



Docket No. 56477US002

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To: The Honorable Commissioner of Patents and Trademarks		
Please record the attached original document(s) or copy thereof.		
1. Name of conveying party(ies)/(Execution Date)	Name and address of receiving party(ies):	
Christopher C. Bowley 03/29/02	traine and address of receiving party(ies).	
Marc Dudley Radcliffe 04/18/02	3M Innovative Properties Company	
Prabhakara Satyauolu Rao 04/18/02	P.O. Box 33427	
Philip Edwin Watson 05/01/02	St. Paul, Minnesota 55133-3427	
Philip Edwin Watson  18  O5/01/02  18  O4/18/02  19  O4/18/02  10 O4/18/02  10 O4/18/02	) 162   St. 1 min, Winnessta 33133 3427	
Gregory Edward Gilligan 04/18/02		
Richard John Pokorny 04/18/02		
Additional names of conveying party(ies) attached?		
☐ Yes ☒ No		
3. Nature of Conveyance:	Additional names of receiving party(ies) attached?	
Assignment Merger	☐ Yes ⊠ No	
Security Agreement Change of Name Other: Correction of Assignee name Ikuko Ebihara A copy of the previously recorded assignment (Reel 01246)		
A copy of the previously recorded assignment (Reel 01246)	62	
Frame 0330) and the previous Notice of Recordation is end		
4. Application number or patent number:		
This document is being filed with a new patent application on:		
☐ This document is to be recorded against the following pate		
Patent Cooperation Treaty (PCT):	one approach of parents of parent	
Enter PCT application number only if a U.S. Application 1	Number has not been assigned:	
	ached? 🔲 Yes 🔯 No	
5. Name and address of party to whom correspondence 6. Total number of applications		
concerning document should be mailed:	and patents involved: $\underline{1}$	
Name: Bruce E. Black		
Office of Intellectual Property Counsel		
3M Innovative Properties Company		
P.O. Box 33427		
St. Paul, Minnesota 55133-3427	7. Total fee (37 CFR 3.41) \$\frac{40.00}{2}\$	
	Enclosed	
Phone Number:	Authorized to be charged to deposit account	
	8. Deposit Account Number: 13-3723	
DO NOT YOU	THIC CD A CE	
DO NOT USE THIS SPACE		
9. Statement and Signature		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of		
the original document. Charges to deposit account are authorized, as indicated herein.		
Bruce E. Black	Bhl May 2, 2002	
Printed Name of Attorney/Agent Signature	Daté	
Registration No. 41,622  Pages: Total number of pages including COVER SHEET,	attachments and documents:	
<b>Pages:</b> Total number of pages including COVER SHEET,		

Mail documents to be recorded with required cover sheet information to: Commissioner for Patents, **Box Assignments**, Washington, DC 20231

 $\label{eq:continuous} \begin{tabular}{ll} (Form PTO-1595 - Modified from PTO's Rev 03/01) \\ q: $$\exists mipco forms and samples assignment recordation cover sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples and samples assignment recordation cover sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples and samples assignment recordation cover sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples and samples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples and samples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors to company - new. doc 4/26/01 \\ $$\exists mathematical examples are sheet - inventors ar$ 

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Docket No. 56477US002

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To: The Honorable Commissioner of Patents and Tradema Please record the attached original document(s) or copy the		
1. Name of conveying party(ies)/(Execution Date) Christopher C. Bowley 11/8/01	Name and address of receiving party(ies):	
Ebihara Ikuko 11/7/01 Gregory Edward Gilligan 11/7/01	3M Innovative Properties Company P.O. Box 33427	
Richard John Pokorny 11/7/01 Marc Dudley Radcliffe 11/7/01 Parkledown School Brown 11/7/01	St. Paul, Minnesota 55133-3427	
Prabhakara Satyauolu Rao 11/7/01 Philip Edwin Watson 11/2/01		
Additional names of conveying party(ies) attached?  Yes No	Additional names of receiving party(ies) attached?	
Assignment		
previous Notice of Recordation is enclosed.  4. Application number or patent number:  This document is being filed with a new patent application	on:	
This document is to be recorded against the following patent application or patent: 09/957,724  Patent Cooperation Treaty (PCT):  Enter PCT application number only if a U.S. Application Number has not been assigned:		
Additional Numbers Atta	ched? Tyes No	
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Bruce E. Black  Office of Intellectual Property Counsel  3M Innovative Properties Company  P.O. Box 33427	6. Total number of applications and patents involved: 1	
St. Paul, Minnesota 55133-3427  Phone Number: 651-736-3366	7. Total fee (37 CFR 3.41) \$40.00  Enclosed  Authorized to be charged to deposit account	
Thone Number. 051-750-5500	8. Deposit Account Number: 13-3723	
DO NOT USE THIS SPACE		
9. Statement and Signature  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.		
Bruce E. Black 125 V	She Dec. 3, 2001	
Printed Name of Attorney/Agent Registration No. 41,622  Pages: Total number of pages including COVER SHEET,	Date  attachments and documents:  5 101 1 101 101 101 101 101 101 101 101	

Mail documents to be recorded with required cover sheet information to: Commissioner for Patents, Box Assignments, Washington, DC 20231

(Form PTO-1595 – Modified from PTO's Rev 03/01)
q:3mipco forms and samples assignment recordation cover sizes - inventors to company - new.doc 4/26/01
01/16/2002 DRYRNE 00000059 133723 09957724

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**PATENT REEL: 012908 FRAME: 0451** 

## ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

## CHOLESTERIC LIQUID CRYSTAL OPTICAL BODIES AND METHODS OF MANUFACTURE AND USE

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 09/957,724, filed September 21, 2001;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

> Christopher C. Bowley Residence: Somerville, MA, USA

Citizenship: South Africian

STATE OF MASSACHUSETTS

COUNTY OF ~

29th day of

2002, before me personally On this appeared the above-named Christopher C. Bowley, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

SS.

(Seal)

Notary Public

MATTHEW G. DOHERT NOTARY PUBLIC My Commission Expires May 14, 2004

3M Office of Intellectual Property Counsel, P.O. Box 33427, St. Paul, Minnesota 55133-3427 U.S.A.

PATENT REEL: 012908 FRAME: 0452

	. Tac Dolley Lade for 56477US002
	Marc Dudley Radcliffe Residence: Newport, MN, USA Citizenship: United States of America
STATE OF MINNESOTA )	
COUNTY OF RAMSEY )	of April 2003, before me personally
appeared the above-named Marc Dudl person described in and who executed	ey Radcliffe, personally known by me, and known by me to be the the foregoing instrument, and who acknowledged that said person act and deed, on the day and year aforesaid.
SHANNON M. BRUCE NOTARY PUBLIC MIGNESOTA My Comm. Expires Jan. 31 2005	Shan non M. Bruce Notary Public
	Prablakana S. Rao
	Prabhakara Satyauolu Rao Residence: Maplewood, MN, USA Citizenship: United States of America
STATE OF MINNESOTA )	Chizenship. Office States of America
COUNTY OF RAMSEY )  On this 1840 day	of <u>April</u> , <u>Jos</u> , before me personally
appeared the above-named Prabhakara person described in and who executed	Satyauolu Rao, personally known by me, and known by me to be the I the foregoing instrument, and who acknowledged that said person act and deed, on the day and year aforesaid.
CHANNON M. BRUCE OTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005	Shannon M Bruce Notary Public
Swall Express 331. 31 2005	91. Elika -
	Philip Edvil Watson Residence: Oakdale, MN, USA
STATE OF MINNESOTA )	Citizenship: United States of America
COUNTY OF RAMSEY )	May 2002 1
appeared the above-named Philip Edperson described in and who execute	of
(0.1)	Shannon M. Bucce
SHANNON M. BRUCE NOTARY FUELIC MINNESOTA	Notary Public

Docket No. 56477US002 Residence: St. Paul, MN, USA Citizenship: Japanese STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY before me personally On this **717** day of appeared the above-named Ikuko Ebihara, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid. NOTARY PUBLIC MEMBESOTA My Comm. Expires Jan. 31 2005 Notary Public Gregory Edward Gilligan Residence: Hastings, MN, USA Citizenship: United States of America STATE OF MINNESOTA ) COUNTY OF RAMSEY On this 18th day of before me personally appeared the above-named Gregory Edward Gilligan, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid. 01 20**05** Notary Public Richard John Pokorny Residence: Maplewood, MN, USA Citizenship: United States of America STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY

On this 18H2 day of , 2002 before me personally appeared the above-named Richard John Pokorny, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

NOTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005

Notary Public

Page 2

## ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

## CHOLESTERIC LIQUID CRYSTAL OPTICAL BODIES AND METHODS OF MANUFACTURE AND USE

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 09/957,724, filed September 21, 2001;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul. Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

> Christopher C. Bowley Résidence: Somerville, MA, USA

STATE OF MASSACHUSETTS

COUNTY OF

Lal, before me personally day of On this appeared the above-named Christopher C. Bowley, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person

Citizenship: South Africian

executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Notary Public

MATTHEW G. DOHERTY **NOTARY PUBLIC** 

My Commission Expires May 14, 2004

STATE OF MINNESOTA )

**COUNTY OF RAMSEY** 

STATE OF MINNESOTA )

My Comm. Expires Jan. 31 2005

COUNTY OF RAMSEY

) ss.

) ss.

Richard John Pokorny

Residence: Maplewood, MN, USA Citizenship: United States of America

STATE OF MINNESOTA )

Value A

**COUNTY OF RAMSEY** 

 $, \partial \infty$ , before me personally day of appeared the above-named Richard John Pokorny, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Docket No. 56477US002 Marc Dudley Radcliffe Residence: Newport/MN, USA Citizenship: United States of America STATE OF MINNESOTA ) COUNTY OF RAMSEY 2001, before me personally day of On this appeared the above-named Marc Dudley Radcliffe, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid. NOTARY PUBLIC MINNESOTA MY Comm. Expires Jan. 31 2005 NOTARY PUBLIC MINNESOTA rabhakara Satyauolu Rao Residence: Maplewood, MN, USA Citizenship: United States of America STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY Lovember  $\mathcal{A}$ , before me personally day of appeared the above-named Prabhakara Satyauolu Rao, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid. OTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005 Residence: Oakdale, MN, USA Citizenship: United States of America STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY everyber, 2001, before me personally day of appeared the above-named Philip Edwin Watson, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

SHANNON M. BRUCE NOTARY PUBLIC MINNESOTA My Comm. Expires Jan. 31 2005

RECORDED: 05/20/2002

**PATENT** REEL: 012908 FRAME: 0457