

Docket No.: **GFN-0110-D (41PR-7701)**

FORM PTO-1585 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08A/RPV03

05-09-2002

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings → → → ▼

102083352

To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

1. Name of conveying party(ies):

Roger N. Castonguay;
Dave S. Christensen;
Randy Greenberg;
Girish Hassan; and Dean A. Robarge

5.8.02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: General Electric CompanyAddress: 1 River RoadCity: SchenectadyState/Prov.: NYCountry: USAZIP: 12345

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other Corrective Document - Reel/Frame 011706/0501Execution Date: 3/10/00;3/10/00;3/10/00;10/12/01;10/15/01

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/682,5689/20/01

Document ID No.

101955114

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. BongioviRegistration No. 48,933Address: Cantor Colburn LLP55 Griffin Road SouthCity: BloomfieldState/Prov.: CTCountry: USAZIP: 06002

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Bongiovi

Name of Person Signing

Signature

May 8, 2002

Date

21

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012864 FRAME: 0219

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

01-23-2002



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U.S. Department of Commerce
Patent and Trademark Office
PATENT

1-1502

PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☐ Now☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

Corrective Document

☒

Reel #

011706

Frame #

0501

Conveyance Type

☒ Assignment☐ Security Agreement☐ License☐ Change of Name☐ Merger☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Girish Hassan

Execution Date
Month Day Year
10/12/2001

Name (line 2)

Second Party

Name (line 1) Dean A. Robarge

Execution Date
Month Day Year
10/15/2001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) GENERAL ELECTRIC COMPANY

Name (line 2)

Address (line 1) ONE RIVER ROAD

Address (line 2)

Address (line 3) SCHENECTADY

NEW YORK

12345

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

01/22/2002 DBYRNE 00000004 096A2568

01 FC:581

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

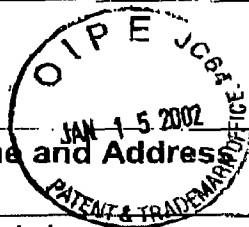
Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 012864 FRAME: 0220

FORM PTO-1619B
Expires 06/30/99
OMB 0851-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number

860-286-2929

Name Lisa A. Bongiovi

Address (line 1) Cantor Colburn LLP

Address (line 2) 55 Griffin Road South

Address (line 3) Bloomfield, CT 06002

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/682,568

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the Deposit Account Number:

06-1130

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lisa A. Bongiovi

Name of Person Signing

Signature

10/29/2001

Date

FORM PTO-1619A

Expires 06/30/98
OMB 0651-0027

01-03-2002



101933011

RECORDATION COVER SHEET
PATENTS ONLYU.S. Department of Commerce
Patent and Trademark Office
PATENT

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☐ New
- ☐ Resubmission (Non-Recordation)
Document ID# _____
- ☐ Correction of PTO Error
Reel # _____ Frame # _____
- ☒ Corrective Document
Reel # 011706 Frame # 0501

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other _____

U.S. Government
(For Use ONLY by U.S. Government Agencies)☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Girish Hassan

Name (line 2) _____

Second Party

Name (line 1) Dean A. Robarge

Name (line 2) _____

Execution Date
Month Day Year
10/12/2001Execution Date
Month Day Year
10/15/2001

Receiving Party

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Name (line 2) _____

Address (line 1) ONE RIVER ROAD

Address (line 2) _____

Address (line 3) SCHENECTADY

NEW YORK

12345

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

Address (line 4) _____

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40.00 OP

FORM PTO-1619B
Expires 06/30/99
OMB 0651-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number

860-286-2929

Name Lisa A. Bongiovi

Address (line 1) Cantor Colburn LLP

Address (line 2) 55 Griffin Road South

Address (line 3) Bloomfield, CT 06002

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

3

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/516,475

If this document is being filed together with a new Patent Application, enter the date the patent application
was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

06-1130

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.

Lisa A. Bongiovi

Name of Person Signing



Signature

10/23/2001

Date

04-25-2001



101690663

RECORDATION FORM COVER SHEET

PATENTS ONLY

Ticket No.: GEN-0110

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

TO THE PATENTABLE COMMISSIONER OF Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roger N. Castonguay
Dave S. Christensen
Randy Greenberg
Girish Hassan
Dean A. RobargeMRS
4.16.01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

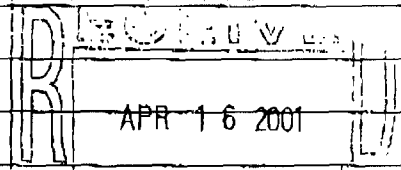
☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 3/10/00

2. Name and address of receiving party(ies):

Name: GENERAL ELECTRIC COMPANY

Internal Address:



Street Address: 1 RIVER ROAD

City: SCHENECTADY

State: NY

ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/516,475
FILED ON
MARCH 1, 2000Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CANTOR COLBURN LLP

Internal Address: ATTN: PHILMORE H. COLBURN II

Street Address: 55 GRIFFIN ROAD SOUTH

City: BLOOMFIELD

State: CT

ZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

06-1130

40.00 00
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4/26/2001

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

TIMOTHY J. OLSON REG. NO. 42,962

Name of Person Signing

Signature

APRIL 4, 2001

Date

Total number of pages including cover sheet, attachments, and document:

3

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (Docket No. **41PR-7700**) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Town of Plainville,, State of Connecticut, this 10th day of March, 2000.

Witnessed by:

James L Rosen 3/10/2000
Witness Signature & Date

JAMES L ROSEN
Printed Name of Witness

Roger N Castonguay
Roger N. Castonguay

Witnessed by:

James L Rosen 3/10/2000
Witness Signature & Date

JAMES L ROSEN
Printed Name of Witness

Dave S Christensen
Dave S. Christensen

Witnessed by: James L. Rosen 3/20/2000 Randy Greenberg
Witness Signature & Date
JAMES L ROSEN
Printed Name of Witness

Witnessed by: NR 3/10/00 Girish Hassan
Witness Signature & Date
RAWLANNA C DASARI
Printed Name of Witness

Witnessed by: Peter Boychuk 3/13/00 Dean A. Robarge
Witness Signature & Date
Peter Boychuk
Printed Name of Witness

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (U.S. Application Serial No. 09/516,475) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489**, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Hyderabad, this 12th day of Oct, 2001.

Witnessed by: [Signature]
Witness Signature & Date

[Signature]
Girish Hassan

ANANTHARAM S.
Printed Name of Witness

Signed at PLAINVILLE, CT, this 15 day of OCT, 2001.

Witnessed by: [Signature] 10/15/01
Witness Signature & Date

[Signature]
Dean A. Robarge

Peter Boychuk Jr.
Printed Name of Witness

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Roger N. Castonguay** of 5 Ellen Drive, Terryville, CT 06787, **Dave S. Christensen** of 3 Punch Brook Road, Burlington, CT 06013, **Randy Greenberg** of 9 Rondure Road, Granby, CT 06035, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (Docket No. **41PR-7700**) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Roger N. Castonguay** of 5 Ellen Drive, Terryville, CT 06787, **Dave S. Christensen** of 3 Punch Brook Road, Burlington, CT 06013, **Randy Greenberg** of 9 Rondure Road, Granby, CT 06035, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Town of Plainville,, State of Connecticut, this 10th day of March, 2000.

Witnessed by: James L. Rosen 3/10/2000
Witness Signature & Date

JAMES L. ROSEN
Printed Name of Witness

Roger N. Castonguay
Roger N. Castonguay

Witnessed by: James L. Rosen 3/10/2000
Witness Signature & Date

JAMES L. ROSEN
Printed Name of Witness

Dave S. Christensen
Dave S. Christensen

Witnessed by:

James L. Rosen 3/10/2000
Witness Signature & DateJAMES L ROSEN
Printed Name of WitnessRandy Greenberg
Randy Greenberg

Witnessed by:

RAWLANTA C DASARI 3/10/00
Witness Signature & DateRAWLANTA C DASARI
Printed Name of WitnessGirish Hassan
Girish Hassan

Witnessed by:

Peter Boychuck 3/13/00
Witness Signature & DatePeter Boychuck
Printed Name of WitnessDean A. Robarge
Dean A. Robarge

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (Docket No. **41PR-7700**) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Witnessed by: James L Rosen 3/10/2000
Witness Signature & Date

JAMES L ROSEN
Printed Name of Witness

Roger N Castonguay
Roger N. Castonguay

Witnessed by: James L Rosen 3/10/2000
Witness Signature & Date

JAMES L ROSEN
Printed Name of Witness

Dave S Christensen
Dave S. Christensen

Witnessed by:

James L Rosen 3/10/2000
Witness Signature & Date

JAMES L ROSEN
Printed Name of Witness

Randy Greenberg

Witnessed by:

RAULANNA C DASARI 3/10/00
Witness Signature & Date

RAULANNA C DASARI
Printed Name of Witness

Girish Hassan

Witnessed by:

Peter Boychuck 3/13/00
Witness Signature & Date

Peter Boychuck
Printed Name of Witness

Dean A. Robarge

PE
ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (U.S. Application Serial No. 09/516,475) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

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Signed at Hyderabad, this 12th day of Oct, 2001.

Witnessed by: S. Anantharam
Witness Signature & Date

Girish Hassan
Girish Hassan

ANANTHARAM S.
Printed Name of Witness

Signed at PLAINVILLE, CT, this 15 day of Oct, 2001.

Witnessed by: Peter Boychuk 10/15/01
Witness Signature & Date

Dean A. Robarge
Dean A. Robarge

Peter Boychuk Jr.
Printed Name of Witness