

05-24-2002



SHEET

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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof:

<p>1. Name of conveying party(ies):</p> <p>Selena Chan Philippe M. Fauchet Scott R. Horner Benjamin L. Miller</p> <p style="text-align: right;"><i>5/21/02</i></p>	<p>2. Name and address of receiving party(ies):</p> <p>University of Rochester 518 Hylan Building Rochester, New York 14627</p> <div style="text-align: right; transform: rotate(90deg);"> OFFICE OF PATENT RECORDS 2002 MAY 21 AM 10:05 FINANCE SECTION </div>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date(s):</p> <p>1st Inventor: March 29, 2002 2nd Inventor: May 10, 2002 3rd Inventor: April 24, 2002 4th Inventor: April 24, 2002</p>	
<p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s): <u>10/082,634</u></p> <p>B. Patent No.(s): _____</p>	

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5. Name and address of party to whom correspondence concerning document should be mailed:

Michael L. Goldman
NIXON PEABODY LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603-1051

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☐ Previously paid (see original transmittal)

☒ A check in the amount of **\$40.00** is enclosed.

8. Deposit Account Number: 14-1138

☐ Charge total fee to account.

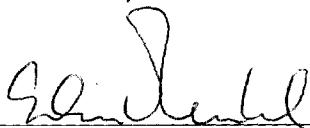
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

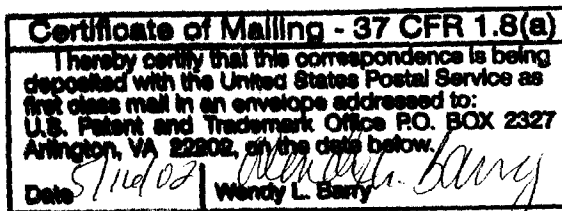
Date: May 16, 2002



Edwin V. Merkel
Registration No. 40,087

[Total number of pages including cover sheet, attachments and document: **6**]

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ASSIGNMENT

WHEREAS, We, **Selena Chan**, a United States citizen, residing at 250 West El Camino Real, #6301, Sunnyvale, California 94087, **Philippe M. Fauchet**, a United States citizen, residing at 35 Greylock Ridge, Pittsford, New York 14534, **Scott R. Horner**, a United States citizen, residing at 127 Merriman Street, Rochester, New York 14607, **Benjamin L. Miller**, a United States citizen, residing at 251 Barrington Street, Rochester, New York 14607, have invented certain new and useful improvements in **MICROCAVITY BIOSENSOR, METHODS OF MAKING, AND USES THEREOF** for which we have executed an application for Letters Patent in the United States, filed as U.S. Serial No. **10/082,634** on **February 21, 2002**;

AND WHEREAS, **University of Rochester**, with its principal place of business at 518 Hylan Building, Rochester, New York 14627, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **University of Rochester**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **University of Rochester**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

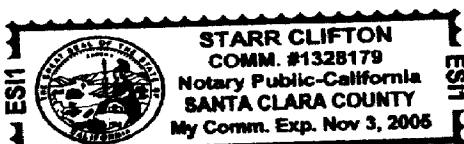
AND WE HEREBY covenant and agree that we will communicate to the said **University of Rochester**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **University of Rochester**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 29 day of March, 2002.


Selena Chan

STATE OF California
COUNTY OF Santa Clara SS:

This 29 day of March, 2002, before me personally came the above-named **Selena Chan**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.




Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day
of May, 2002.


Philippe M. Fauchet

STATE OF New York
COUNTY OF Monroe SS:

This 10th day of May, 2002, before me personally came the above-named
Philippe M. Fauchet, to me personally known as the individual who executed the same of
his/her own free will for the purposes therein set forth.

KATRINA MARTINEZ
Notary Public, State of New York
Monroe County, Reg#01MA5062135
My Commission Exp. June 24, ~~1998~~

2002


Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24 day
of April, 2002.

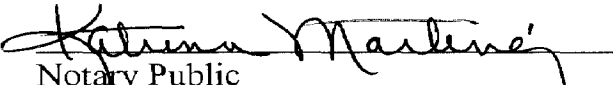

Scott R. Horner

STATE OF New York
COUNTY OF Monroe SS:

This 24th day of April, 2002, before me personally came the above-named **Scott R.
Horner**, to me personally known as the individual who executed the same of his/her own free
will for the purposes therein set forth.

KATRINA MARTINEZ
Notary Public, State of New York
Monroe County, Reg#01MA5062135
My Commission Exp. June 24, ~~1998~~

2002


Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24th day
of APRIL, 2002.

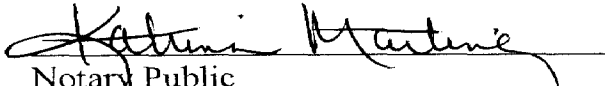

Benjamin L. Miller

STATE OF New York
COUNTY OF Monroe SS:

This 24th day of April, 2002, before me personally came the above-named
Benjamin L. Miller, to me personally known as the individual who executed the same of
his/her own free will for the purposes therein set forth.

KATRINA MARTINEZ
Notary Public, State of New York
Monroe County, Reg#01MA5062135
My Commission Exp. June 24, ~~1998~~

2002


Notary Public