

FORM PTO-1595 (Modified)  
(Rev. 03-01)  
OMB No. 0651-0027 (exp. 5/31/2002)  
PDBA/REV03

05-09-2002

Docket No.: GEN-0110-D2 (41PR-7701)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



102083355

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Roger N. Castonguay;  
Dave S. Christensen;  
Randy Greenberg;  
Girish Hassan; and Dean A. Robarge

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Corrective Document - Reel/Frame 011706/0501

Execution Date: 3/10/00;3/10/00;3/10/00;10/12/01;10/15/01

## 2. Name and address of receiving party(ies):

Name: General Electric CompanyAddress: 1 River RoadCity: SchenectadyState/Prov.: NYCountry: USAZIP: 12345

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/682,5679/20/2001☒ Document ID No.101955115

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lisa A. BongioviRegistration No. 48,933Address: Cantor Colburn LLPCity: BloomfieldState/Prov.: CTCountry: USAZIP: 06002

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

40.00

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lisa A. Bongiovi

Name of Person Signing

Signature

May 8, 2002

Date

22

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 012873 FRAME: 0274

04-25-2001



104690663

## RECORDATION FORM COVER SHEET

Packet No.: GEN-0110

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## PATENTS ONLY

TO THE PUBLIC: This document is a cover sheet for the recording of Patents and Trademarks. Please record the attached original documents or copy thereof.

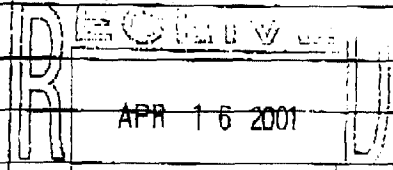
## 1. Name of conveying party(ies):

Roger N. Castonguay  
Dave S. Christensen  
Randy Greenberg  
Girish Hassan  
Dean A. RobargeMKS  
4.16.01

## 2. Name and address of receiving party(ies):

Name: GENERAL ELECTRIC COMPANY

Internal Address:



Street Address: 1 RIVER ROAD

City: SCHENECTADY State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 3/10/00

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/516,475  
FILED ON  
MARCH 1, 2000Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CANTOR COLBURN LLP

Internal Address: ATTN: PHILMORE H. COLBURN II

Street Address: 55 GRIFFIN ROAD SOUTH

City: BLOOMFIELD State: CT ZIP: 06002

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

06-1130

4/26/2001 LUNELER 001 00078 09516175 40.00 BP 01 FC:581

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

TIMOTHY J. OLSON REG. NO. 42,962

Name of Person Signing

Signature

APRIL 4, 2001

Date

Total number of pages including cover sheet, attachments, and document:

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FORM PTO-1619A

Expires 06/30/99  
OMD 0651-0027

01-23-2002

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Patent and Trademark Office  
PATENTRECORDING SHEET  
101855115  
PATENTS ONLY

1-1502

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☐ New

☐ Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

☒ Corrective Document  
Reel # 011706 Frame # 0501

## Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other \_\_\_\_\_

U.S. Government  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name	Execution Date Month Day Year
Name (line 1) Girish Nassan	10/12/2001
Name (line 2) _____	
Second Party	
Name (line 1) Dean A. Robarge	10/15/2001
Name (line 2) _____	

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) GENERAL ELECTRIC COMPANY	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2) _____		
Address (line 1) ONE RIVER ROAD		
Address (line 2) _____		
Address (line 3) SCHENECTADY		
NEW YORK		
12345		
City	State/Country	Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name	_____
Address (line 1)	_____
Address (line 2)	_____
Address (line 3)	_____
Address (line 4)	_____

01/26/2002 DBYNE 00000085 09602367

01 FEB 2002

40.00 DP

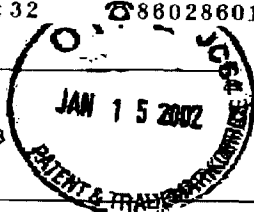
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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT  
REEL: 012873 FRAME: 0276

FORM PTO-1619B

Expires 05/31/99  
OMB 0551-0027

Page 2

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

## Correspondent Name and Address

Area Code and Telephone Number

860-286-2929

Name Lisa A. Bongiovi

Address (line 1) Cantor Colburn LLP

Address (line 2) 55 Griffin Road South

Address (line 3) Bloomfield, CT 06002

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 5

## Application Number(s) or Patent Number(s)

☐ Mark If additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/682,567

If this document is being filed together with a new Patent Application, enter the date the patent application  
was

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 06-1130

Authorization to charge additional fees:

Yes ☒No ☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Lisa A. Bongiovi

Name of Person Signing

Signature

10/29/2001

Date

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (Docket No. **41PR-7700**) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Town of Plainville., State of Connecticut, this 10<sup>th</sup> day of March, 2000.

Witnessed by: James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Roger N. Castonguay  
Roger N. Castonguay

Witnessed by: James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Dave S. Christensen  
Dave S. Christensen

Witnessed by:

James L. Rosen 3/10/2000  
Witness Signature & DateJAMES L ROSEN  
Printed Name of WitnessRandy Greenberg  
Randy Greenberg

Witnessed by:

RAWLANTA C DASARI 3/10/00  
Witness Signature & DateRAWLANTA C DASARI  
Printed Name of WitnessGirish Hassan  
Girish Hassan

Witnessed by:

Peter Boychuck 3/13/00  
Witness Signature & DatePeter Boychuck  
Printed Name of WitnessDean A. Robarge  
Dean A. Robarge

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "CIRCUIT INTERRUPTER OPERATING MECHANISM" (U.S. Application Serial No. 09/516,475) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

The aforementioned Assignors **Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489**, hereby declare that all statements made herein of our own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed at Hyderabad, this 12<sup>th</sup> day of Oct, 2001.

Witnessed by: S. Anantharam  
Witness Signature & Date

Girish Hassan  
Girish Hassan

ANANTHARAM S.  
Printed Name of Witness

Signed at RAINVILLE, CT, this 15 day of OCT, 2001.

Witnessed by: Peter Boyshuck Jr. 10/15/01  
Witness Signature & Date

Dean A. Robarge  
Dean A. Robarge

Peter Boyshuck Jr.  
Printed Name of Witness

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **Roger N. Castonguay of 5 Ellen Drive, Terryville, CT 06787, Dave S. Christensen of 3 Punch Brook Road, Burlington, CT 06013, Randy Greenberg of 9 Rondure Road, Granby, CT 06035**, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "**CIRCUIT INTERRUPTER OPERATING MECHANISM**" (Docket No. 41PR-7700) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

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Signed at Town of Plainville,, State of Connecticut, this 10<sup>th</sup> day of March, 2000.

Witnessed by: James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Roger N. Castonguay  
Roger N. Castonguay

Witnessed by: James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Dave S. Christensen  
Dave S. Christensen



Witnessed by:

James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Randy Greenberg  
Randy Greenberg

Witnessed by:

RAWLANTA C DASARI 3/10/00  
Witness Signature & Date

RAWLANTA C DASARI  
Printed Name of Witness

Girish Hassan  
Girish Hassan

Witnessed by:

Peter Boychuck 3/13/00  
Witness Signature & Date

Peter Boychuck  
Printed Name of Witness

Dean A. Robarge  
Dean A. Robarge

## ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, Girish Hassan, Plot No. 2 Padmaja Colony, Tarbund, Secunderabad-500009 and Dean A. Robarge, 393 Hobart Street, Southington, CT 06489, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements "CIRCUIT INTERRUPTER OPERATING MECHANISM" (U.S. Application Serial No. 09/516,475) invented by us, as described in the application for United States patent filed on March 1, 2000 and executed concurrently herewith, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

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Witness Signature & Date

Girish Hassan  
Girish Hassan

ANANTHARAM S.  
Printed Name of Witness

Signed at PLAINVILLE, CT, this 15 day of OCT, 2001.

Witnessed by: Peter Boychuk Jr. 10/15/01  
Witness Signature & Date

Dean A. Robarge  
Dean A. Robarge

Peter Boychuk Jr.  
Printed Name of Witness

## ASSIGNMENT

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Witnessed by:

James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Roger N. Castonguay  
Roger N. Castonguay

Witnessed by:

James L Rosen 3/10/2000  
Witness Signature & Date

JAMES L ROSEN  
Printed Name of Witness

Dave S. Christensen  
Dave S. Christensen

Witnessed by:

James L Rosen 3/10/2000  
Witness Signature & DateJAMES L ROSEN

Printed Name of Witness

Randy Greenberg

Witnessed by:

RAWLANN C DASAM 3/10/00  
Witness Signature & DateRAWLANN C DASAM

Printed Name of Witness

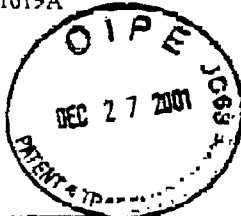
Girish Hassan

Witnessed by:

Peter Boychuck 3/13/00  
Witness Signature & DatePeter Boychuck

Printed Name of Witness

Dean A. Robarge

FORM PTO-1619A  
Expires 06/30/99  
OMB 0551-0027

01-08-2002



101923011

RECORDATION SHEET  
**PATENTS ONLY**U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☐ New

☐ Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

☐ Correction of PTO Error  
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☐ Merger ☐ Other \_\_\_\_\_

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name	Execution Date Month Day Year
Name (line 1) Girish Hassan	10/12/2001
Name (line 2) _____	

**Second Party**

Name	Execution Date Month Day Year
Name (line 1) Dean A. Robarge	10/15/2001
Name (line 2) _____	

**Receiving Party**☐ Mark if additional names of receiving parties attached

Name (line 1) GENERAL ELECTRIC COMPANY	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)	
Name (line 2) _____		
Address (line 1) ONE RIVER ROAD		
Address (line 2) _____		
Address (line 3) SCHENECTADY	NEW YORK	12345
City	State/Country	Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name	_____
Address (line 1)	_____
Address (line 2)	_____
Address (line 3)	_____
Address (line 4)	_____

01/02/2002 LNUELLER 00000057 09516475

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**PATENT**  
**REEL: 012873 FRAME: 0286**

FORM PTO-1619B

Expires 06/30/99  
OMB 0651-0027

Page 2

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT

## Correspondent Name and Address

Area Code and Telephone Number

860-286-2929

Name Lisa A. Bongiovi

Address (line 1) Cantor Colburn LLP

Address (line 2) 55 Griffin Road South

Address (line 3) Bloomfield, CT 06002

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document  
including any attachments.

# 3

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/516,475

If this document is being filed together with a new Patent Application, enter the date the patent application  
was

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 06-1130

Authorization to charge additional fees:

Yes ☒No ☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document. Charges to deposit account are authorized, as  
indicated herein.

Lisa A. Bongiovi

Name of Person Signing

Signature

10/23/2001

Date

PATENT

RECORDED: 05/08/2002

REEL: 012873 FRAME: 0287