

05-15-2002

A/D 581-40

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10/049606



RECORDATION FORM COVER SHEET

PATENTS ONLY 14 FEB 2002

102091163

of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ulrike RÖCKRATH

2-14-02

2. Name and address of receiving party(ies):

Name: BASF Coatings Aktiengesellschaft

Internal Address: Patent Department

Street Address: Glasuritstrasse 1

48165 Muenster-Hiltrup, GERMANY

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: 9.1.2001; 07.01.02; 09.01.02; 09.01.02; Additional numbers attached?
day/month/year;
01.10.2002 month/day/year☐ Yes ☒ No

4. Application number(s) or patent number(s): 10, 049 606

If this document is being filed together with a new application, the execution date of the application is: 2/14/02

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5555

Application No. 0 /

Additional numbers attached? ☐ Yes ☒ No5. Name and address of Party to whom
correspondence concerning document
should be mailed:

Name: Michael F. Morgan, 42,906; Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814

Internal Address: BASF Corporation

Street Address: 26701 Telegraph Road

City: Southfield State: Michigan Zip: 48034-2442 Phone: (248) 948-2355

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

8. Deposit account number: 23-3425

Enclosed

Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

02/21/2002 UEDUVIJE 00000116 233425 10049606
02 FC:581 40.00 CH

Michael F. Morgan, 42,906

Name of Person Signature

Signature

I hereby certify that the attached
correspondence is being deposited with
the United States Postal Service in an
envelope as "Express Mail Post Office to
Addressee" Mailing Label No.
EH799045260US addressed to the
Commissioner for Patents, Washington,
D.C. 20231 on Thursday, February 14,
2002.
Date Above

-10-

Total number of pages comprising cover sheet: _____

PATENT
REEL: 012881 FRAME: 0771

10/049606

IN-5555

~~JUL 16 1994~~ PCT/PTO 14 FEB 2002

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Hubert BAUMGART
3. Andrea ZUMBRINK
4. Stefan SILBER
5. Thomas FARWICK

ASSIGNMENT

WHEREAS, I (WE), Ulrike RÖCKRATH, Hubert BAUMGART, Andrea ZUMBRINK, Stefan SILBER and Thomas FARWICK, residing at Vikarsbusch 8, 48308 Senden, Federal Republic of Germany; Am Dornbusch 44a, 48163 Münster, Federal Republic of Germany; Antoniusstr. 17 A. 48734 Reken, Federal Republic of Germany; Hermann-Schumacher-Str. 20, 47807 Krefeld, Federal Republic of Germany; Sandbreide 9a, 48727 Billerbeck, Federal Republic of Germany ; (declarant(s)) declare that I (WE) have invented certain improvements in: COATING MATERIAL AND ITS USE FOR PRODUCING HIGHLY SCRATCH RESISTANT MULTILAYER TRANSPARENT LACQUERS hereinafter the invention or inventions, described in U.S. Patent Application Serial No. ____/_____, filed on Thursday, February 14, 2002 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Coatings Aktiengesellschaft, a corporation of the Federal Republic of Germany having a place of business at, Glasuritstr. 1, 48165 Münster, Federal Republic of Germany (assignee), and Goldschmidt AG a corporation of the Federal Republic of Germany having a place of business at, Goldschmidtstr. 100, 45127 Essen, Federal Republic of Germany (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

Docket: IN-5555

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing

Docket: IN-5555

provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

**Title: COATING MATERIAL AND ITS USE FOR PRODUCING
HIGHLY SCRATCH RESISTANT MULTILAYER TRANSPARENT
LACQUERS**

Docket: IN-5555

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 9. 1. 2001

Signed: Ulrike Röckrath
Typed Name: Ulrike RÖCKRATH

Witnessed: B. Gohmann

Name Birgit Gohmann
Address Glasuritstr. 1
48165 Münster
Germany

Witnessed: Bettina Heinhold

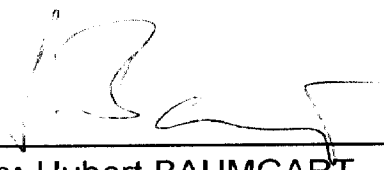
Name Bettina Heinhold
Address Glasuritstr. 1
48165 Münster
Germany

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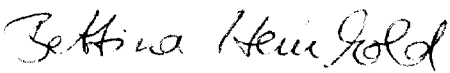
The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: 07.01.02

Signed: 
Typed Name: Hubert BAUMGART

Witnessed: 

Name Birgit Gohmann
Address Glasuritstr.1
48165 Münster
Germany

Witnessed: 

Name Bettina Heinhold
Address Glasuritstr.1
48165 Münster
Germany

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Dated: 09.01.02

Signed: Andrea Zumbink
Typed Name: Andrea ZUMBRINK

Witnessed: Birgit Gohmann

Name Birgit Gohmann
Address Glasuritstr.1
48165 Münster
Germany

Witnessed: Bettina Heinhold

Name Bettina Heinhold
Address Glasuritstr.1
48165 Münster
Germany

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Dated: 01/10/2002

Signed: * 
Typed Name: Stefan SILBER

Witnessed: 

Name Kathrin Lehmann

Address Am Lindenfeld 33
51377 Leverkusen
Germany

Witnessed: 

Name Karumiushi, Hans Leo

Address Sattorischke 32
45134 Essen
Germany


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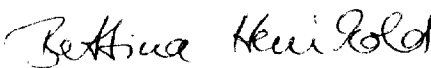
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Dated: 09.01.07

Signed: 
Typed Name: Thomas FARWICK

Witnessed: 

Name Birgit Gohmann
Address Glasuritstr.1
48165 Münster
Germany

Witnessed: 

Name Bettina Heinhold
Address Glasuritstr.1
48165 Münster
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