

Att'y Dkt. No. 082380-00500

☐ DESIGN ☒ UTILITY PATENT

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

05-13-2002



102086697

jc872 U.S. PTO
10/139304
05/06/02

COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)

IDENTIFICATION OF APPLICATION (37 CFR 3.21
AND 37 CFR 3.31(a)(4))

1. The patent application filed herewith and, to which the attached assignment (document) refers is identified as follows:

5-6-02

- a. Type of application

- ☒ Application of patent (37 C.F.R. 1.53(b)) 10,139,304
☐ Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
☐ Provisional application (37 C.F.R. 1.53(c))
☐ International application (37 C.F.R. 1.431)

NOTE: Leave this blank if assignment is for a provisional application.

- b. Name of each inventor:

- 1: Matthew L. Dock
2: Richard Felix Sharp
3: Floyd R. Gunsaulis

- c. Title of invention: ROTARY DRIVEN DRILLING HAMMER

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

05/10/2002 6TOM11 00000154 132493 10139304
01 PC:581 40.00 CH

CERTIFICATION UNDER 37 CFR 1.10

EXPRESS MAIL NO. EL452666912US

DATE OF DEPOSIT May 6, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Theresa D. Roche
Name of Depositor

Theresa D. Roche
Signature

May 6, 2002
Date

PATENT
REEL: 012870 FRAME: 0926

NAME OF PARTY(IES) CONVEYING INTEREST (37 CRF 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Matthew L. Dock

Name 2: Richard Felix Sharp

Name 3: Floyd R. Gunsaulis

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CRF 3.31(a)(2))**

3. The rights are being conveyed to:

Name: The Charles Machine Works, Inc.

Address: 1959 West Fir Avenue

P.O. Box 66

Perry, Oklahoma 73077-0066

Telephone No.: (580) 572-4402

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CRF 3.31(a)(5))**

5. Please address correspondence to:

Lawrence F. Grable
McKinney & Stringer, P.C.
Corporate Tower
101 North Robinson, Suite 1300
Oklahoma City, Oklahoma 73102

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on April 1, 2002.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 CFR 3.26.

7. The attached document:

- ☒ is in the English language.
- ☐ Is not in the English language. An English translation signed by the individual making the translation and statement as to its accuracy is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm (8 1/2 x 14 inches) with a 2.5 cm (one-inch) margin on all sides." 37 CFR 3.24.

8. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 CFR § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

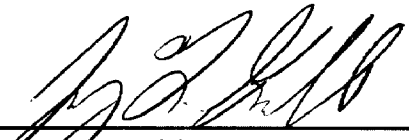
**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER
SHEET AND THE FEE (37 CFR 3.31 (a)(6))**

9. A. This cover sheet identifies only one application:
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.
- ☐ Attached is a check for \$40.00.
- ☒ Please charge Deposit Account No. 13-2493 in the amount of \$40.00.
A duplicate of this cover sheet is attached.

**STATEMENT AND SIGNATURE
(37 CFR 3.31)**

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: May 6, 2002



Lawrence P. Grable, Reg. No. 48,148
McKINNEY & STRINGER, P.C.
Corporate Tower
101 North Robinson, Suite 1300
Oklahoma City, Oklahoma 73102-5504
Phone: 405/272-1906
Fax: 405/239-7902
Attorney for Applicant

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, **including cover sheet, attachment(s) and document(s)**, is:

7

LFG/tdr/82380-500/465073_1.DOC

ASSIGNMENT

WHEREAS Matthew L. Dock, an individual residing at 4604 Fairfield Drive, Stillwater, Oklahoma 74074, Richard Felix Sharp, an individual residing at 24276 County Road 110, Perry, Oklahoma 73077 and Floyd R. Gunsaulis, an individual residing at 20498 County Road 180, Perry, Oklahoma 73077 (hereinafter referred to as ASSIGNORS), have invented and own a certain invention entitled: ROTARY DRIVEN DRILLING HAMMER, for which application for Letters Patent of the United States has been executed on even date herewith; and

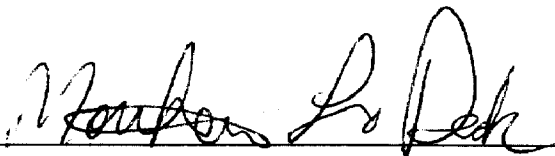
WHEREAS The Charles Machine Works, Inc., an Oklahoma corporation having a principal place of business at 1959 West Fir Avenue, Perry, Oklahoma 73077 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries, including the right to claim priority under any applicable provisions of the International Convention and the Patent Cooperation Treaty and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

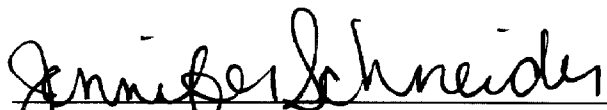
ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 30 day
of April, 2002.


Matthew L. Dock

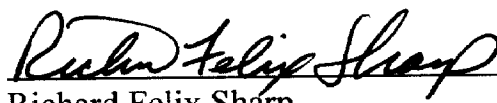
STATE OF OKLAHOMA)
COUNTY OF Payne) ss.

The foregoing was acknowledged before me, a Notary Public, on the 30 day
of April, 2002, by Matthew Dock.


NOTARY PUBLIC


My Commission Expires:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 1 day
of April, 2002.


Richard Felix Sharp

STATE OF OKLAHOMA)
COUNTY OF NOBLE) ss.

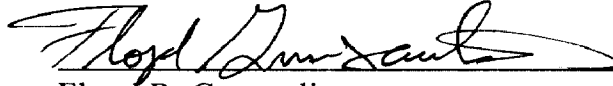
The foregoing was acknowledged before me, a Notary Public, on the 1st day
of April, 2002, by Richard Felix Sharp


NOTARY PUBLIC

My Commission Expires:

12/31/2004

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 1st day
of April, 2002.


Floyd R. Gunsaulis

STATE OF OKLAHOMA)
) ss.
COUNTY OF NOBLE)

The foregoing was acknowledged before me, a Notary Public, on the 1st day
of April, 2002, by Floyd R. Gunsaulis


NOTARY PUBLIC

My Commission Expires:

12/31/2004

LFG/tdr/82380-500/465048_1.DOC