

A/D 581-40

Docket No.: GRON-3402 **10/088047**

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2007)
P09/REV03

05-20-2002



102094783

FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

TS ONLY

JC13 Rec'd PTO

11 MAR 2002

Tab settings → → →

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Ivar Mendez

3/11/02

2. Name and address of receiving party(ies):

Name: **Queen Elizabeth II, Health Sciences Centre**

Internal Address:

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: **1278 Tower Road**

Halifax, Nova Scotia, Canada, B3H 2Y9

City: _____ State: _____ ZIP: _____

Execution Date: **July 31, 2000**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

03/09/2002 HAL111 00000020 10000047
03 10:001 40.00 US

10/088047

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Customer No. 5409**

Internal Address:

Street Address:

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

19-0513

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arlen L. Olsen, Reg. No. 37,543

Name of Person Signing

Signature

3-11-2002

Date

Total number of pages including cover sheet, attachments, and document: **1**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012898 FRAME: 0639

ASSIGNMENT

WHEREAS, I, **IVAR MENDEZ**, a citizen of Canada, whose full post office address is **c/o Queen Elizabeth II, Health Sciences Centre, New Halifax Infirmary, 1796 Summer Street, Room 3806, Halifax, Nova Scotia, Canada, B3H 3A7**, have invented certain new and useful improvements in **NEURAL TRANSPLANTATION DELIVERY SYSTEM** for which International Application No. **PCT/CA00/00614** was filed on May 26, 2000; and

WHEREAS, **QUEEN ELIZABETH II, HEALTH SCIENCES CENTRE**, of **1278 Tower Road, Halifax, Nova Scotia, Canada, B3H 2Y9**, is desirous of acquiring the entire right, title and interest in and to the said improvements and the said application;

NOW, THEREFORE, in consideration of Five Dollars (\$5.00) and of other good and valuable consideration paid to me by **Queen Elizabeth II, Health Sciences Centre**, (hereinafter called the "Assignee"), the receipt whereof is hereby acknowledged, I do hereby sell, assign and set over unto the Assignee, its successors, legal representatives or assigns my entire right, title and interest in and to the invention for **NEURAL TRANSPLANTATION DELIVERY SYSTEM** as set forth in the said international application and in respect of all applications emanating therefrom in any and all countries designated in the said International Application No. **PCT/CA00/00614**, including the United States, and all divisions, renewals and continuations thereof and all Letters Patent which may be granted on national patent applications evolving therefrom under Chapter I and/or Chapter II of the Patent Cooperation Treaty, the same to be held and enjoyed by the Assignee, its successors, assigns or legal representatives to the full ends of the terms for which all Letters Patent therefor may be granted including any extension thereof as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

...2

AND I HEREBY AUTHORIZE the Assignee to make application for and to receive Letters Patent for said invention in any foreign country in its own name or in any name at its election.

AND I HEREBY COVENANT AND AGREE that I have full right to convey the entire interest here assigned, and that I have not executed, and will not execute, any agreement in conflict herewith and that I will at any time upon the request and at the expense of the Assignee execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in any country to the Assignee, its successors, assigns, or other legal representatives and that I will at any time upon the request and at the expense of the Assignee testify in any legal proceeding, sign all lawful papers, execute any additional divisional, substituting, continuing or reissue applications for patents for said invention or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid the Assignee, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in any and all countries without further compensation but at the expense of the Assignee, its successors, assigns or other legal representatives.

AND I HEREBY AUTHORIZE AND REQUEST The Commissioner of Patents or the Comptroller (as the case may be) in any given country or territory to issue any and all Letters Patent for said invention or resulting from said application or from any division, reissue or extension thereof to the

...3

Assignee, its successors, legal representatives or assigns,
in accordance with the terms of this agreement.

IN TESTIMONY WHEREOF, I have hereunto set my hand.

July 31/2000
Date

Ivar Mendez
IVAR MENDEZ X

Hyacinth P. [Signature]
Witness

Marlene Duran
Witness X

G. RONALD BELL & ASSOCIATES