARY	State of Connecticut)  ) ss. Shelton
and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.    Country of Fairfield	
State of Connecticut )  Ss. Shelton  On this A day of ARCAL APIN MYCOMMISSION EXPIRES JAN. 31, 2007  On this A day of ARCAL APIN DOTARY PUBLIC AND W. HEIDEN to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.  State of Connecticut )  On this O day of ARCAL APIN MYCOMMISSION EXPIRES JAN. 31, 2007  On this O day of ARCAL APIN DOTARY PUBLIC  ESTHER A. LAPIN NOTARY PUBLIC  ESTHER A. LAPIN NOTARY PUBLIC  On this O day of ARCAL APIN DOTARY PUBLIC  On this O day of ARCAL APIN 2002, personally appeared before me the abovenamed KENNETH G. MILLER to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said	and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said
On this day of April Notary Public  Esther A. Lapin  My commission expires JAN. 31, 2007  State of Connecticut)  On this sold day of April (2002, personally appeared before me the above-named RICHARD W. HEIDEN to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.  ESTHER A. LAPIN  MY COMMISSION EXPIRES JAN. 31, 2007  NOTARY PUBLIC  ESTHER A. LAPIN  MY COMMISSION EXPIRES JAN. 31, 2007  On this odd day of April (2002, personally appeared before me the above-named KENNETH G. MILLER to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said	NOTARY PUBLIC
and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.  **NOTARY PUBLIC**  **NOTARY PU	) ss. Shelton MY COMMISSION EXPIRES (AN. 31, 2007)  County of Fairfield )
State of Connecticut )  NOTARY PUBLIC  State of Connecticut )  See Shelton  County of Fairfield )  On this Job day of April , 2002, personally appeared before me the abovenamed KENNETH G. MILLER to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said	and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said
State of Connecticut )  (a) ss. Shelton  County of Fairfield )  On this 30 day of APPLA, 2002, personally appeared before me the abovenamed KENNETH G. MILLER to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said	NOTARY PUBLIC
and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said	State of Connecticut )  MY COMMISSION EXPIRES JAN. 31, 2007  ) ss. Shelton
	and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said

NOTARY PUBLIC ESTHER A. LAPIN NOTARY PUBLIC MY COMMISSION EXPIRES JAN. 31, 2007

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dether

County of Fairfield	) ss. Sh )	elton	
	_ / _		_, 2002, personally appeared before me the above- nown and known by me to be the person described

On this 35 day of 100, 2002, personally appeared before me the abovenamed BRIAN M. ROMANSKY to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

NOTARY PUBLIC

ESTHER A. LAPIN

NOTARY PUBLIC

MY COMMISSION EXPIRES JAN. 31, 2007

STATE OF CONCRECTION

) ss. Shelton

County of Fairfield
)

State of Connecticut)

On this 30 day of APRIL 2002, personally appeared before me the above-named KWAN CHEUNG WONG to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

NOTARY PUBLIC ESTHER A. LAPIN NOTARY PUBLIC MY COMMISSION EXPIRES JAN. 31, 2007

## **ASSIGNMENT**

WHEREAS, we, THOMAS J. FOTH, RICHARD W. HEIDEN, KENNETH G. MILLER BRIAN M. ROMANSKY, and KWAN CHEUNG WONG, have invented certain new and useful improvements in a METHOD FOR TAGGING MAIL identified as File Number F-456 in the Intellectual Property and Technology Law Department files of the hereinafter-mentioned assignee, said THOMAS J. FOTH has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said RICHARD W. HEIDEN has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KENNETH G. MILLER has executed an application for United States Patent based thereon on the BRIAN M. ROMANSKY has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KWAN CHEUNG WONG has executed an application for United States Patent based thereon on the day of APRIL , 2002; and said KWAN CHEUNG WONG has executed an application for United States Patent based thereon on the day of APRIL .

AND WHEREAS, we acknowledge that at the time of the invention, we were under an obligation to convey the entire rights in the invention to the hereinafter-mentioned assignee;

AND WHEREAS, Pitney Bowes Inc., a corporation organized and existing under the laws of the State of Delaware and having its place of business at 1 Elmcroft Road. Stamford, Connecticut, U.S.A., is desirous of acquiring certain rights thereunder.

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to said invention, said application and any and all patents (including extensions thereof, and all the rights under the International Convention for the Protection of Industrial Property including the right to claim for any foreign patent application the priority date of the corresponding U.S. patent application) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional continuing, renewal, substitute, reissue or other patent application based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by said corporation, its successors and assigns, to the full ends of the respective terms of which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States application or any of them, or upon said invention or any part thereof, to said corporation;

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AND we hereby agree for ourselves and for our heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, renewal, substitute, reissue, or other applications for patents of any country, that may be deemed necessary by said corporation fully to secure to said corporation, its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND we do hereby covenant for ourselves and our legal representatives and agree with said corporation, its successors and assigns, that we have granted no right or license to make, use or sell said invention to anyone except said corporation, that, prior to the execution of this deed, our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals

THOMAS J. FOTH	4-29-2002
THOWAS J. FOTH	Date
Sichard W. Herele	4-29-2002
RICHARD W. HEIDEN	Date
KENNETH G. MILLER	<u> </u>
BRIAN M. ROMANSKY	1/25/2002 Date
BRIAN M. ROMANSKY	Date
KWAN CHELING WONG	4/30/2002
KWAN CHELING WONG	Date

# <u>ACKNOWLEDGMENTS</u>

State of Connecticut ) ) ss. Shelton	
County of Fairfield )	
and who executed the foregoing instrume	, 2002, personally appeared before me the above- and known by me to be the person described in ent, and subscribed the same in my presence, and at and deed in and for the purposes set forth in said
-	NOTARY PUBLIC
State of Connecticut ) ) ss. Shelton County of Fairfield )	ESTHER A. LAPIN  NOTARY PUBLIC  MY COMMISSION EXPIRES JAN. 31, 2007
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-	NOTARY PUBLIC
State of Connecticut ) ) ss. Shelton County of Fairfield )	ESTHER A. LAPIN NOTARY PUBLIC MY COMMISSION EXPIRES JAN. 31, 2007
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	·

ESTHER A. LAPIN
NOTARY PUBLIC
MY COMMISSION EXPIRES JAN. 31, 2007

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) ss. Shelton
County of Fairfield )
On this $35^{1/2}$ day of $300^{1/2}$ , 2002, personally appeared before me the abovenamed BRIAN M. ROMANSKY to me known and known by me to be the person described
in and who executed the foregoing instrument, and subscribed the same in my presence.
and acknowledged the same to be his free act and deed in and for the purposes set forth in

NOTARY PUBLIC

ESTHER A. LAPIN

NOTARY PUBLIC

MY COMMISSION EXPIRES JAN. 31 2007

STATE OF CONCECTION ) ss. Shelton

County of Fairfield

said instrument.

State of Connecticut)

On this  $50^{-16}$  day of  $60^{-16}$  day of  $60^{-16}$ , 2002, personally appeared before me the abovenamed KWAN CHEUNG WONG to me known and known by me to be the person described in and who executed the foregoing instrument, and subscribed the same in my presence, and acknowledged the same to be his free act and deed in and for the purposes set forth in said instrument.

NOTARY PUBLIC ESTHER A. LAPIN NOTARY PUBLIC

MY COMMISSION EXPIRES JAN. 31, 2007

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PATENT REEL: 012863 FRAME: 0024 1