OLDE		
17/7/07/ MAY 23 7 3	^+orney Docket No. 16869N-040800US	
MAY 0 7 2002 5 05 - 16 - 2002 105 - 16 - 2002 105 - 16 - 2002		
Form PTO-1595	U.S. Department of Commerce	
(Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002)	William Trademark Office	
Tab settings ⇒ ⇒ ▼ ▼  To the Honorable Commissioner of Pa	2722	
Name of conveying party(ies):	Name and address of receiving party(ies)	
Hiroaki Furuichi		
Additional name(s) of conveying parties attached?   ✓ Yes ☐ No.  3. Nature of conveyance:  ✓ Assignment ☐ Merger  ☐ Security Agreement ☐ Change of Name	OpNext Japan, Inc. 216, Totsuka-cho Totsuka-ku Tokyo, Japan  Additional names and addresses attached? ☐ Yes ☒ No	
Other:		
Execution Date: <u>January 16, 2002</u> 4. Application number(s) or patent number(s).		
4. Application number(s) or patent number(s).		
If this document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No(s): 10/052,739	B. Patent No(s):	
Additional numbers attached?   Yes   No		
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	Total number of applications and patents involved 1	
Name: Robert C. Colwell	7. Total fee (37 CFR 3.41):\$40.00	
TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor	☐ Enclosed	
San Francisco, California 94111-3834	_	
(415) 576-0200	Authorized to be charged to deposit account	
	8. Deposit account number: 20-1430  (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE		
<ol> <li>Statement and signature.</li> <li>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</li> </ol>		
$Q_{\perp}$		
Robert C. Colwell  April 25, 2002		
Name of Person Signing Signature Date Atty. Reg. No. 27,431		
Total number of pages including cover sheet, attachments and document 3		
Mail documents to be recorded with required cover to:		

Mail documents to be recorded with required cover to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

05/16/2002 TDIAZ1 00000015 201430 10052739 01 FC:581 40.00 CH

Form PTO-1595 Recordation Form Cover Sheet Patents Only Page 2

Additional name(s) of conveying party(ies):
 (Continued from Page 1)

Kazumi Kawamoto Katsumi Kuroguchi Keiichi Yamada Shintaro Sakamoto

- Additional name(s) and address(es) of receiving party(ies): (Continued from Page 1)
- Additional application number(s) or patent number(s): (Continued from Page 1)
  - A. Patent Application No.(s)

B. Patent No.(s)

PA 3217444 vi

PATENT REEL: 012890 FRAME: 0036

## **ASSIGNMENT**

(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by OPNEXT JAPAN, INC., a corporation organized under the laws of Japan, located at 216 Totsuka-cho, Totsuka-ku, Yokohama, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said OPNEXT JAPAN, INC., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

## OPTICAL COMMUNICATION MODULE, MANUFACTURING METHOD THEREOF AND WAVELENGTH SELECTIVE FILTER

invented by me— (if only one is named below) or us— (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said OPNEXT JAPAN, INC., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said OPNEXT JAPAN, INC.

Signed on the date(s) indicated aside signatures:

RECORDED: 05/07/2002

INVENTOR(S) (発明者フルネームサイン)	Date Signed (署名日)
1) Nerooki Fermoki	1/16/02
2) Kazuni Kanxemote	1/16/02
3) <u>Katsumi Kurogushi</u>	1/16/02
4) Keichi Jamerdo	1/16/02
5) Shintaron Sakamoto	1/10/02
6)	
7)	
8)	
9)	
10)	

PATENT REEL: 012890 FRAME: 0037