U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Docket No. ROC920010175US1

HEET

the Honorable Commissioner of Patents and Tradellinia	
Name of conveying party(ies):	Name and address of receiving party(ies):
Cary Lee Bates John Matthew Santosuosso	Name: International Business Machines Corporation
Additional names of conveying party(ies) attached?	Internal Address:
05//0/07	<u> </u>
3. Nature of Conveyance	
<ul><li>✓ Assignment</li></ul>	Street Address: New Orchard Road
□ Other	City         Armonk           State         New York         Zip10504
Execution Date: May 1, 2002; May 8, 2002	Additional name(s) & address(es) attached? □ yes ⊠ no
DISTRIBUTION LIST  If this document is being filed together with a new application,  A. Patent Application No(s).	the execution date of the application is  B. Patent No(s).
Docket ROC920010175US1	
	rs attached?  Yes No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>	6. Total number of applications and patents involved:
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed
Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne	6. Total number of applications and patents involved: 1  7. Total fee (37 CVF 3.41): \$40
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901	6. Total number of applications and patents involved:  1 7. Total fee (37 CVF 3.41): \$40  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  09-0465
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527 40.00 CH	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  □ 09-0465  (Attach duplicate copy of this page if paying by deposit account)
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527 40.00 CH	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  09-0465
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527 40.00 CH	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  □ 09-0465  (Attach duplicate copy of this page if paying by deposit account)  OT USE THIS SPACE
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527 40.00 CH	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  □ 09-0465  (Attach duplicate copy of this page if paying by deposit account)  OT USE THIS SPACE
5. Name and address of party to whom correspondence concerning document should be mailed:  Leslie J. Payne IBM Corporation - Dept. 917 3605 Highway 52 North Rochester, MN 55901  TDIAZ1 00000033 090465 10142527 40.00 CH  DO NO.	6. Total number of applications and patents involved:  7. Total fee (37 CVF 3.41):  □ Enclosed  □ Authorized to be charged to deposit account  □ Total fee due  □ Any deficiencies in the enclosed fees  8. Deposit account number:  □ 09-0465  (Attach duplicate copy of this page if paying by deposit account)

Docket No.: ROC920010175US1

## ASSIGNMENT

Whereas, we

(1) Cary Lee Bates, City of Rochester, and State of Minnesota,
and (2) John Matthew Santosuosso, City of Rochester,

have invented certain improvements in

## METHOD, SYSTEM, AND COMPUTER PRODUCT FOR PROVIDING A DISTRIBUTION LIST

and State of Minnesota,

and have executed, respectively, a United States patent application therefor on

County of Olmsted,

Dates
Inventors (1) May 1 , 2002, and (2) May 8 , 2002.
Signed
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## 

PATENT RECORDED: 05/10/2002 REEL: 012895 FRAME: 0093