

Fax: (703) 306-5995

Docket No: 71410

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):

**Kuo, Thauming
Grosso, Paul Vincent
Spilman, Gary Eugene
Clark, Mark Dwight**

2. Name and address of receiving party(ies)

**Eastman Chemical Company
P.O. Box 511
Kingsport, TN 37662
U.S.A.**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): June 25, 2002June 26, 2002July 1, 2002Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/121,754

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Michael K. Carrier
Eastman Chemical Company
P.O. Box 511
Kingsport, TN 37662**

Phone: (423) 229-4016

Fax: (423) 229-1239

Reg. No: 42,391

6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **40.00**☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account Number:

05-0221

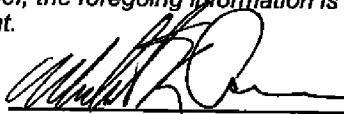
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Michael K. Carrier**

Name



Signature

July 18, 2002

Date

Total number of pages including cover sheet, attachments, and documents: **4**Mail (or Fax) documents to be recorded with the required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 202315

Docket: 71410

PATENT

ASSIGNMENT

For good and valuable consideration received, I/we, Thauming Kuo, Paul Vincent Grosso, Gary Eugene Spilman, and Mark Dwight Clark, do hereby sell and assign to Eastman Chemical Company, a Delaware corporation having a place of business at Kingsport, Tennessee, its successors and assigns, the entire right, title, interest and priority rights in and to all of my/our inventions, discoveries and improvements disclosed in an application for Letters Patent for


WATERBORNE ACETOACETATE-FUNCTIONALIZED ALKYD COATING
COMPOSITIONS

| | | | |
|-------------|----------------------------|---|------|
| executed by | <u>Thauming Kuo</u> | the <u>1st</u> day of <u>July</u> | 2002 |
| executed by | <u>Paul Vincent Grosso</u> | the <u>25th</u> day of <u>JUNE</u> | 2002 |
| executed by | <u>Gary Eugene Spilman</u> | the <u>26th</u> day of <u>June</u> | 2002 |
| executed by | <u>Mark Dwight Clark</u> | the <u>1st</u> day of <u>July</u> | 2002 |

and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications in the United States and other countries, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes or regulations, said applications to be filed and issued in the name of Eastman Chemical Company or its designee; and I/we agree to execute such documents as in the judgment of Eastman Chemical Company may be necessary to obtain any such patents and similar protective rights and vest and maintain the title thereto in Eastman Chemical Company or its designee; and I/we further agree that, upon request, but without expense to me/us, I/we shall furnish to Eastman Chemical Company or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries or improvements and to testify in any ex parte or inter partes legal proceedings relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and I/we authorize and request issuance of all Letters Patent and similar protective rights that may be granted on any of said applications, to the extent that and in such manner as such issuance shall be requested by Eastman Chemical Company or its

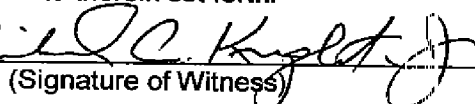
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Signed this 26th day of June, 2002
Gary Eugene Spilman

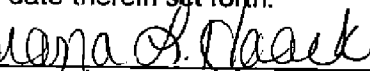
CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

Michael C. Knight, Jr.
(Type or Print Name of Witness)
(Signature of Witness)1900 Trappers Ct. McHenry, IL 60050
(Address of Witness)


CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

DIANA L. HARCK
(Type or Print Name of Witness)
(Signature of Witness)995 NOTTINGHAM LANE, CRYSTAL LAKE, IL 60014
(Address of Witness)Signed this 1st day of July, 2002
Mark Dwight Clark

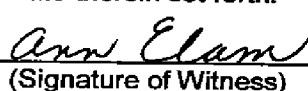
CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

Tiffany D. Scott
(Type or Print Name of Witness)
(Signature of Witness)P.O. BOX 638, Duffield, VA. 24244
(Address of Witness)

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

Jo Ann Elam
(Type or Print Name of Witness)
(Signature of Witness)Route 2 Box 804
(Address of Witness)Nichelsville, Va. 24271

Docket: 71410

PATENT

designee. This document shall be governed, construed, and interpreted in all respects in accordance with the laws of the State of Tennessee, USA.

Signed this 1st day of July, 2002

Thauming Kuo
Thauming Kuo

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

Tiffany D. Scott
(Type or Print Name of Witness)

Tiffany D. Scott
(Signature of Witness)

PO BOX 638, Duffield, VA 24244
(Address of Witness)

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

JO ANN ELAM
(Type or Print Name of Witness)

Jo Ann Elam
(Signature of Witness)

Route 2 - Box 804 Nicholsville, VA 24271
(Address of Witness)

Signed this 25th day of JUNE, 2002

Paul Vincent Grosso
Paul Vincent Grosso

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

Michael C. Knight, Jr.
(Type or Print Name of Witness)

Michael C. Knight Jr.
(Signature of Witness)

1900 Trappers Ct. McHenry, IL 60050
(Address of Witness)

CERTIFICATE OF WITNESS

I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth.

DIANA L. AAPACK
(Type or Print Name of Witness)

Diana AAPack
(Signature of Witness)

995 NOTTINGHAM LANE, CRYSTAL LAKE, IL 60014
(Address of Witness)