

07-15-2002



102147949

IN THE U

ARK OFFICE

Inventor: James J. Schardt

Patent No.: D407,362

Issued: March 30, 1999

For: WHEEL HUB

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Commissioner of Patents and Trademarks
 BOX ASSIGNMENTS
 Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

James J. Schardt☐ Additional names and addresses attached.

2. Name and address of receiving party/parties:

Name: Dayton Wheel Products Company

Internal Address: _____

Street Address: 115 Compark RoadCity: DaytonState: OhioZip: 45459☐ Additional names and addresses attached.

3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement
☐ Change of Name ☒ Other Declaration to correct Assignee name
originally recorded on Nov. 17, 1997,
on Reel 8819, Frame 0847 (3 pages)

Execution Date: March 8, 2002

4. Application Number(s) or Patent Number(s):

D 407362☐ This document is being filed together with a new application which was executed on _____☐ Applications No.(s) _____☒ Patent No.(s) D407,362

04/05/2002 8TON11 00000200 D407362

01 FC:581

40.00 DP

PATENT
 REEL: 12896 FRAME: 0395

OFFICE OF PUBLIC RECORDS
 2002 MAR 21 AM 11:51
 FINANCE SECTION

3-21-02

Corrective

3-21-02

5. Address all future communications to:

Christine K. Garcia
Coolidge Wall Womsley & Lombard, L.P.A.
33 West First Street
Dayton, Ohio 45402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$40.00

☒ A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

☐ Charge fee to Deposit Account No. 50-1897 (Order No. 001526-100).

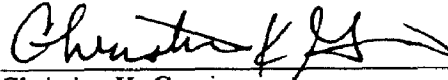
☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 50-1897 (Order No. 001526-100)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

COOLIDGE WALL WOMSLEY & LOMBARD, L.P.A.

Dated: March 13, 2002



Christine K. Garcia
Registration No. 41,027

Total number of pages including the recordation cover sheet

S:\Wdow\Client\000226\00100\00053968.Doc

FORM PTO-1505
(Rev. 5-83)

OMB No. 0651-0011 (Rev. 4/94)

Tab settings: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

12-05-1997



100585312

RECEIVED

NOV 17 1997

RECEIVED

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document and copy thereof.

1. Name of conveying party(ies):

James J. Schardt

MRJ
11-17-97

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 25, 1997

2. Name and address of receiving party(ies)

Name: Dayton Wheel Products Company, Inc.

Internal Address:

Street Address: 115 Compark Road

City: Dayton State: Ohio ZIP: 45459

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

29/076,001

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Theodore D. Lienesch

Internal Address:

Street Address: Thompson Hine & Flory LLP

P.O. Box 8801

City: Dayton State: OH ZIP: 45401-8801

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

20-0809

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theodore D. Lienesch

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document: 5

11/17/97
Date

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 8819 FRAME: 0847

PATENT

REEL: 12896 FRAME: 0397


ASSIGNMENT

WHEREAS, James J. Schardt, of Dayton, Montgomery County, Ohio, invented certain new and useful WHEEL HUB Docket (444812-00002) for which I executed an application for letters patent of the United States.

AND WHEREAS, Dayton Wheel Products Company, ^{7/8/97}~~Inc~~ a corporation of the State of Ohio, with a place of business at 115 Compark Rd., Dayton, Ohio 45459, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

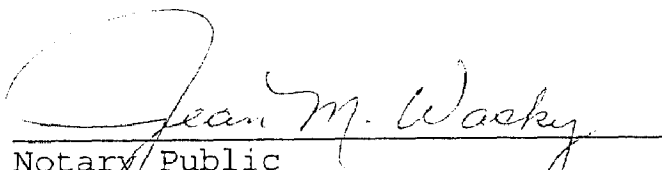
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and I hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and I, hereby agree that, upon request, I will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal
this 25 day of AUGUST, 1997.


James J. Schardt

STATE OF OHIO)
) ss
COUNTY OF MONTGOMERY)

On this 25 day of AUGUST, 1997,
before me a Notary Public in and for the above County and State,
personally appeared the above named James J. Schardt, personally
known to me, and acknowledged the execution of the foregoing
assignment as a free act and deed for the purpose herein set
forth.


Notary Public

JEAN M. WASKY, Notary Public
in and for the State of Ohio
My Commission Expires April 30, 1998

T1B/DAYTON WHEEL/444812-00002 Assignment

**DECLARATION TO CORRECT
ASSIGNMENT DOCUMENTS**

STATE OF OHIO)
) SS:
COUNTY OF MONTGOMERY)

I, Daniel P. Deitz, declare that I am and was the President of Septre Company (formerly known as Dayton Wheel Products Company) (the "Company") during the time of the execution of the assignments for the patents and patent applications listed in the attached Schedule (the "Assignments").

I further declare that at no time in the history of the Company was the Company name Dayton Wheel Products; Dayton Wheel Products, Inc.; or Dayton Wheel Products Company, Inc. A copy of the certified Articles of Incorporation and subsequent Certificate of Amendment reflecting the name change are attached in support of this Declaration. Therefore, Dayton Wheel Products Company was the legal and proper entity receiving the Assignments, and the original assignment documents have been corrected to reflect the proper Assignee, Dayton Wheel Products Company.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the document as to which this verified statement is directed.

SEPTRE COMPANY

By: _____

Daniel P. Deitz
President

IN WITNESS WHEREOF, before me, a Notary Public in and for the above county and state, the above named officer, acting for and on behalf of Septre Company, has hereunto subscribed his name this 8th day of March, 2002.

Martha M Welch
Notary Public

S:\Wdow\Client\001526\00501\00053883.Doc



MARTHA M. WELCH, Notary Public
In and for the State of Ohio
My Commission Expires Oct. 16, 2005

**PATENT
REEL: 12896 FRAME: 0400**

SCHEDULE

U.S. PAT. NO.	INVENTOR	INVENTION TITLE	ISSUE DATE
D407,362	James J. Schardt	WHEEL HUB	Mar. 30, 1999
D415,088	James J. Schardt	WHEEL CAP	Oct. 12, 1999
D415,089	James J. Schardt	RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Oct. 12, 1999
D416,529	James J. Schardt	SEGMENT OF A RIM FOR AN AUTOMOTIVE VEHICLE WHEEL	Nov. 16, 1999
D430,528	Robert C. Moore	WHEEL CAP	Sep. 5, 2000
D431,017	Robert C. Moore	WHEEL CAP	Sep. 19, 2000
D435,007	Robert C. Moore	WHEEL CAP	Dec. 12, 2000

U.S. APPL'N NO.	FILING DATE	INVENTOR	INVENTION TITLE
09/656,201	Sep. 6, 2000	James J. Schardt	WIRE WHEEL AND METHOD FOR MAKING

CORPORATION FOR PROFIT.

232016

UNCLASSIFIED SHARED BY THE PUBLIC

Articles of Incorporation

APPROVED
FILING

C252 585

-OF-

DAYTON WHEEL PRODUCTS COMPANY

DATE

6-14-53 2:11/53 2500

The undersigned, a majority of whom are citizens of the United States, desiring to form a corporation, for profit, under the General Corporation Act of Ohio, do hereby certify:

FIRST. The name of said corporation shall be DAYTON WHEEL PRODUCTS COMPANY

SECOND. The place in Ohio where its principal office is to be located is

DAYTON MONTGOMERY County.
(City, Village or Township)

THIRD. The purpose or purposes for which it is formed are:

- (a) To manufacture, process, fabricate and sell wire wheels, the component parts therefor, and related items.
- (b) To engage in the manufacture, processing, fabrication and sale of products of any kind or description.
- (c) To manufacture, purchase or otherwise acquire, sell, assign and transfer, exchange or otherwise dispose of, and to invest, trade, deal in or deal with goods, wares and merchandise and personal property of every class and description.
- (d) To purchase, acquire, hold, mortgage, pledge, hypothecate, loan money upon, exchange, sell, and otherwise deal in personal property and real property of every kind, character and description whatsoever and wheresoever situated, and any interest therein.
- (e) To apply for, obtain, purchase, take licenses in respect of or otherwise acquire, and to hold, own, use, grant licenses in respect of, manufacture under, sell, assign, mortgage, pledge or otherwise dispose of, any and all inventions, devices, processes and any improvements and modifications thereof; and any and all letters patent of the United States or of any other country, state, territory, or locality, and all rights connected therewith or appertaining thereunto; and any and all copyrights granted by the United States or any other country, state, territory, or locality; and any and all trade-marks, trade names, trade symbols and other indications of origin and ownership granted by or recognized under the laws of the United States or of any other country, state, territory or locality.

Each purpose specified in any clause or paragraph contained in this Article Third shall be deemed to be independent of all other purposes herein specified and shall not be limited or restricted by reference to or inference from the terms of any other clause or paragraph of these Articles of Incorporation.

FOURTH. The maximum number of shares which the corporation is authorized to have outstanding is two hundred fifty (250), all of which shall be ~~without par value~~ without par value. Dollars (\$.....) each.

FIFTH. The amount of capital with which the corporation will begin business is FIVE HUNDRED Dollars (\$ 500.00).

IN WITNESS WHEREOF, We have hereunto subscribed our names, this 10th day of

February A.D. 1953

Harold L. Rogers
Harold L. Rogers
Walter L. Sanger
Walter L. Sanger
J. Eugene Smith
J. Eugene Smith

N. B. Articles will be returned unless accompanied by form designating statutory agent. See C. C. 8623-129.

71
PAGE
649
VOL

72
PAGE
649
VMI

STATE OF OHIO, COUNTY OF MONTGOMERY

Personally appeared before me, the undersigned, a Notary Public, in and for said county, this

10th day of February A.D. 1953, the above named Harold L. Rogers

and Ted L. Lanzer and P. Eugene Smith

who each severally acknowledged the signing of the foregoing articles of incorporation to be his free act and deed, for the uses and purposes therein mentioned.

WITNESS my hand and official seal on the day and year last aforesaid.



Adele W. Rammel,
Notary Public,
in and for Montgomery County, Ohio

Sec. 8623.1. A corporation for profit may be formed hereunder for any purpose or purposes, other than for carrying on the practice of any profession, for which natural persons lawfully may associate themselves, provided that where the General Code makes special provision for the filing of articles of incorporation of designated classes of corporations, such corporations shall be formed under such provisions and not hereunder. Corporations for the erection, owning and conducting of sanitariums for receiving and caring for patients, their medical and hygienic treatment and instruction of nurses in the treatment of disease and of hygiene shall not be deemed to be forbidden hereby.

Sec. 8623.4. Any number of natural persons, not less than three, a majority of whom are citizens of the United States, may form a corporation for profit by subscribing, acknowledging and filing in the office of the secretary of state articles of incorporation.

*** setting forth:

1. The name of the corporation, which *** shall end with or include "Company", "Co.", "Corporation", "Incorporated" or "Inc.", except as otherwise *** required by law.

2. The place in this state where the principal office of the corporation is to be located.

3. The purpose or purposes for which it is formed.

4. The maximum number and the par value per share of shares with par value, and the maximum number of shares without par value, which the corporation is authorized to have outstanding; and, if the shares are to be classified—

(a) the designation of each class and the number and par value per share, if any, of the shares of each class ***; and

(b) the express terms and provisions of the shares of each class.

*** The express terms and provisions may include statements specifying the dividend rights (which may be cumulative or non-cumulative, at a specified rate, amount or proportion, with or without further participation rights, and may be in preference or junior to or on a parity in whole or in part with dividend rights of shares of any other class or classes); liquidation rights and preferences, liquidation price, redemption rights (which may be at the option of the shareholder or of the corporation or at a specified time or in a specified event), redemption price, sinking fund requirements (which may require the corporation to set aside or provide a sinking fund out of earnings or otherwise for the purchase or redemption of such shares or for dividends thereon), voting rights (which may be full or limited or denied except as otherwise required by this act), preemptive rights, conversion rights (whereby shares may be convertible into shares of any other class or classes, provided that shares with par value shall not be made convertible into shares of greater aggregate par value unless provision is made for payment of the difference by a transfer from surplus to stated capital or otherwise), restrictions on issuance of shares, right of alteration of express terms and provisions, the division of any class into series, the designation and number of shares of each series, and any other relative, participating, optional or other special rights and privileges of, and qualifications, limitations or restrictions on, the rights of holders of shares of any class or series.

The express terms and provisions of shares of different series of any particular class shall be identical except that there may be variations in respect of any or all of the following: dividend rate, dates of payment of dividends and dates from which they are cumulative, redemption rights and price, liquidation price, sinking fund requirements, conversion rights, and restrictions on issuance of shares of the same series or of any other class or series.

By the express terms and provisions of the shares of any class, the board of directors may be authorized, subject to such limitations as may be stated therein, to adopt amendments to the articles, in respect of any unissued or treasury shares of any class, to fix or alter the division of such shares into series, the designation and number of shares of each series, the dividend rate, dates of payment of dividends, and dates from which they are cumulative, redemption rights and price, liquidation price, sinking fund requirements, conversion rights, and restrictions on issuance of shares of the same series or of any other class or series.

5. The amount of capital with which the corporation will begin business, which shall be not less than five hundred dollars.

6. If desired, the amount of consideration for which subscriptions to shares without par value may be received by the incorporation.

7. If desired, the valuation of any considerations to be received for shares either with or without par value proposed to be presently issued.

8. Any lawful provisions which may be desired for the purpose of defining, limiting and regulating the exercise of the authority of the corporation, or of the directors or of all of the shareholders. *** Any provision authorized to be made in the regulations of a corporation may, if desired, be made in its articles.

9. Such additional provisions permitted by other sections of this act as may be desired.

If the corporation is for a purpose which includes the construction of a steam or electric railroad to more than one county or *** the articles shall also set forth its termini and the counties in this state into or through which it or its branches will pass.

A written appointment of an agent upon whom process, tax notices and demands against such corporation may be served as hereinafter provided shall be filed with the articles.

New matter in italics—118 Ohio Laws—Amended Senate Bill No. 47, effective July 24, 1939.

Pat. 68 Line 14
Number 232016
Form A

Articles of Incorporation
—OF—
NATION WARELS PRODUCTS COMPANY

Filed in the office of the Secretary of State,
at Columbus, Ohio, on the 11 day of
Feb. 1953, and recorded
in Volume 649 Page 71

of the Records of Incorporation.

REMARKS
Articles of Incorporation must be prepared by a
person whose qualifications are set forth in the
statute. They may be filed and recorded
before any other action is taken to incorporate
the corporation.

FOR FEE: \$12.00, Sec. 176,
As Amended in 114 Ohio Laws, page 805.

Printed by: Smith
Richard H. Smith
Columbus, Ohio

ALL ARTICLES OF INCORPORATION FILED MUST BE ACCOMPANIED BY FOLLOWING
DESIGNATION OF AGENT.

Original Appointment of Agent

(Section 8623-129, G. C. O.)

KNOW ALL MEN BY THESE PRESENTS, That Harold L. Rogge ^{C252 586}
(Name of agent)
of 2415 Fairmont Avenue, in Dayton, Ohio
(Street or Avenue) (City or town)
Montgomery County, Ohio, a natural person and resident
of said county, being the county in which the principal office of
Dayton Wheel Products Company is located, is hereby appointed as the person on
(Name of corporation)
whom process, tax notices and demands against said Dayton Wheel Products Company
(Name of corporation)
may be served.

By: Harold L. Rogge
Thos L. Fanger
P. Eugene Smith
(Incorporators)

Dayton, Ohio.

February 10th, 1945.

Dayton Wheel Products Company
(Name of corporation)

Gentlemen: I hereby accept the appointment as the representative of your company upon
whom process, tax notices, or demands may be served.

Harold L. Rogge
(Agent)

State of Ohio,

County of MONTGOMERY ss:

Personally appeared before me, the undersigned, a Notary Public in and for said County,
this 11th day of February A.D. 1945 the above
named Harold L. Rogge who acknowledged the signing of the
foregoing to be his free act and deed for the uses and purposes therein mentioned.

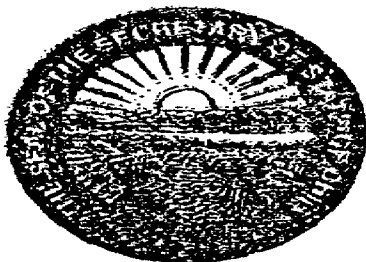
WITNESS my hand and official seal on the day and year last aforesaid.

Evelyn S. Parnell
Notary Public in and for
Montgomery County, Ohio.

232016

UNITED STATES OF AMERICA,
STATE OF OHIO,
OFFICE OF THE SECRETARY OF STATE

I, J. Kenneth Blackwell, Secretary of State of the State of Ohio, do hereby certify that the foregoing is a true and correct copy, consisting of 3 pages, as taken from the original record now in my official custody as Secretary of State.



WITNESS my hand and official seal at
Columbus, Ohio, this 10 day of
January A.D. 2002

J. Kenneth Blackwell

J. KENNETH BLACKWELL
Secretary of State

By: *[Signature]*

NOTICE: This is an official certification only when reproduced in red ink

DATE: 02/07/2002 DOCUMENT ID 200203800364 DESCRIPTION DOMESTIC/AMENDMENT TO ARTICLE (AMD) FILING 50.00 EXPED .00 PENALTY .00 CERT .00 COPY .00

Receipt

This is not a bill. Please do not remit payment.

RICKETTS & ONDA
LYNN KEARNS
580 S. HIGH S
COLUMBUS, OH 43215

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, J. Kenneth Blackwell

232016

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

SEPTRE COMPANY

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC/AMENDMENT TO ARTICLES

Document No(s):

200203800364



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 6th day of February, A.D. 2002.

J. Kenneth Blackwell
Ohio Secretary of State

**PATENT
REEL: 12896 FRAME: 0406**



Prescribed by **J. Kenneth Blackwell**

Please obtain fee amount and mailing instructions from the Filing Reference Guide (using the 3 digit form # located at the bottom of this form). To obtain the Filing Reference Guide or for assistance, please call Customer Service:

Central Ohio: (614)-466-3910 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

Expedite is an additional fee
of \$100.00
☐ Expedite

CERTIFICATE OF AMENDMENT BY SHAREHOLDERS TO ARTICLES OF

DAYTON WHEEL PRODUCTS COMPANY

(Name of Corporation)

232016

(charter number)

DANIEL P. DEITZ , who is the President

(name)

(title)

of the above named Ohio corporation organized for profit, does hereby certify that: (Please check the appropriate box and complete the appropriate statements.)

☐ a meeting of the shareholders was duly called and held on _____, at which meeting a quorum the shareholders was present in person or by proxy, and that by the affirmative vote of the holders of shares entitling them to exercise _____ % of the voting power of the corporation,

☒ in a writing signed by all the shareholders who would be entitled to notice of a meeting held for that purpose, the following resolution to amend the articles was adopted:

RESOLVED, that Article FIRST of the Articles of Incorporation of DAYTON WHEEL PRODUCTS COMPANY be, and hereby is, amended to read as follows:

FIRST. The name of this corporation shall be Septre Company

FURTHER RESOLVED, that the officers of the Corporation be, and each hereby is, authorized and directed to take all action necessary to implement the foregoing resolution, including, without limitation, causing a Certificate of Amendment of Articles of Incorporation to be filed with the Ohio Secretary of State.

☐ Please check box if additional provision are attached.

Provisions attached hereto are incorporated herein and made a part of these articles of incorporation.

IN WITNESS WHEREOF, the above named officer, acting for and on behalf of the corporation, has hereunto subscribed his name on February 1, 2002
(his/her) (date)

Signature: Daniel P. Deitz

Title: _____

President

RECEIVED
SECRETARY OF STATE
2002 FEB - 6 AM 11:34
CLIENT SERVICE CENTER