

05-16-2002



102091723

Attorney Docket No. 55325-8148.US06

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Francis J. Martin  
Samuel Zalipsky *5.10.02*  
Add'l names of conveying parties attached?  
☐ Yes ☒ No

2. Name/address of receiving party(ies):  
  
Alza Corporation  
1900 Charleston Road  
Mountain View, CA 94039

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date(s) of execution: 4/11/02 and 4/23/02,  
respectively

Add'l names of receiving parties  
attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):  
If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_.

A. Patent Application No.(s)  
10/016,324 filed 12/10/01

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom  
correspondence concerning document should be  
mailed:

**Perkins Coie LLP**  
**P.O. Box 2168**  
**Menlo Park, CA 94026**  
**(650) 838-4410**

7. Total No. of applications and patents  
involved: one (1)

8. Total fee (37 CFR §3.41): \$80.00  
☒ Please charge Deposit Account No. 50-  
0665 for fee due.

9. Total number of pages, including cover  
sheet, attachments and document: 5

DO NOT USE THIS SPACE

10. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.*

Jacqueline F. Mahoney  
Name of Person Signing

*Jacqueline F. Mahoney*  
Signature

April 30, 2002  
Date

05/16/2002 LNUELLER 00000065 500665 10016324

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### Assignment

THIS ASSIGNMENT, by Francis J. Martin and Samuel Zalipsky, (hereinafter referred to as the Assignors), residing at San Francisco, California and Redwood City, California, respectively, witnesseth:

WHEREAS, the said Assignors have invented certain new and useful inventions set forth in an application for Letters Patent of the United States entitled THERAPEUTIC LIPOSOME COMPOSITION AND METHOD OF PREPARATION, bearing Serial No. 10/016,324 and filed on December 10, 2001;

WHEREAS, **Alza Corporation**, a corporation duly organized under and pursuant to the laws of **California**, and having its principal place of business at **1900 Charleston Road, Mountain View, CA 94039** (hereinafter referred to as the Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the said Assignors have sold, assigned, transfer and set over, and by these presents does sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues, reexaminations, or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the said Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignor, had this sale and assignment not been made.

AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said Assignors are the joint and lawful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said Assignors

have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

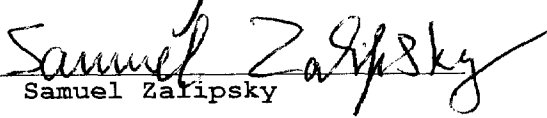
AND, for the same consideration, the said Assignors hereby covenant and agree to and with the said Assignee, its successors, legal representatives and assigns, that the said Assignors will, whenever counsel of the said Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation, or continuation-in-part of any application for Letters patent, or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said Assignees, their successors, legal representatives and assigns, but at the cost and expense of the said Assignee, its successors, legal representatives and assigns.

AND, the said Assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said Assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use and behoof of the said assignee, its successors, legal representatives and assigns.

Date:

4/11/02  
Francis J. Martin

Date:

Apr. 8, 2002  
Samuel Zaripsky

ASSIGNMENT  
(JOINT)

WHEREAS, we, **Francis J. Martin** and **Samuel Zalipsky** have made certain new and useful inventions in **Therapeutic Liposome Composition and Method of Preparation**, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; bearing Application No. 10/016,324, and filed on 12/10/01; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

Samuel Zalipsky this 23 day of Apr. 2002; and  
Samuel Zalipsky this \_\_\_\_\_ day of \_\_\_\_\_ 2002; and  
\_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 2002

WITNESSES TO SIGNATURE BY **Francis J. Martin**

1. Katrina M. Ghafehaich 4-11-02  
 Signature Date  
KATRINA M. GHAFGHAICH  
 Print Name  
1050 CRESTVIEW DR. #102  
 Street Address  
MT. VIEW, CA 94040  
 City, State & Zip Code

2. Minerva Alcazar April 11, 2002  
 Signature Date  
Minerva Alcazar  
 Print Name  
201 Harrison St. Apt. 220  
 Street Address  
San Francisco, CA 94105  
 City, State & Zip Code

WITNESSES TO SIGNATURE BY **Samuel Zalipsky**

1. Ken S.K. Huang 4/23/02  
 Signature Date  
KEN S K HUANG  
 Print Name  
18798 Madison Ave  
 Street Address  
Castro Valley, CA 94546  
 City, State & Zip Code

2. Weiming Zhang 4/23/02  
 Signature Date  
Weiming Zhang  
 Print Name  
731 La Playa St., #C  
 Street Address  
San Francisco, CA 94121  
 City, State & Zip Code

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