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OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark

102088458

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Timothy N. Obee, Stephen O. Hay,
Joseph J. Sangiovanni &
Jared B. Hertzberg

2. Name and address of receiving party(ies)

Name: Carrier Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

4/25/02 (TNO, SOH)

4/26/02 (JBH)

Execution Date: 4/29/02 (JJS)Street Address: Carrier World
Headquarters Bldg.One Carrier PlaceCity: Farmington State: CT Zip: 06034Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

4/25/02 (TNO, SOH)

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

4/26/02 (JBH)4/29/02 (JJS)Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William W. HabeltInternal Address: Carrier CorporationStreet Address: P.O. Box 4800Carrier ParkwayCity: Syracuse State: NY Zip: 132216. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40

Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

03-0835 (Order No. 10384)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*M. P. Williams

Name of Person Signing

M. P. Williams

Signature

5/3/02

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05/13/2002 6TON11 00000146 030835 10140082

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40.00 CH

PATENT
REEL: 012879 FRAME: 0537

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled ELECTRODELESS ULTRAVIOLET DISCHARGE FLUID REMEDIATION (Carrier Docket No. 10384), executed by Timothy N. Obee residing at 351 Foster Street, South Windsor, CT 06074 on this 25 day of April, 2002; and by Stephen O. Hay residing at 2606 Mill Pond Drive, South Windsor, CT 06074 on this 25 day of April, 2002; and by Joseph J. Sangiovanni residing at 150 South Stone Street, West Suffield, CT 06093-0363 on this 29th day of April, 2002; and by Jared B. Hertzberg residing at 50 Linden Street, New Haven, CT 06511 on this 26 day of APRIL, 2002; all USA, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, We have hereunto set our hands and seals.

Timothy N. Obee (L.S.) Date: 4/25/2002
Timothy N. Obee

Stephen O. Hay (L.S.) Date: 4/25/02
Stephen O. Hay

Joseph J. Sangiovanni (L.S.) Date: 4/29/02
Joseph J. Sangiovanni

Jared B. Hertzberg (L.S.) Date: 4/26/02
Jared B. Hertzberg

STATE OF Connecticut

ss:

East Hartford, CT

COUNTY OF Hartford

On this 25th day of April, 2002, before me the subscriber, personally came Timothy N. Obee, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

(Seal)

[Signature]
Notary Public

Commissioner of Superior Court

MARY M. KRYWONIS
NOTARY PUBLIC *ms*

MY COMMISSION EXPIRES MAR. 31, 1998

STATE OF Connecticut

ss:

COUNTY OF Hartford

On this 25th day of April, 2002, before me the subscriber, personally came Stephen O. Hay, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

(Seal)

[Signature]
Notary Public

Commissioner of Superior Court

MARY M. KRYWONIS
NOTARY PUBLIC *ms*

MY COMMISSION EXPIRES MAR. 31, 1998

STATE OF Connecticut

ss:

COUNTY OF Hartford

On this 25th day of April, 2002, before me the subscriber, personally came Joseph J. Sangiovanni, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

(Seal)

[Signature]
Notary Public

Commissioner of Superior Court

MARY M. KRYWONIS
NOTARY PUBLIC *ms*

MY COMMISSION EXPIRES MAR. 31, 1998

STATE OF Connecticut

ss:

COUNTY OF Hartford

On this 20th day of June, 2002, before me the subscriber, personally came Jared B. Hertzberg, to me known, and known to me to be the person described in and who executed the foregoing instrument, and duly acknowledged to me that he executed the same as his free act and deed.

(Seal)


Notary Public

Commissioner of Superior Court

MARY M. KRYWONIS
NOTARY PUBLIC *mys*
MY COMMISSION EXPIRES MAR. 31, 1998