

5/6/02

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Attorney Docket No. 016866-006210US



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OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Chris D. Pause

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: 3/8/02; 3/10/02; 3/12/02; 4/11/02

2. Name and address of receiving party(ies)

Name: CIPHERGEN Biosystems, Inc.

Internal Address: _____

Street Address: 6611 Dumbarton Circle

City: Fremont State: CA ZIP: 94555

Additional names and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/999,081

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick Jewik
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Patrick Jewik

Name of Person Signing
Atty. Reg. No. 40,456

Signature

Date

Total number of pages including cover sheet, attachments and document 7

Mail documents to be recorded with required cover to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012871 FRAME: 0949

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Edward J. Gavin
Leonid Braginsky
William E. Rich
Eric T. Fung

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Chris D. Paulse of 305 Sheridan Avenue, Palo Alto, CA 94306; Edward J. Gavin of 1682 Naglee Avenue, San Jose, CA 95126; Leonid Braginsky of 107 Hagen Road, Newton, MA 02459; William E. Rich of 840 Corriente Point Drive, Redwood Shores, CA 94565; Eric T. Fung of 20631 Forge Way #184, Cupertino, CA 95014; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD FOR ANALYZING MASS SPECTRA

Date(s) of execution of Declaration: 03-08-02; 03-10-02; 03-12-02; 04-11-02

Filing Date: November 15, 2001

Application No.: 09/999,081; and

WHEREAS, Ciphergen Biosystems, Inc., a corporation of the state of Delaware, located at 6611 Dumbarton Circle, Fremont, CA, 94555, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

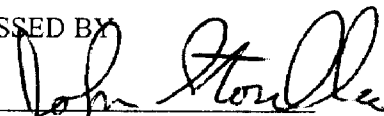
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

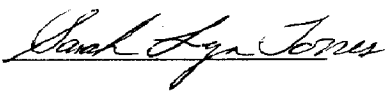
Dated: 4/11/02 
Chris D. Paulse

WITNESSED BY:

Name: 
Address: 6451 Hillgass Ave Oakland, CA
Date: April 11, 2002

Dated: 3-12-2002 
Edward J. Gavin

WITNESSED BY:

Name: 
Address: 511 Tanager Drive #5
Union City, CT 04587
Date: March 12, 2002

Dated: _____
Leonid Braginsky

WITNESSED BY:

Name: _____
Address: _____
Date: _____

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____

Chris D. Paulse

WITNESSED BY:

Name: _____

Address: _____

Date: _____

Dated: 3-12-2002

EJ Gavin

Edward J. Gavin

WITNESSED BY:

Name: *Leonid Braginsky*

Address: *511 Tanager Drive #5*
Union City, CT 06458

Date: *March 12, 2002*

Dated: _____

Leonid Braginsky

WITNESSED BY:

Name: _____

Address: _____

Date: _____

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: _____

Chris D. Paulse

WITNESSED BY:

Name: _____

Address: _____

Date: _____

Dated: _____

Edward J. Gavin

WITNESSED BY:

Name: _____

Address: _____

Date: _____

Dated: 3/10/02


Leonid Braginsky

WITNESSED BY:

Name: Irina Kader

Address: 107 Hagen Rd.

Date: Newton, MA 02459

Dated: 03/08/02

William E. Rich
William E. Rich

WITNESSED BY:

Name: Susan Carnahan

Address: 35337 Ramsgate Drive
Newark CA 94560

Date: March 8, 2002

Dated: 3/12/02

Eric T. Fung
Eric T. Fung

WITNESSED BY:

Name: Sarah Lynn Torres

Address: 511 Townsend Drive

Date: Union City, CA 94587
March 12, 2002

SF 1319980 v1