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OMB No. 0651-0027 (exp. 5/31/2002)

FINANCE SECTION INFORMATION FORM
PATENTS

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COMMERCE
Trademark Office

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DAYTON WHEEL PRODUCTS COMPANY

4-29-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: 02/01/2002

2. Name and address of receiving party(ies)

Name: DWP ACQUISITION CO., INC.

Internal Address: _____

Street Address: 115 Compark Drive

City: Dayton State: OH Zip: 45459

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

See attached Page #2

B. Patent No.(s) _____

See attached Page #2

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christine K. Garcia, Esq.

Internal Address: Coolidge, Wall, Womsley

& Lombard, Co., L.P.A.

Street Address: 33 West First Street

Suite 600

City: Dayton State: OH Zip: 45402

6. Total number of applications and patents involved: 8

7. Total fee (37 CFR 3.41).....\$ 320.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: _____

DO NOT USE THIS SPACE

9. Signature.

Christine K. Garcia, Esq.

Name of Person Signing

Signature

April 19, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 8

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05/09/2002 TDI A21 00000146 D407362

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PATENT
REEL: 012865 FRAME: 0112

Question 4A

Patent Nos.:

D407,362

D430,528

D415,088

D431,017

D415,089

D435,007

D416,529

Question 4B:

Patent Application Nos.:

09/656,201

PATENT ASSIGNMENT

This Assignment, effective as of February 4, 2002, is by and between DAYTON WHEEL PRODUCTS COMPANY, a corporation incorporated under the laws of Ohio and having a principal place of business at 115 Compark Road, Dayton, Ohio 45459 ("Assignor") and DWP ACQUISITION CO., INC., a corporation incorporated under the laws of Ohio and having a principal place of business at 115 Compark Road, Dayton, Ohio 45459 ("Assignee").

WHEREAS, Assignor is the owner of the United States patents and applications listed in the attached Schedule (collectively the "Patents");

WHEREAS, pursuant to an Asset Purchase Agreement dated February 1, 2002, by and among Assignor, Assignee, Daniel P. Deitz, and James J. Schardt, Assignor has agreed to sell, convey, transfer, assign and deliver the Patents to Assignee.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

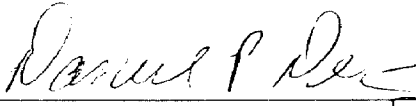
1. Assignor does hereby sell, convey, transfer, assign and deliver to Assignee the Patents and all of its rights, title and interest, domestic and foreign, in and to the Patents together with (i) all reissues, reexaminations and extensions of the Patents, (ii) the right to file any divisions, continuations and continuations in part, foreign patent applications including the right to claim priority, and (iii) all rights to sue for and collect damages for any and all past infringements of the patents, all of the same to be held and enjoyed by Assignee for its own use and for the use of its successors or assigns as fully and entirely as the same would have been enjoyed by Assignor, if assignment thereof had not been made.
2. Assignor hereby agrees for itself and for its successors and assigns, at the sole cost of Assignee, to cooperate and assist in the transfer of the Patents, as well as all related applications and registrations, to Assignee and to execute without further consideration any further lawful documents, including any further assurances, renewals, affidavits or other applications or registrations that might be deemed necessary by Assignee fully to secure, establish, accrue, maintain, perfect, register, or defend Assignee's interest as aforesaid in and to the Patents. Assignor shall assist Assignee in prosecuting any applications, claims, or rights of any kind pertinent to the Patents assigned hereby as is reasonably necessary for Assignee to develop and maintain to the fullest extent possible its rights and benefits flowing from its ownership of the Patents transferred hereby.
3. Assignor hereby constitutes and appoints Assignee the true and lawful attorney of the Assignor, with full power of substitution, for Assignor and in its name, to give receipts and releases for and in respect of the same, and from time to time, to institute and prosecute in the name of Assignor or otherwise, for the benefit of Assignee, any and all proceedings at law, in equity or otherwise which Assignee may deem proper in order to collect, assert, or enforce any claims, right, or title of any kind in and to the Patents, and to defend or compromise any and all

actions, suits, or proceedings in respect to any of the Patents; and Assignor hereby declares that the appointment made and the powers hereby granted are coupled with an interest and are and shall be irrevocable by Assignor for any reason.

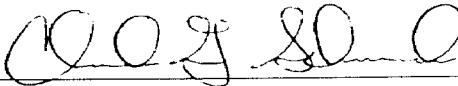
4. This Assignment and the covenants and agreements herein contained shall inure to the benefit of Assignee and its successors and assigns, and shall be binding upon Assignor, and its successors and assigns.

Dated: February 1, 2002

DAYTON WHEEL PRODUCTS COMPANY

By: 
Title: PRESIDENT

DWP ACQUISITION CO., INC.

By: 
Title: PRESIDENT

STATE OF OHIO, COUNTY OF MONTGOMERY, ss:

The foregoing instrument was acknowledged before me this 1st day of February, 2002, by Daniel R. Peitz, the President of Dayton Wheel Products Company, an Ohio corporation, on behalf of said corporation.

Kathleen A. Russell

Notary Public



KATHLEEN A. RUSSELL
Notary Public, State of Ohio
My Commission Expires 6-11-2003

STATE OF OHIO, COUNTY OF MONTGOMERY, ss:

The foregoing instrument was acknowledged before me this 1st day of February, 2002, by Charles G. Schroeder, the President of DWP Acquisition Co., Inc., an Ohio corporation, on behalf of said corporation.

Kathleen A. Russell

Notary Public



KATHLEEN A. RUSSELL
Notary Public, State of Ohio
My Commission Expires 6-11-2003

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SCHEDULE

U.S. PAT. NO./ APPLICATION NO.	FILING DATE	INVENTOR(S)	INVENTION TITLE	ISSUE DATE
D407,362	Aug. 28, 1997	James J. Schardt	WHEEL HUB	Mar. 30, 1999
D415,088	Nov. 4, 1998	James J. Schardt	WHEEL CAP	Oct. 12, 1999
D415,089	Mar. 4, 1999	James J. Schardt	RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Oct. 12, 1999
D416,529	Mar. 4, 1999	James J. Schardt	SEGMENT OF A RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Nov. 16, 1999
D430,528	Oct. 20, 1999	Robert C. Moore	WHEEL CAP	Sep. 5, 2000
D431,017	Oct. 20, 1999	Robert C. Moore	WHEEL CAP	Sep. 19, 2000
D435,007	Oct. 20, 1999	Robert C. Moore	WHEEL CAP	Dec. 12, 2000
D438,153 S	Oct. 10, 2000	James J. Schardt	RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Feb 27, 2001
D438,830 S	Oct. 10, 2000	James J. Schardt	RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Mar. 13, 2001
D438,154 S	Oct. 10, 2000	James J. Schardt	SEGMENT OF A RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Feb. 27, 2001
D438,499 S	Oct. 10, 2000	James J. Schardt	SEGMENT OF A RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Mar. 6, 2001
D450,290 S	Feb. 6, 2001	James J. Schardt	WHEEL CAP	Nov. 13, 2001

U.S. APPLICATION NO.	FILING DATE	INVENTOR(S)	INVENTION TITLE	STATUS
09/656,201	Sep. 6, 2000	James J. Schardt	WIRE WHEEL AND METHOD FOR MAKING	Pending
29/150,497	Nov. 6, 2001	Suny Chung	WHEEL ORNAMENT	Pending
29/150,496	Nov. 6, 2001	Suny Chung	WHEEL ORNAMENT SUPPORT	Pending