

05-20-2002



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PATENT

U.S. PTO  
143248  
05/07/02Form PTO-1595  
(Rev. 03/01)

RE

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

- (a) Stephen D. Walker  
(b) Peter E. Nelson  
(c) R. Dale Zellers

05/07/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: NOSTIX LLC

Internal Address: \_\_\_\_\_

Street Address: North Suite

4864 Sterling Drive

City: Boulder State: CO Zip: 80301

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: (a)-(c) May 2, 2002

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 2, 2002

A. Patent Application No.(s) \_\_\_\_\_

Ultrasound-Guided Near Infrared Spectrophotometer

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ken J. Koestner

Internal Address: KOESTNER BERTANI LLP

Street Address: 18662 MacArthur Blvd.

Suite 400

City: Irvine State: CA Zip: 92612

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-2240

DO NOT USE THIS SPACE

## 9. Signature.

Ken J. Koestner, Reg. No. 33.004  
Name of Person Signing

  
Signature
May 7, 2002  
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

05/17/2002 TDIAT1 00000001 502240 10143248  
01 FC:581 40.00 CH

PATENT  
REEL: 012895 FRAME: 0135

**ASSIGNMENT**

For good and valuable consideration, receipt of which is hereby acknowledged, we

<u>Stephen D. Walker</u>	of	<u>Boulder, CO</u>
<u>Peter E. Nelson</u>	of	<u>Longmont, CO</u>
<u>R. Dale Zellers</u>	of	<u>Lafayette, CO</u>

hereby sell, assign and transfer to **NOSTIX LLC**, a Colorado limited liability company, having a place of business at North Suite, 4864 Sterling Drive, Boulder, CO 80301, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

**ULTRASOUND-GUIDED NEAR INFRARED SPECTROPHOTOMETER**

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 2 day of MAY, 2002.

Stephen D. Walker  
Stephen D. Walker

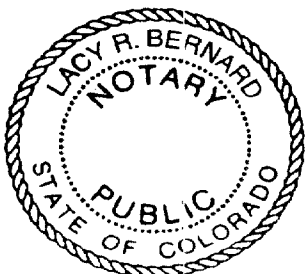
State of Colorado )  
 ) ss.  
County of Boulder )

On May 2, 2002 before me, Lacy R. Bernard personally appeared Stephen D. Walker personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Lacy R. Bernard  
SIGNATURE OF NOTARY

My Commission  
Expires 3/20/2005



Executed this 2nd day of MAY, 2002.

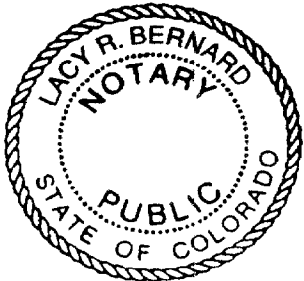
Peter E. Nelson  
Peter E. Nelson

State of Colorado )  
 ) ss.  
County of Boulder )

On May 2, 2002 before me, Lacy R. Bernard  
personally appeared Peter E. Nelson personally known to me or proved to me on the  
basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
or the entity upon behalf of which the person(s) acted, executed the instrument.

My Commission  
Expires 3/20/2005

WITNESS my hand and official seal.



Lacy R. Bernard  
SIGNATURE OF NOTARY

Executed this 2<sup>nd</sup> day of May, 2002.

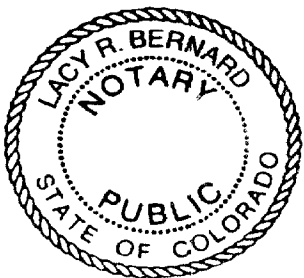
R. Dale Zellers  
R. Dale Zellers

State of Colorado )  
 ) ss.  
County of Boulder )

On May 2, 2002 before me, Lacy R. Bernard  
personally appeared R. Dale Zellers personally known to me or proved to me on the  
basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the  
within instrument and acknowledged to me that he/she/they executed the same in his/her/their  
authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),  
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

My Commission  
Expires 3/20/2005



Lacy R. Bernard  
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